

Tuesday, January 08, 2019

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NOTICE – Items in this issue will be listed online weekly and printed monthly.

ALICO, INC.
Annual Report

Consolidated Income Statement, Years Ended Sept. 30 (\$):

	2018	2017 (revised)	2016 (revised)
Revenue - Alico			
Citrus	78,121,000	123,441,000	137,282,000
Water resources & other operations	3,160,000	6,388,000	6,914,000
Total operating revenues	81,281,000	129,829,000	144,196,000
Operating expenses - Alico Citrus	51,709,000	111,947,000	102,347,000
Water resources and other operations	3,979,000	8,952,000	6,790,000
Total operating expenses	55,688,000	120,899,000	109,137,000
Gross profit (loss)	25,593,000	8,930,000	35,059,000
General & administrative expenses	15,058,000	15,024,000	13,213,000
Income (loss) from operations	10,535,000	(6,094,000)	21,846,000
Investment & interest income (loss), net	39,000	(148,000)	...
Interest expense	8,561,000	9,141,000	9,893,000
Gain on sale of real estate, property & equipment & assets held for sale	11,041,000	2,181,000	618,000
Other income (expense), net	136,000	(140,000)	(91,000)
Total other income (expense), net	2,655,000	(7,248,000)	(9,366,000)
Income (loss) before income taxes	13,190,000	(13,342,000)	12,480,000
Current income taxes (benefit) - federal	1,961,000	102,000	244,000
Current income taxes (benefit) - state	384,000
Total current income taxes (benefit)	2,345,000	102,000	244,000
Deferred income taxes (benefit) - federal	(3,917,000)	(3,286,000)	4,538,000
Deferred income taxes (benefit) - state	1,962,000	(662,000)	739,000
Total deferred income taxes (benefit)	(1,955,000)	(3,948,000)	5,277,000
Provision (benefit) for income taxes	390,000	(3,846,000)	5,521,000
Net income (loss)	12,800,000	(9,496,000)	6,959,000
Net loss (income) attributable to noncontrolling interests	250,000	45,000	34,000
Net income (loss) attributable to Alico, Inc. common stockholders	13,050,000	(9,451,000)	6,993,000
Weighted average			

shares outstanding - basic	8,232,000	8,300,000	8,303,000
Weighted average shares outstanding - diluted	8,301,000	8,300,000	8,311,000
Year end shares outstanding	8,199,957	8,238,830	8,315,535
Net income (loss) per share - basic	\$1.59	\$(1.14)	\$0.84
Net income (loss) per share - diluted	\$1.57	\$(1.14)	\$0.84
Dividends per share	\$0.24	\$0.24	\$0.24
Number of full time employees	233	283	333
Number of common stockholders	202	248	260

Reclassified to conform with 2018 presentation; As of December 3, 2018; As of December 7, 2017; As of December 1, 2016

Consolidated Balance Sheet, Years Ended Sept. 30 (\$):

	2018	2017 (revised)
Cash & cash equivalents	25,260,000	3,395,000
Accounts receivable, gross	2,577,000	4,314,000
Allowance for doubtful accounts	33,000	28,000
Accounts receivable, net	2,544,000	4,286,000
Unharvested fruit crop on trees	39,888,000	32,145,000
Beef cattle	...	1,954,000
Other inventories	1,145,000	2,105,000
Inventories	41,033,000	36,204,000
Assets held for sale	1,391,000	20,983,000
Prepaid expense & other current assets	2,012,000	1,621,000
Total current assets	72,240,000	66,489,000
Restricted cash	7,000,000	...
Citrus trees	264,714,000	258,949,000
Equipment & other facilities	53,544,000	54,592,000
Buildings & improvements	8,052,000	8,835,000
Less accumulated depreciation & depletion	91,858,000	82,443,000
Land & land improvements	105,951,000	109,404,000
Property & equipment, net	340,403,000	349,337,000
Goodwill	2,246,000	2,246,000
Deferred financing costs, net of accumulated amortization	136,000	262,000
Other non-current assets	1,397,000	848,000
Total assets	423,422,000	419,182,000
Accounts payable	3,764,000	3,192,000
Ad valorem taxes	2,196,000	2,648,000
Accrued interest	1,191,000	1,165,000
Accrued employee wages & benefits	3,115,000	1,320,000
Inventory received but not invoiced	726,000	...
Accrued dividends	492,000	494,000
Current portion of deferred retirement obligations	345,000	315,000
Accrued insurance	223,000	166,000
Accrued tender offer consulting charges	274,000	...
Other accrued liabilities	664,000	673,000

Income taxes payable	2,320,000	...
Long-term debt, current portion	5,275,000	4,550,000
Other current liabilities	913,000	1,460,000
Total current liabilities	21,498,000	15,983,000
Term loans	147,582,000	153,656,000
Loans	26,767,000	32,820,000
Less current portion	5,275,000	4,550,000
Long-term debt, principal amount	169,074,000	181,926,000
Less: deferred financing costs, net	(1,563,000)	(1,767,000)
Long-term debt less deferred financing costs, net	167,511,000	180,159,000
Lines of credit	2,685,000	...
Deferred tax liability	25,153,000	27,108,000
Deferred gain on sale	24,928,000	26,440,000
Deferred retirement obligations	4,052,000	4,123,000
Total liabilities	245,827,000	253,813,000
Common stock	8,416,000	8,416,000
Additional paid in capital	20,126,000	18,694,000
Treasury stock, at cost	7,536,000	6,502,000
Retained earnings	151,111,000	140,033,000
Total Alico, Inc. stockholders' equity	172,117,000	160,641,000
Noncontrolling interest	5,478,000	4,728,000
Total stockholders' equity	177,595,000	165,369,000

Recent Dividends:

1. Alico, Inc. common.

ExDate	Amt	Declared	Record	Payable
12/29/2015	0.06	12/08/2015	12/31/2015	01/15/2016
03/29/2016	0.06	03/08/2016	03/31/2016	04/15/2016
06/28/2016	0.06	05/11/2016	06/30/2016	07/15/2016
09/28/2016	0.06	09/06/2016	09/30/2016	10/14/2016
12/28/2016	0.06	12/05/2016	12/30/2016	01/16/2017
03/29/2017	0.06	02/23/2017	03/31/2017	04/14/2017
06/28/2017	0.06	05/23/2017	06/30/2017	07/15/2017
09/28/2017	0.06	09/15/2017	09/29/2017	10/16/2017
12/28/2017	0.06	11/06/2017	12/29/2017	01/16/2018
03/28/2018	0.06	03/14/2018	03/30/2018	04/13/2018
06/28/2018	0.06	06/11/2018	06/29/2018	07/13/2018
09/27/2018	0.06	09/05/2018	09/28/2018	10/12/2018

Annual Dividends:

1. Alico, Inc. common.

2016	0.24	2017	0.24	2018	0.24
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AMTECH SYSTEMS, INC.

Annual Report

Consolidated Income Statement, Years Ended Sept. 30 (\$):

	2018	2017 (revised)	2016 (revised)
Revenue, net of returns & allowances	176,426,000	164,516,000	120,308,000
Cost of sales	121,269,000	112,584,000	86,245,000
Gross profit	55,157,000	51,932,000	34,063,000
Selling, general & administrative expenses	37,535,000	35,135,000	33,967,000
Research, development &			

engineering	7,800,000	6,372,000	8,004,000
Restructuring charges	897,000
Impairment charges	7,006,000
Operating income (loss)	1,919,000	10,425,000	(7,908,000)
Gain (loss) on sale of other assets	2,883,000	...	2,576,000
Income (loss) from equity method investment	234,000	(417,000)	299,000
Interest & other income (expense), net	489,000	(178,000)	(417,000)
Income (loss) before income taxes - domestic	7,845,000	1,900,000	2,100,000
Income (loss) before income taxes - foreign	(2,320,000)	7,930,000	(7,550,000)
Income (loss) before income taxes	5,525,000	9,830,000	(5,450,000)
Current domestic federal income taxes (benefit)	1,167,000	54,000	530,000
Current foreign income taxes (benefit)	(1,404,000)	1,330,000	500,000
Current foreign withholding taxes (benefit)	356,000	240,000	280,000
Current domestic state income taxes (benefit)	101,000	120,000	110,000
Total current income taxes (benefit)	220,000	1,744,000	1,420,000
Deferred domestic federal income taxes (benefit)	1,680,000
Total deferred income taxes (benefit)	1,680,000
Income tax provision (benefit)	220,000	1,744,000	3,100,000
Net income (loss)	5,305,000	8,086,000	(8,550,000)
Add: net loss (income) attributable to non-controlling interest	...	1,045,000	1,542,000
Net income (loss) attributable to Amtech Systems, Inc.	5,305,000	9,131,000	(7,008,000)
Weighted average shares outstanding-basic	14,833,000	13,378,000	13,168,000
Weighted average shares outstanding-diluted	15,065,000	13,501,000	13,168,000
Year end shares outstanding	14,216,596	14,710,591	13,179,355
Net income (loss) per share-basic	\$0.36	\$0.68	\$(0.53)
Net income (loss) per share-diluted	\$0.35	\$0.68	\$(0.53)
Total number of employees	468	455	470
Number of common stockholders	417	459	513
Number of beneficiary stockholders	6,134	4,000	4,288
Foreign currency translation adjustments	...	423,000	...

Restricted cash	4,165,000	24,640,000	
Trade accounts receivable, gross	21,882,000	23,385,000	
Less allowance for doubtful accounts	1,407,000	866,000	
Trade accounts receivable, net	20,475,000	22,519,000	
Unbilled & other accounts receivable	12,749,000	14,275,000	
Purchased parts & raw materials	15,896,000	14,789,000	
Work-in-process	6,067,000	11,078,000	
Finished goods	2,747,000	4,343,000	
Inventories	24,710,000	30,210,000	
Vendor deposits	668,000	11,806,000	
Other current assets	3,192,000	2,542,000	
Total current assets	124,290,000	157,113,000	
Land	4,956,000	4,990,000	
Building & leasehold improvements	14,513,000	14,408,000	
Equipment & machinery	10,434,000	8,934,000	
Furniture & fixtures	4,957,000	5,243,000	
Property, plant & equipment, gross	34,860,000	33,575,000	
Accumulated depreciation & amortization	18,408,000	17,783,000	
Property, plant & equipment - net	16,452,000	15,792,000	
Intangible assets - net	1,130,000	3,495,000	
Goodwill - net	6,633,000	11,405,000	
Investments	...	2,615,000	
Deferred income taxes - long-term	...	200,000	
Other assets - long-term	901,000	1,003,000	
Total assets	149,406,000	191,623,000	
Accounts payable	11,374,000	21,555,000	
Accrued compensation & related taxes	7,394,000	7,592,000	
Accrued warranty expense	1,040,000	1,254,000	
Other accrued liabilities	4,239,000	2,056,000	
Customer deposits	15,298,000	48,784,000	
Current maturities of long-term debt	374,000	361,000	
Deferred profit	3,071,000	4,081,000	
Income taxes payable	2,353,000	286,000	
Total current liabilities	45,143,000	85,969,000	
Long-term debt	7,960,000	8,134,000	
Income taxes payable - long-term	3,213,000	7,037,000	
Total liabilities	56,316,000	101,140,000	
Common stock	142,000	147,000	
Additional paid-in capital	124,316,000	125,564,000	
Accumulated other comprehensive income (loss)	(9,974,000)	(8,529,000)	
Retained earnings (accumulated deficit)	(21,394,000)	(26,699,000)	
Total stockholders' equity	93,090,000	90,483,000	

Recent Dividends:

- 1. Amtech Systems, Inc. common.**
No dividends paid.
 - 2. Amtech Systems, Inc. series A convertible preferred.**
No dividends paid.
- Annual Dividends:**
- 1. Amtech Systems, Inc. common.**
No dividends paid.
 - 2. Amtech Systems, Inc. series A convertible preferred.**
No dividends paid.

APOLLO ENDOSURGERY INC

Acquisition Completed On Dec. 17, 2018, Co. acquired from ReShape Lifesciences Inc. ("ReShape") substantially all of ReShape's assets exclusively related to ReShape's balloon product line. Terms of the transaction were not disclosed.

APOLLO ENDOSURGERY INC

Interest Sale Completed On Dec. 17, 2018, ReShape Lifesciences Inc. ("ReShape") acquired from Co. substantially all the assets exclusively related to Co.'s Lap-Band product line, which consisted of the Lap-Band® adjustable gastric banding system and other accessories used in laparoscopic bariatric surgery, for \$17,000,000 in cash, of which \$10,000,000 was paid at the closing of the transaction, \$2,000,000 payable on the first anniversary

of the closing date, \$2,000,000 payable on the second anniversary of the closing date, and \$3,000,000 payable on the third anniversary of the closing date.

ARK RESTAURANTS CORP
Annual Report

	Consolidated Income Statement, Years Ended (\$):		
	09/29/18	09/30/17	10/01/16
		(revised)	(revised)
Food & beverage sales	156,837,000	151,196,000	148,479,000
Other revenue	3,153,000	2,681,000	2,103,000
Total revenues	159,990,000	153,877,000	150,582,000
Food & beverage cost of sales	43,036,000	41,597,000	39,545,000
Payroll expenses	55,620,000	53,074,000	50,718,000
Occupancy expenses	18,577,000	17,100,000	16,515,000
Other operating costs & expenses	21,437,000	20,690,000	19,719,000
General & administrative expenses	11,214,000	11,504,000	11,708,000
Depreciation & amortization	5,074,000	4,541,000	4,553,000
Total costs & expenses	154,958,000	148,506,000	142,758,000
Restaurant operating income	5,032,000	5,371,000	7,824,000
Gain on sale of Ark Jupiter RI, LLC	...	1,637,000	...
Operating income (loss)	5,032,000	7,008,000	7,824,000
Interest expense	1,163,000	753,000	416,000
Interest income	57,000	170,000	180,000
Total other income (expense), net	(1,106,000)	(583,000)	(236,000)
Income (loss) before provision for income taxes	3,926,000	6,425,000	7,588,000
Current provision (benefit) for income taxes - federal	30,000	(144,000)	778,000
Current provision (benefit) for income taxes - state & local	320,000	287,000	192,000
Current provision (benefit) for income taxes	350,000	143,000	970,000
Deferred provision (benefit) for income taxes - federal	(798,000)	1,391,000	915,000
Deferred provision (benefit) for income taxes - state & local	(699,000)	134,000	213,000
Deferred provision (benefit) for income taxes	(1,497,000)	1,525,000	1,128,000
Provision (benefit) for income taxes	(1,147,000)	1,668,000	2,098,000
Consolidated net income (loss)	5,073,000	4,757,000	5,490,000
Net loss (income) attributable to non-controlling interests	(418,000)	(718,000)	(1,460,000)
Net income attributable to Ark Restaurants Corp.	4,655,000	4,039,000	4,030,000
Weighted average shares outstanding - basic	3,439,000	3,424,000	3,418,000
Weighted average shares outstanding - diluted	3,549,000	3,531,000	3,507,000
Year end shares outstanding	3,470,000	3,428,000	3,423,000
Net income (loss) per share - basic	\$1.35	\$1.18	\$1.18
Net income (loss) per share - diluted	\$1.31	\$1.14	\$1.15
Dividends per			

^① As of November 19, 2018; ^② As of November 15, 2017; ^③ As of November 22, 2016; ^④ Approximately

Consolidated Balance Sheet, Years Ended Sept. 30 (\$):

	2018	2017
		(revised)
Cash & cash equivalents	58,331,000	51,121,000

common share	\$1.00	\$1.00	\$1.00
Number of full time employees	585	1,322	1,411
Number of part time employees	1,517	712	784
Total number of employees	2,102	2,034	2,195
Number of common stockholders	30	31	34
Number of beneficiary stockholders	1,654	1,650	1,589

Reclassified to conform with 2017 presentation; As of November 30, 2018; As of December 18, 2017; As of December 22, 2016; Approximately; As of December 11, 2018; As of December 27, 2016

Consolidated Balance Sheet, Years Ended (\$):

	09/29/18	09/30/17 (revised)
Cash & cash equivalents	5,012,000	1,406,000
Accounts receivable	3,452,000	3,353,000
Employee receivables	386,000	399,000
Inventories	2,094,000	1,992,000
Prepaid & refundable income taxes	721,000	945,000
Prepaid expenses & other current assets	1,547,000	1,988,000
Total current assets	13,212,000	10,083,000
Land & building	18,029,000	17,164,000
Leasehold improvements	53,310,000	50,127,000
Furniture, fixtures & equipment	37,910,000	35,978,000
Construction in progress	59,000	980,000
Fixed assets - at cost	109,308,000	104,249,000
Less accumulated depreciation & amortization	64,044,000	59,034,000
Fixed assets - net	45,264,000	45,215,000
Intangible assets - net	349,000	409,000
Goodwill	9,880,000	9,880,000
Trademarks	3,331,000	3,331,000
Deferred income taxes	2,988,000	1,491,000
Investment in & receivable from New Meadowlands Racetrack	7,036,000	6,979,000
Other assets	2,677,000	2,679,000
Total assets	84,737,000	80,067,000
Accounts payable - trade	5,019,000	4,750,000
Sales tax payable	820,000	813,000
Accrued wages & payroll related costs	3,226,000	2,475,000
Customer advance deposits	4,439,000	4,186,000
Accrued occupancy & other operating expenses	2,217,000	2,702,000
Dividend payable	868,000	857,000
Borrowings under credit facility	...	6,198,000
Current portion of notes payable	1,251,000	4,174,000
Total current liabilities	17,840,000	26,155,000
Operating lease deferred credit	3,301,000	3,648,000
Notes payable, less current portion, net of deferred financing costs	19,860,000	7,824,000
Total liabilities	41,001,000	37,627,000
Common stock	35,000	34,000
Additional paid-in capital	12,897,000	12,639,000
Retained earnings (accumulated deficit)	29,364,000	27,771,000
Total Ark Restaurants Corp. shareholders' equity	42,296,000	40,444,000
Non-controlling interests	1,440,000	1,996,000
Total equity	43,736,000	42,440,000

Reclassified to conform with 2018 presentation; Includes amount related to variable interest entities - Cash & cash equivalents: \$181,000; Includes amount related to variable interest en-

ities - Cash & cash equivalents: \$363,000; Includes amount related to variable interest entities - Accounts receivable: \$354,000; Includes amount related to variable interest entities - Accounts receivable: \$367,000; Includes amount related to variable interest entities - Inventories: \$19,000; Includes amount related to variable interest entities - Inventories: \$22,000; Includes amount related to variable interest entities - Prepaid & refundable income taxes: \$241,000; Includes amount related to variable interest entities - Prepaid & refundable income taxes: \$226,000; Includes amount related to variable interest entities - Prepaid expenses & other current assets: \$51,000; Includes amount related to variable interest entities - Prepaid expenses & other current assets: \$63,000; Includes amount related to variable interest entities - Fixed assets - net: \$6,000; Includes amount related to variable interest entities - Other assets: \$82,000; Includes amount related to variable interest entities - Other assets: \$71,000; Includes amount related to variable interest entities - Accounts payable - trade: \$158,000; Includes amount related to variable interest entities - Accounts payable - trade: \$116,000; Includes amount related to variable interest entities - Operating lease deferred credit: (\$21,000); Includes amount related to variable interest entities - Operating lease deferred credit: \$51,000

Recent Dividends:

1. Ark Restaurants Corp common.

ExDate	Amt	Declared	Record	Payable
12/16/2015	0.25	12/07/2015	12/18/2015	01/04/2016
03/16/2016	0.25	03/01/2016	03/18/2016	04/04/2016
06/14/2016	0.25	06/02/2016	06/16/2016	07/01/2016
09/19/2016	0.25	09/07/2016	09/21/2016	10/05/2016
12/16/2016	0.25	12/07/2016	12/20/2016	01/03/2017
03/15/2017	0.25	03/01/2017	03/17/2017	04/03/2017
06/15/2017	0.25	06/05/2017	06/19/2017	07/05/2017
09/19/2017	0.25	09/06/2017	09/20/2017	10/04/2017
12/18/2017	0.25	12/05/2017	12/19/2017	01/03/2018
03/16/2018	0.25	03/06/2018	03/19/2018	04/04/2018
06/21/2018	0.25	06/12/2018	06/22/2018	07/06/2018
09/27/2018	0.25	09/17/2018	09/28/2018	10/12/2018
12/17/2018	0.25	12/03/2018	12/18/2018	01/03/2019

Annual Dividends:

1. Ark Restaurants Corp common.

2016	1.00	2017	1.00	2018	1.00
2019	0.25				

ARROWHEAD PHARMACEUTICALS INC Annual Report

Consolidated Income Statement, Years Ended Sept. 30 (\$):

	2018	2017 (revised)	2016 (revised)
Revenue	16,142,321	31,407,709	158,333
Research & development expenses	52,968,505	50,904,466	62,117,818
General & administrative expenses	19,110,051	17,499,152	23,594,888
Impairment expense	2,050,817
Contingent consideration - fair value adjustments	(5,862,464)
Total operating expenses	72,078,556	68,403,618	81,901,059
Operating income (loss)	(55,936,235)	(36,995,909)	(81,742,726)
Interest income (expense), net	1,048,523	415,128	265,794
Change in value of derivatives	432,141	890,362	(301,022)
Other income (expense)	7,493	1,312,524	57,352
Total other income (expense)	1,488,157	2,618,014	22,124
Income (loss) before income taxes	(54,448,078)	(34,377,895)	(81,720,602)
Provision for state income taxes - current	2,400	2,400	...
Total state income tax provision	2,400	2,400	...
Provision for			

income taxes	2,400	2,400	2,400
Net income (loss)	(54,450,478)	(34,380,295)	(81,723,002)
Weighted average shares outstanding - basic	83,638,469	73,898,598	61,050,880
Weighted average shares outstanding - diluted	83,638,469	73,898,598	61,050,880
Year end shares outstanding	88,505,302	74,785,426	69,746,685
Net earnings (loss) per share - basic	\$(0.65)	\$(0.47)	\$(1.34)
Net earnings (loss) per share - diluted	\$(0.65)	\$(0.47)	\$(1.34)
Number of full time employees	95	93	113
Number of common stockholders	120	129	140
Foreign currency translation adjustments	(54,796)	25,783	143,874

Reclassified to conform with 2018 presentation; As of December 7, 2018; As of December 6, 2017; As of December 12, 2016

Consolidated Balance Sheet, Years Ended Sept. 30 (\$):

	2018	2017 (revised)
Cash & cash equivalents	30,133,213	24,838,567
Accounts receivable	327,375	67,797
Prepaid expenses	1,267,717	867,363
Other current assets	640,117	1,359,638
Short term investments	46,400,176	40,769,539
Total current assets	78,768,598	67,902,904
Computers, office equipment & furniture	600,334	600,334
Research equipment	10,751,627	9,660,960
Software	152,676	132,078
Leasehold improvements	12,236,150	12,208,380
Property & equipment, gross	23,740,787	22,601,752
Less: accumulated depreciation & amortization	9,805,362	7,088,733
Property & equipment, net	13,935,425	15,513,019
Intangible assets, net	18,764,010	20,464,439
Other assets	141,918	141,918
Total assets	111,609,951	104,022,280
Accounts payable	2,806,098	4,076,514
Accrued expenses	5,043,087	4,564,507
Accrued payroll & benefits	3,937,605	3,399,679
Deferred rent	307,334	440,580
Deferred revenue	600	5,269,741
Derivative liabilities	...	695,114
Note payable	223,820	208,506
Other current liabilities	46,407	46,407
Total current liabilities	12,364,951	18,701,048
Deferred rent, net of current portion	1,702,801	1,929,052
Note payable, net of current portion	2,101,198	2,325,018
Other non-current liabilities	200,000	200,000
Total long-term liabilities	4,003,999	4,454,070
Common stock	180,875	167,155
Additional paid-in capital	582,902,694	514,037,301
Accumulated other comprehensive income (loss)	(21,564)	33,232
Retained earnings (accumulated deficit)	(487,265,816)	(432,815,338)
Total Arrowhead Pharmaceuticals, Inc. stockholders' equity (deficit)	95,796,189	81,422,350
Noncontrolling interest	(555,188)	(555,188)
Total stockholders' equity (deficit)	95,241,001	80,867,162

Recent Dividends:

1. **Arrowhead Pharmaceuticals Inc series B preferred.**
No dividends paid.
2. **Arrowhead Pharmaceuticals Inc series C preferred.**
No dividends paid.
3. **Arrowhead Pharmaceuticals Inc common.**
No dividends paid.
4. **Arrowhead Pharmaceuticals Inc preferred.**
No dividends paid.

Annual Dividends:

1. **Arrowhead Pharmaceuticals Inc series B preferred.**
No dividends paid.
2. **Arrowhead Pharmaceuticals Inc series C preferred.**
No dividends paid.
3. **Arrowhead Pharmaceuticals Inc common.**
No dividends paid.
4. **Arrowhead Pharmaceuticals Inc preferred.**
No dividends paid.

AUTODESK INC.

Merger Completed On Dec. 19, 2018, Co.'s wholly-owned subsidiary, Araujo Acquisition Corp. ("Merger Sub"), merged with and into PlanGrid, Inc. ("PlanGrid"), a provider of construction productivity software, with PlanGrid continuing as the surviving corporation and became a wholly-owned subsidiary of Co., for a total purchase price of \$875,000,000, net of cash, subject to customary adjustments. As the result of the merger, each share of common stock and preferred stock of PlanGrid issued and outstanding immediately prior to the effective time was converted into the right to receive the applicable consideration calculated as set forth in the Merger Agreement, without interest, and was automatically cancelled and retired and ceased to exist. In addition, each option to purchase shares of common stock of PlanGrid that was held by a continuing service provider and was unvested, outstanding and unexercised immediately prior to the effective time and each restricted stock unit settleable for shares of common stock of PlanGrid that was vested, outstanding and unsettled immediately prior to the effective time was, as of the effective time, converted into the right to receive the applicable consideration calculated as set forth in the Merger Agreement, and was automatically cancelled and retired and ceased to exist. Each option to purchase shares of common stock of PlanGrid and each restricted stock unit settleable for shares of common stock of PlanGrid that was held by a continuing service provider and was unvested, outstanding and unexercised or unsettled immediately prior to the effective time was assumed by Co. and became an option to purchase, or a restricted stock unit settleable for, shares of common stock of Co.

AXONICS MODULATION TECHNOLOGIES INC**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2018	2017
Total Revenues	213,000	128,000
Cost & expenses	22,899,000	13,364,000
Operating income	(22,686,000)	(13,236,000)
Interest income	448,000	121,000
Other income (expense), net	(579,000)	(13,000)
Net before taxes	(22,817,000)	(13,128,000)
Income taxes	1,000	1,000
Net income	(22,818,000)	(13,129,000)
Earnings common share		
Primary	\$(8.10)	\$(5.26)
Fully Diluted	\$(8.10)	\$(5.26)
Common Shares:		
Full Diluted	2,817,652	2,494,424
Year-end	2,830,591	

BLUE BIRD CORP**Annual Report****Consolidated Income Statement, Years Ended (\$):**

	09/29/18	09/30/17	10/01/16
Net sales	1,024,976,000	990,602,000	932,010,000
Cost of goods sold	902,988,000	863,234,000	802,654,000
Gross profit	121,988,000	127,368,000	129,356,000
Selling, general & administrative expense	88,755,000	72,831,000	102,711,000
Operating profit (loss)	33,233,000	54,537,000	26,645,000
Interest expense	6,661,000	7,251,000	16,412,000
Interest income	70,000	140,000	133,000
Other income (expense), net	(231,000)	(66,000)	539,000
Loss on debt extinguishment		(10,142,000)	
Income (loss)			

before income taxes	26,873,000	37,350,000	9,827,000
Current income tax expense (benefit) - federal	(8,925,000)	11,705,000	(3,377,000)
Current income tax expense (benefit) - state	559,000	1,353,000	224,000
Current income tax expense (benefit) - foreign	91,000		
Total current income tax expense (benefit)	(8,275,000)	13,058,000	(3,153,000)
Deferred income tax expense (benefit) - federal	6,816,000	(767,000)	9,052,000
Deferred income tax expense (benefit) - state	(1,161,000)	(435,000)	(95,000)
Total deferred income tax expense (benefit)	5,655,000	(1,202,000)	8,957,000
Income tax expense (benefit)	(2,620,000)	11,856,000	5,804,000
Equity in net income (loss) of non-consolidated affiliate, net	1,327,000	3,307,000	2,877,000
Net income (loss)	30,820,000	28,801,000	6,900,000
Preferred stock dividend	1,896,000	4,261,000	3,878,000
Preferred stock repurchase		6,091,000	
Net income (loss) attributable to common shares	28,924,000	18,449,000	3,022,000
Weighted average shares outstanding - basic	25,259,595	23,343,772	21,252,616
Weighted average shares outstanding - diluted	28,616,862	24,877,729	21,315,619
Year end shares outstanding	27,259,262	23,739,344	22,518,058
Net earnings (loss) per common share - basic	\$1.15	\$0.79	\$0.14
Net earnings (loss) per common share - diluted	\$1.08	\$0.74	\$0.14
Number of full time employees	1,943		
Number of part time employees	294		
Total number of employees	2,237	2,311	2,160
Number of common stockholders	90	100	112
Number of beneficiary stockholders	3,000	3,000	1,652

Reclassified to conform with 2018 presentation; As of September 29, 2018; As of December 4, 2018; As of December 4, 2017; As of December 9, 2016

Consolidated Balance Sheet, Years Ended (\$):

	09/29/18	09/30/17	10/01/16
Cash & cash equivalents	60,260,000	62,616,000	
Account receivables, gross	24,167,000	10,248,000	
Allowance for doubtful accounts	100,000	100,000	
Accounts receivable, net	24,067,000	10,148,000	
Raw materials	42,439,000	54,379,000	
Work in process	13,141,000	14,660,000	
Finished goods	1,753,000	7,116,000	
Inventories, net	57,333,000	76,155,000	
Other current assets	8,183,000	11,528,000	
Total current assets	149,843,000	160,447,000	
Land	2,159,000	1,187,000	
Buildings	22,514,000	18,420,000	
Machinery & equipment	68,782,000	63,988,000	

Office furniture, equipment & other property, plant & equipment	2,059,000	1,389,000	
Computer equipment & software	17,250,000	16,765,000	
Construction in process	27,983,000	2,544,000	
Property, plant & equipment, gross	140,747,000	104,293,000	
Less: accumulated depreciation & amortization	74,693,000	69,585,000	
Property, plant & equipment, net	66,054,000	34,708,000	
Goodwill	18,825,000	18,825,000	
Intangibles assets, net	55,472,000	57,481,000	
Equity investment in affiliate	11,123,000	11,625,000	
Deferred tax asset	4,437,000	11,755,000	
Other assets	1,676,000	975,000	
Total assets	307,430,000	295,816,000	
Accounts payable	95,780,000	87,331,000	
Warranty	9,142,000	8,573,000	
Accrued expenses	21,935,000	18,229,000	
Deferred warranty income	8,159,000	6,776,000	
Other current liabilities	3,941,000	9,847,000	
Current portion of senior term debt	9,900,000	8,000,000	
Total current liabilities	148,857,000	138,756,000	
Term loans	142,139,000		
Less: Current portion (long-term debt)	9,900,000		
Long-term debt	132,239,000	143,224,000	
Warranty	13,504,000	12,337,000	
Deferred warranty income	15,032,000	12,519,000	
Deferred tax liabilities	197,000		
Other liabilities	4,924,000	15,064,000	
Pension	21,013,000	32,426,000	
Total long-term liabilities	186,909,000	215,570,000	
Preferred stock	9,300,000	40,000,000	
Common stock	3,000	2,000	
Additional paid-in-capital	70,023,000	45,418,000	
Retained earnings (accumulated deficit)	(69,235,000)	(100,055,000)	
Accumulated other comprehensive income (loss)	(38,427,000)	(43,875,000)	
Total stockholders' equity (deficit)	(28,336,000)	(58,510,000)	

Recent Dividends:

1. **Blue Bird Corp common.**
No dividends paid.
2. **Blue Bird Corp series A convertible preferred.**
No dividends paid.

Annual Dividends:

1. **Blue Bird Corp common.**
No dividends paid.
2. **Blue Bird Corp series A convertible preferred.**
No dividends paid.

BROADCOM INC (DE)**Annual Report****Consolidated Income Statement, Years Ended (\$Millions):**

	11/04/18	10/29/17	10/30/16
Net revenue	20,848	17,636	13,240
Cost of products sold	7,021	6,593	5,295
Purchase accounting effect on inventory	70	4	1,185
Amortization of acquisition-related intangible assets	3,004	2,511	763
Restructuring charges	20	19	57
Total cost of products sold	10,115	9,127	7,300
Gross margin	10,733	8,509	5,940
Research & development	3,768	3,292	2,674
Selling, general &			

administrative	1,056	787	806	operations-diluted	\$28.48	\$4.03	\$(4.57)	(accumulated deficit)	3,487	(129)
Amortization of intangible assets	541	1,764	1,873	Income (loss) per share-discontinued operations-diluted	\$(0.04)	\$(0.01)	\$(0.29)	Accumulated other comprehensive income (loss)	(115)	(91)
Restructuring, impairment & disposal charges	219	161	996	Net income (loss) per share-basic	\$28.44	\$4.02	\$(4.86)	Total Broadcom Limited shareholders' equity	26,657	20,285
Litigation settlements	14	122	...	Cash dividends declared & paid per share	\$1.94	Non-controlling interests	...	2,901
Total operating expenses	5,598	6,126	6,349	Total number of employees	15,000	14,000	...	Total shareholders' equity	26,657	23,186
Income (loss) from operations	5,135	2,383	(409)	Number of common stockholders	645	301	243	Reclassified to conform with 2018 presentation		
Interest expense	628	454	585	Reclassified to conform with 2018 presentation; As is; As of November 24, 2017; As of November 27, 2016			Recent Dividends: 1. Broadcom Inc (DE) ordinary. No dividends paid.			
Impairment on investment	106	Consolidated Balance Sheet, Years Ended (\$Millions):				Annual Dividends: 1. Broadcom Inc (DE) ordinary. No dividends paid.		
Loss on extinguishment of debt	...	(166)	(123)	11/04/18		10/29/17 (revised)		BROOKS AUTOMATION INC Annual Report Consolidated Income Statement, Years Ended Sept. 30 (\$000):		
Other income	30	43	27	Cash & cash equivalents	4,292	11,204	2018	2017	2016	
Interest income	114	44	10	Trade accounts receivable, gross	3,476	2,625	(revised)	(revised)	(revised)	
Other expenses	...	25	27	Allowance for doubtful accounts	151	177	482,389	406,986	335,923	
Income (loss) from continuing operations before income taxes - domestic	(705)	2,102	1,365	Trade accounts receivable, net	3,325	2,448	149,171	120,513	98,089	
Income (loss) from continuing operations before income taxes - Foreign	5,250	(277)	(2,472)	Finished goods	483	562	631,560	527,499	434,012	
Income (loss) from continuing operations before income taxes	4,545	1,825	(1,107)	Work in process	505	696	288,323	249,396	212,029	
Current domestic tax expense	293	112	59	Raw materials	136	189	97,156	79,216	65,294	
Current foreign tax expense	171	158	165	Inventory	1,124	1,447	Total cost of revenue	385,479	328,612	277,323
Total current tax expenses	464	270	224	Prepaid expenses	243	440	Gross profit (loss)	246,081	198,887	156,689
Deferred domestic tax expense (benefit)	(8,769)	(1)	9	Other receivables	65	155	Research & development expenses	46,936	39,875	44,241
Deferred foreign tax expense (benefit)	221	(234)	409	Other current assets	58	129	Selling, general & administrative expenses	167,022	141,549	119,292
Total deferred tax expense (benefit)	(8,548)	(235)	418	Total current assets	9,107	15,823	Restructuring charges	714	3,144	10,210
Provision for income taxes	(8,084)	35	642	Land	189	177	Total operating expenses	214,672	184,568	173,743
Income (loss) from continuing operations	12,629	1,790	(1,749)	Construction in progress	67	411	Operating income (loss)	31,409	14,319	(17,054)
Income (loss) from discontinued operations, net of income taxes	(19)	(6)	(112)	Buildings & leasehold improvements	1,016	579	Interest income	1,881	464	452
Net income (loss)	12,610	1,784	(1,861)	Machinery & equipment	3,257	2,925	Interest expense	9,520	408	157
Net income (loss) attributable to noncontrolling interest	(351)	(92)	122	Property, plant & equipment, gross	4,529	4,092	Gain on settlement of equity method investment	...	1,847	...
Net income (loss) attributable to common stock	12,259	1,692	(1,739)	Less: accumulated depreciation & amortization	1,894	1,493	Other income (expense), net	(3,304)	(1,702)	(1,383)
Weighted average shares outstanding-basic	418	405	366	Property, plant & equipment, net	2,635	2,599	Income (loss) before income taxes & earnings	17,344	27,731	8,633
Weighted average shares outstanding-diluted	431	421	383	Goodwill	26,913	24,706	(losses) of equity method investments	20,466	14,520	(18,142)
Year end shares outstanding	408	409	398	Intangible assets, net	10,762	10,832	- domestic	3,122	(13,211)	(26,775)
Income (loss) per share-continuing operations-basic	\$29.37	\$4.19	\$(4.46)	Other long-term assets	707	458	Income (loss) before income taxes & earnings	917	402	(193)
Income (loss) per share-discontinued operations-diluted	\$(0.04)	\$(0.01)	\$(0.29)	Total assets	50,124	54,418	(losses) of equity method investments - foreign	7,608	7,499	4,709
Net income (loss) per share-basic	\$29.33	\$4.18	\$(4.75)	Accounts payable	811	1,105	Total current income taxes provision (benefit)	8,525	7,901	4,371
Income (loss) per share-continuing operations-diluted	Employee compensation & benefits	715	626	Deferred federal income taxes provision (benefit)
...	Current portion of long-term debt	...	117	Current state income taxes provision (benefit)
...	Interest payable	165	136	Current foreign income taxes provision (benefit)
...	Accrued rebates	161	124	Total liabilities	23,467	31,232	23,285
...	Tax liabilities	162	123	Common stock & additional paid-in capital	23,285	20,505	20,505
...	Deferred revenues	164	51	Retained earnings
...	Other current liabilities	160	247
...	Total current liabilities	2,338	2,529
...	Senior notes	17,572	17,689
...	Convertible notes	37
...	Less unaccreted discount & unamortized debt issuance costs	(116)	(141)
...	Less: Current portion of long-term debt	...	117
...	Deferred tax liabilities	169	10,019
...	Tax indemnification liability	116
...	Unrecognized tax benefits	3,088	1,011
...	Other long-term liabilities	263	242
...	Total other long-term liabilities	3,636	11,272
...	Total liabilities	23,467	31,232
...	Common stock & additional paid-in capital	23,285	20,505
...	Retained earnings

income taxes & income (loss) from unconsolidated entities	56,581	57,373	60,898
Current federal income tax provision (benefit)	7,115	14,875	17,244
Current state income tax provision (benefit)	1,582	2,561	2,040
Current foreign income tax provision (benefit)	(844)	290	982
Total current income tax provision (benefit)	7,853	17,726	20,266
Deferred federal income tax provision (benefit)	3,328	2,567	1,863
Deferred state income tax provision (benefit)	690	335	533
Deferred foreign income tax provision (benefit)	848	(178)	(793)
Deferred income tax provision (benefit) for income taxes	4,866	2,724	1,603
Income (loss) from unconsolidated entities	(11,850)	401	(570)
Net income (loss)	32,012	37,324	38,459
Add: Net income (loss) attributable to noncontrolling interest	269	(54)	(437)
Net income attributable to Calavo Growers, Inc.	32,281	37,270	38,022
Weighted average shares outstanding-basic	17,477	17,416	17,347
Weighted average shares outstanding-diluted	17,568	17,514	17,431
Year end shares outstanding	17,567	17,533	17,440
Net income (loss) per share - basic	\$1.85	\$2.14	\$2.19
Net income (loss) per share - diluted	\$1.84	\$2.13	\$2.18
Total number of employees	2,979	2,516	2,096
Number of common stockholders	775	810	854

□ Reclassified to conform with 2018 presentation; □ As is; □ Approximately; □ As of November 30, 2018; □ As of November 30, 2017; □ As of November 30, 2016

Consolidated Balance Sheet, Years Ended Oct. 31 (\$000):			
	2018	2017	(revised)
Cash & cash equivalents	1,520	6,625	
Accounts receivable, gross	69,370	72,240	
Less allowances	3,227	2,490	
Accounts receivable, net	66,143	69,750	
Fresh fruit	12,902	14,566	
Packing supplies & ingredients	10,889	9,755	
Finished prepared foods	11,253	6,537	
Inventories, net	35,044	30,858	
Prepaid expenses & other current assets	16,727	6,872	
Advances to suppliers	5,555	4,346	
Income taxes receivable	3,521	1,377	
Total current assets	128,510	119,828	
Land	11,569	11,569	
Buildings & improvements	44,828	44,338	
Leasehold improvements	26,004	25,030	
Equipment	89,451	79,023	

Information systems - hardware & software	10,752	10,264	
Construction in progress	5,867	7,487	
Property, plant & equipment, gross	188,471	177,711	
Less accumulated depreciation & amortization	66,328	57,639	
Property, plant & equipment, net	122,143	120,072	
Investment in Limoneira Company	42,609	40,362	
Investment in unconsolidated entities	24,805	33,019	
Deferred income taxes	4,377	9,783	
Goodwill	18,262	18,262	
Intangibles, net	1,109	2,226	
Mexican IVA (i.e. value-added) taxes receivable	21,859	18,174	
Infrastructure advance to Agricola Belher	2,600	400	
Loan to FreshRealm members		315	
Notes receivable from San Rafael	39	493	
Other assets	1,423	1,183	
Total assets	367,736	364,117	
Payable to growers	14,001	16,524	
Trade accounts payable	13,735	22,911	
Accrued expenses	38,521	39,946	
Short-term borrowings	15,000	20,000	
Dividend payable	17,568	16,657	
Current portion of long-term obligations	118	129	
Total current liabilities	98,943	116,167	
Capital leases	432	568	
Less: current portion	118	129	
Long-term obligations, less current portion	314	439	
Deferred rent	2,678	2,732	
Other long-term liabilities	842	657	
Total long-term liabilities	3,834	3,828	
Common stock	18	18	
Additional paid-in capital	157,928	154,243	
Accumulated other comprehensive income	12,141	10,434	
Noncontrolling interest	1,748	1,016	
Retained earnings (accumulated deficit)	93,124	78,411	
Total shareholders' equity	264,959	244,122	

Recent Dividends:
1. Calavo Growers, Inc. common.

ExDate	Amt	Declared	Record	Payable
11/15/2016	0.90	09/27/2016	11/17/2016	12/08/2016
11/16/2017	0.95	10/04/2017	11/17/2017	12/08/2017
11/15/2018	1.00	10/02/2018	11/16/2018	12/07/2018

Annual Dividends:
1. Calavo Growers, Inc. common.

2016	0.90	2017	0.95	2018	1.00
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CARLYLE GROUP LP (THE)
Acquisition Development On Dec. 17, 2018, Co. announced that it has agreed to acquire StandardAero, a leading MRO provider, from private equity owner Veritas Capital. The companies said the transaction is subject to customary regulatory conditions and is expected to close by the end of the first quarter of 2019, which is Mar. 31, 2018. Terms of the transaction were not disclosed.

COSTCO WHOLESALE CORP
Earnings, 3 mos. to (Consol. - \$000):

Total Revenues	35,069,000	31,809,000	11/25/18	11/26/17
Net Sales	34,311,000	31,117,000		
Cost & expenses	34,120,000	30,858,000		

Operating income	949,000	951,000
Foreign currency	(5,000)	4,000
Net before taxes	935,000	936,000
Income taxes	158,000	285,000
Net income	777,000	651,000
Earnings common share		
Primary	\$1.75	\$1.46
Fully Diluted	\$1.73	\$1.45
Common Shares:		
Full Diluted	442,749	440,851
Year-end	440,546	439,185

CSP INC
Annual Report
Consolidated Income Statement, Years Ended Sept. 30 (\$000):

	2018	2017	2016
		(revised)	(revised)
Sales - product	59,661	76,353	77,835
Sales - services	13,255	12,139	25,532
Total sales	72,916	88,492	103,367
Cost of sales - product	50,000	64,497	63,539
Cost of sales - services	4,517	2,572	14,787
Total cost of sales	54,517	67,069	78,326
Gross profit (loss)	18,399	21,423	25,041
Engineering & development expense	3,277	2,362	2,984
Selling, general & administrative expense	16,723	15,666	18,256
Total operating expenses	20,000	18,028	21,240
Operating income (loss)	(1,601)	3,395	3,801
Foreign exchange gain (loss)	263	(42)	(134)
Other income (expense), net	232	52	(67)
Total other income (expense), net	495	10	(201)
Income (loss) before income tax-United States	(1,077)	3,383	3,418
Income (loss) before income tax-foreign	(29)	22	182
Income (loss) before income tax	3,600
Income (loss) before income taxes & discontinued operations	(1,106)	3,405	...
Current federal income tax provision (benefit)	771	1,067	303
Current state income tax provision (benefit)	47	119	118
Current foreign income tax provision (benefit)	159
Total current income tax provision (benefit)	818	1,186	580
Deferred federal income tax provision (benefit)	259	(86)	400
Deferred state income tax provision (benefit)	(118)	37	46

Deferred foreign income tax provision (benefit) ...	(77)	25	(30)	translation adjustments	18	Retained earnings (accumulated deficit)	29,926	17,407			
Total deferred income tax provision (benefit) for income taxes	882	1,162	996	Restated to reflect Modcomp GmbH, Technology Solutions as discontinued operations; As is; Approximately; Full-time equivalent; As of December 26, 2018; As of December 22, 2017; As of December 26, 2016	22	Effect of foreign currency translation	(4,346)	(3,214)			
Income (loss) from continuing operations	(1,988)	2,243	...		2018	Minimum pension liability	(6,479)	(6,949)			
Gain from sale of discontinued operations	16,838		2017 (revised)	Accumulated other comprehensive income (loss)	(10,825)	(10,163)			
Income (loss) from discontinued operations	(410)	263	...		2017 (revised)	Total shareholders' equity (deficit)	33,802	21,001			
Net income from discontinued operations	16,428	263	...		2017 (revised)	Restated to reflect Modcomp GmbH, Technology Solutions as discontinued operations					
Net income (loss)	14,440	2,506	2,604		2017 (revised)	Recent Dividends:					
Less: net income (loss) attributable to nonvested common stock	598	108	109		2017 (revised)	1. CSP Inc common.					
Net income (loss) attributable to common stockholders	13,842	2,398	2,495		2017 (revised)	ExDate	Amt	Declared	Record	Payable	
Weighted average shares outstanding - basic	3,822	3,723	3,609		2017 (revised)	12/29/2015	0.11	12/23/2015	12/31/2015	01/11/2016	
Weighted average shares outstanding - diluted	3,901	3,817	3,734		2017 (revised)	02/24/2016	0.11	02/16/2016	02/26/2016	03/11/2016	
Year end shares outstanding	4,017	3,935	3,821		2017 (revised)	05/25/2016	0.11	05/11/2016	05/27/2016	06/10/2016	
Income (loss) per share - continuing operations - basic	\$(0.52)	\$0.57	...		2017 (revised)	08/29/2016	0.11	08/18/2016	08/31/2016	09/09/2016	
Gain per share from sale of discontinued operations - basic	\$4.41		2017 (revised)	01/25/2017	0.11	01/12/2017	01/27/2017	02/08/2017	
Income (loss) per share of discontinued operations - basic	\$(0.11)	\$0.07	...		2017 (revised)	03/01/2017	0.11	02/23/2017	03/03/2017	03/17/2017	
Income (loss) per share - discontinued operations - basic	\$4.30	\$0.07	...		2017 (revised)	05/30/2017	0.11	05/24/2017	06/01/2017	06/15/2017	
Net income (loss) per share - basic	\$3.62	\$0.64	\$0.69		2017 (revised)	08/17/2017	0.11	08/14/2017	08/21/2017	09/05/2017	
Income (loss) per share - continuing operations - diluted	\$(0.52)	\$0.56	...		2017 (revised)	12/28/2017	0.11	12/19/2017	12/29/2017	01/16/2018	
Gain per share from sale of discontinued operations - diluted	\$4.32		2017 (revised)	02/27/2018	0.11	02/12/2018	02/28/2018	03/16/2018	
Income (loss) per share of discontinued operations - diluted	\$(0.11)	\$0.07	...		2017 (revised)	05/30/2018	0.11	05/09/2018	05/31/2018	06/15/2018	
Income (loss) per share - discontinued operations - diluted	\$4.21	\$0.07	...		2017 (revised)	08/30/2018	0.15	08/13/2018	08/31/2018	09/14/2018	
Net income (loss) per share - diluted	\$3.55	\$0.63	\$0.67		2017 (revised)	Annual Dividends:					
Dividends per common share	\$0.48	\$0.44	\$0.44		2017 (revised)	1. CSP Inc common.					
Number of full time employees	124	200	185		2017 (revised)	2016	0.44	2017	0.44	2018	0.48
Number of common stockholders	67	76	78		2017 (revised)	ELECTROCORE INC					
Number of beneficiary stockholders	1,467	1,783	1,611		2017 (revised)	Earnings, 9 mos. to Sep 30(Consol. - \$):					
Foreign currency					2017 (revised)	2018		2017		2018	
					2017 (revised)	Net Sales	625,385	576,727			
					2017 (revised)	Cost & expenses	39,497,712	18,737,491			
					2017 (revised)	Operating income	(38,872,327)	(18,160,764)			
					2017 (revised)	Other income (expense), net	(1,554,813)	(5,009,172)			
					2017 (revised)	Net before taxes	(40,427,140)				
					2017 (revised)	Income taxes	2,431				
					2017 (revised)	Net income	(40,429,571)	(30,293,090)			
					2017 (revised)	Earnings common share					
					2017 (revised)	Primary	\$(0.66)	\$			
					2017 (revised)	Fully Diluted	\$(0.66)	\$			
					2017 (revised)	Common Shares:					
					2017 (revised)	Full Diluted	29,261,942				
					2017 (revised)	Year-end	29,450,034				
					2017 (revised)	ETON PHARMACEUTICALS INC					
					2017 (revised)	Earnings, 9 mos. to Sep 30(Consol. - \$000):					
					2017 (revised)	2018		2017		2018	
					2017 (revised)	Cost & expenses	8,035	4,954			
					2017 (revised)	Operating income	(8,035)	(4,954)			
					2017 (revised)	Other income (expense), net	(975)	(32)			
					2017 (revised)	Net income	(9,010)	(4,986)			
					2017 (revised)	Balance for common	(11,167)	(5,768)			
					2017 (revised)	Earnings common share					
					2017 (revised)	Primary	\$(2.40)	\$(1.69)			
					2017 (revised)	Fully Diluted	\$(2.40)	\$(1.69)			
					2017 (revised)	Common Shares:					
					2017 (revised)	Full Diluted	4,658	3,411			
					2017 (revised)	Year-end	6,000				
					2017 (revised)	EXPRESS SCRIPTS HOLDING CO					
					2017 (revised)	Merger Completed On Dec. 20, 2018, Co. merged with and into Cigna Corp (New) ("Cigna"), a holding company (the "Merger"). As a result of the Merger, Co.'s holders received 0.2434 shares of Cigna.					
					2017 (revised)	GENCOR INDUSTRIES INC					
					2017 (revised)	Annual Report					
					2017 (revised)	Consolidated Income Statement, Years Ended Sept. 30 (\$):					
					2017 (revised)	2018		2017		2016	
					2017 (revised)	Net revenue	98,614,000	80,608,000	69,991,000		
					2017 (revised)	Cost of goods sold	71,993,000	59,449,000	52,466,000		
					2017 (revised)	Gross profit	26,621,000	21,159,000	17,525,000		
					2017 (revised)	Product engineering & development expenses	2,915,000	2,147,000	1,567,000		

Selling, general & administrative expenses	9,991,000	8,776,000	8,142,000
Total operating expenses	12,906,000	10,923,000	9,709,000
Operating income (loss)	13,715,000	10,236,000	7,816,000
Interest & dividend income, net of fees	1,535,000	650,000	754,000
Realized & unrealized gains (losses) on marketable securities	(363,000)	1,297,000	828,000
Other income (expenses)	2,000	(5,000)	2,000
Total other income (expenses)	1,174,000	1,942,000	1,584,000
Income (loss) before income taxes	14,889,000	12,178,000	9,400,000
Current provision (benefit) for income tax expense - federal	1,441,000	2,381,000	679,000
Current provision (benefit) for income tax expense - state	127,000	50,000	31,000
Total current income tax expense (benefit)	1,568,000	2,431,000	710,000
Deferred provision (benefit) for income tax expense - federal	631,000	1,238,000	1,768,000
Deferred provision (benefit) for income tax expense - state	126,000	91,000	(121,000)
Total deferred income tax expense (benefit)	757,000	1,329,000	1,647,000
Income tax expense (benefit)	2,325,000	3,760,000	2,357,000
Net income (loss)	12,564,000	8,418,000	7,043,000
Weighted average shares outstanding - basic	14,492,000	14,396,000	14,334,000
Weighted average shares outstanding - diluted	14,723,000	14,680,000	14,524,000
Year end shares outstanding	14,541,194	14,418,686	14,374,936
Net income (loss) per share - basic	\$0.87	\$0.58	\$0.49
Net income (loss) per share - diluted	\$0.85	\$0.57	\$0.48
Number of full time employees	372	332	273
Number of part time employees	...	3	...
Total number of employees	372
Number of common stockholders	220	228	243
Number of common stockholders - class B	6	5	5

Reclassified to conform with 2017 presentation

Consolidated Balance Sheet, Years Ended Sept. 30 (\$):

2018 2017

Cash & cash equivalents	8,012,000	22,933,000
Marketable securities at fair value	104,058,000	87,886,000
Accounts receivable, gross	...	1,391,000
Less allowance for doubtful accounts	...	207,000
Accounts receivable	993,000	1,184,000
Costs & estimated earnings in excess of		

billings	11,900,000	6,768,000
Raw materials	11,254,000	9,407,000
Work in process	1,020,000	3,098,000
Finished goods	5,924,000	4,166,000
Used equipment	16,000	16,000
Inventories, net	18,214,000	16,687,000
Prepaid expenses	1,904,000	1,660,000
Total current assets	145,081,000	137,118,000
Land & improvements	3,323,000	3,323,000
Building & improvements	13,350,000	12,935,000
Equipment	12,966,000	9,943,000
Gross property & equipment	29,639,000	26,201,000
Less: accumulated depreciation & amortization	21,750,000	20,479,000
Property & equipment, net	7,889,000	5,722,000
Other assets	53,000	53,000
Total assets	153,023,000	142,893,000
Accounts payable	1,838,000	1,320,000
Customer deposits	4,563,000	8,628,000
Payroll & related accruals	1,371,000	1,374,000
Warranty & related accruals	400,000	412,000
Accrued professional fees	118,000	158,000
Other accrued expenses	196,000	482,000
Accrued expenses	2,085,000	2,426,000
Total current liabilities	8,486,000	12,374,000
Deferred & other income taxes	2,358,000	1,601,000
Total liabilities	10,844,000	13,975,000
Common stock	1,225,000	1,215,000
Class B stock	229,000	226,000
Capital in excess of par value	11,862,000	11,178,000
Retained earnings (accumulated deficit)	128,863,000	116,299,000
Total shareholders' equity (deficit)	142,179,000	128,918,000

Cost - Marketable securities: \$103,751,000; Cost - Marketable securities: \$86,967,000

Recent Dividends:

- 1. Gencor Industries Inc common.**
No dividends paid.
 - 2. Gencor Industries Inc voting class B common.**
No dividends paid.
- After 50% split: No dividends paid.
- 4. Gencor Industries Inc common.**
No dividends paid.
- Annual Dividends:**
- 1. Gencor Industries Inc common.**
No dividends paid.
 - 2. Gencor Industries Inc voting class B common.**
No dividends paid.
- After 50% split: No dividends paid.
- 4. Gencor Industries Inc common.**
No dividends paid.

HALCON RESOURCES CORP

Interest Sale Completed On Dec. 20, 2018, Co. and certain of its wholly-owned subsidiaries sold Co.'s water infrastructure assets located in the Delaware Basin to an affiliate of WaterBridge Resources LLC, a company that provides midstream services, for \$200,000,000 paid in cash upon closing and potential incentive payments of up to \$25,000,000 per year for each of the next five years subject to Co.'s ability to meet certain annual incentive thresholds which would be driven by, among other things, Co.'s development program which would consider future market conditions and is subject to change.

I3 VERTICALS INC

Annual Report

Consolidated Income Statement, Years Ended Sept. 30 (\$000):

	2018	2017 (revised)	2016 (revised)
Total revenues	323,508	262,571	199,644
Interchange & network fees	214,543	189,112	140,998
Other costs of services	40,314	28,798	21,934
Selling, general &			

administrative expense	40,585	27,194	20,393
Depreciation & amortization	11,839	10,085	9,898
Change in fair value of contingent consideration	3,866	(218)	2,458
Total operating expenses	311,147	254,971	195,681
Income (loss) from operations	12,361	7,600	3,963
Interest expense, net	8,498	6,936	5,900
Change in fair value of warrant liability	(8,487)	415	28
Other income (expenses)	59
Total other income (expense), net	(16,985)	(6,521)	(5,813)
Income (loss) before provision for income taxes	(4,624)	1,079	(1,850)
Current federal income taxes	668
Current state income taxes	351	121	246
Deferred federal income taxes (benefit)	(685)
Deferred state tax expense (benefit)	3	56	(3)
Provision for income taxes	337	177	243
Net income (loss)	(4,961)	902	(2,093)
Net income attributable to non-controlling interest	(1,937)
Net (loss) income attributable to i3 Verticals, Inc.	(6,898)	902	(2,093)
Weighted average shares of Class A common stock outstanding - basic	8,813
Weighted average shares of Class A common stock outstanding - diluted	26,874
Year end units outstanding	26,326	23,088	17
Net income (loss) per share attributable to Class A common stockholders - basic	\$0.08
Net income (loss) per share attributable to Class A common stockholders - diluted	\$0.08
Total number of employees	338	326	...
Number of common stockholders - class A	235
Number of common stockholders - class B	56

Reclassified to conform with 2018 presentation; As is; As of March 31, 2018; As of December 5, 2018

Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):

	2018	2017 (revised)
Cash & cash equivalents	572	955
Accounts receivable, gross	12,705	8,623
Allowance for doubtful		

accounts	205	211	□ Reclassified to conform with 2018 presentation			receivable, gross	152,446,000	143,596,000	
Accounts receivable, net	12,500	8,412			Allowance	868,000	650,000		
Settlement assets	863	5,196			Trade accounts receivable, net	151,578,000	142,946,000		
Prepaid expenses & other current assets	2,630	1,141	Recent Dividends:		Retainage accounts receivable	24,312,000	21,360,000		
Total current assets	16,565	15,704	1. i3 Verticals Inc class B common.		Raw materials	4,453,000	4,104,000		
Computer equipment & software	636	484	No dividends paid.		Work in process	5,168,000	3,731,000		
Furniture & fixtures	1,299	719	2. i3 Verticals Inc class A common.		Finished goods	1,746,000	1,692,000		
Terminals	588	991	No dividends paid.		Parts & supplies	9,599,000	7,396,000		
Office equipment	107	87	2. i3 Verticals Inc class A common.		Inventories	20,966,000	16,923,000		
Automobiles	114	56	No dividends paid.		Costs & estimated earnings in excess of billings	31,446,000	13,438,000		
Leasehold improvements	1,506	429	IES HOLDINGS INC		Prepaid expenses & other current assets	8,144,000	8,795,000		
Less: Accumulated depreciation	1,292	1,346	Annual Report		Total current assets	262,693,000	231,752,000		
Property & equipment, net	2,958	1,420	Consolidated Income Statement, Years Ended Sept. 30 (\$):		Land	1,348,000	1,076,000		
Restricted cash	665	1,013	2018	□2017	□2016	Buildings & improvements	12,479,000	11,675,000	
Capitalized software, net	3,372	3,778	Revenues	876,828,000	810,744,000	695,993,000	Machinery & equipment	27,443,000	23,190,000
Goodwill	83,954	58,517	Cost of services	726,866,000	670,246,000	569,013,000	Information systems	7,854,000	14,318,000
Intangible assets, net	66,023	59,259	Gross profit (loss)	149,962,000	140,498,000	126,980,000	Furniture & fixtures	1,579,000	1,693,000
Other assets	1,605	300	Selling, general & administrative expenses	123,920,000	120,370,000	100,558,000	Less: accumulated depreciation	25,613,000	27,862,000
Total assets	175,142	139,991	Contingent consideration expense	103,000	(145,000)	652,000	Construction in progress	274,000	553,000
Accounts payable	4,114	1,600	Gain (loss) on sale of assets	15,000	69,000	(810,000)	Property & equipment, net	25,364,000	24,643,000
Current portion of long-term debt	5,000	4,000	Operating income	25,954,000	20,342,000	24,960,000	Goodwill	50,702,000	46,693,000
Accrued wages, bonuses, commissions & vacation	1,975	1,298	Interest expense	1,946,000	1,702,000	1,282,000	Intangible assets, net	30,590,000	31,413,000
Accrued interest	75	529	Other income (expense), net	340,000	165,000	83,000	Deferred tax assets	46,580,000	86,211,000
Accrued contingent consideration - current portion	3,813	2,229	Income (loss) from continuing operations before income taxes	24,348,000	18,805,000	23,761,000	Executive savings plan assets	747,000	641,000
Tax receivable agreement liability - current portion	25	...	Current federal income tax provision (benefit)	(2,345,000)	(3,092,000)	762,000	Securities & equity investments	558,000	558,000
Accrued tax liabilities	836	42	Deferred federal income tax provision (benefit)	38,744,000	6,384,000	(97,093,000)	Other non-current assets	4,760,000	2,583,000
Customer deposits	1,333	...	Current state income tax provision (benefit)	1,536,000	1,432,000	952,000	Total assets	421,994,000	424,494,000
Other current liabilities	3,481	2,608	Deferred state income tax provision (benefit)	216,000	487,000	(1,738,000)	Accounts payable, trade	75,293,000	63,246,000
Accrued expenses & other current liabilities	11,538	6,706	Provision (benefit) for income taxes	38,151,000	5,211,000	(97,117,000)	Accrued compensation & benefits	34,058,000	33,561,000
Settlement obligations	863	5,196	Net income (loss)	(13,803,000)	13,594,000	120,878,000	Accrual for insurance liabilities	6,202,000	6,204,000
Deferred revenues	4,927	2,719	Net income (loss) attributable to noncontrolling interest	(354,000)	(172,000)	(100,000)	Other accrued expenses	15,038,000	17,699,000
Total current liabilities	26,442	20,221	Net income (loss) attributable to IES Holdings, Inc	(14,157,000)	13,422,000	120,778,000	Billings in excess of costs & estimated earnings	33,826,000	29,918,000
Notes payable to Mezzanine Lenders	...	10,500	Weighted average shares outstanding - basic	21,196,388	21,280,549	21,279,342	Total current liabilities	164,417,000	150,628,000
Unsecured notes payable to related & unrelated creditors	...	16,108	Weighted average shares outstanding - diluted	21,196,388	21,533,254	21,492,339	Revolving loan	30,247,000	30,257,000
Term loans to bank	35,000	14,000	Year end shares outstanding	21,205,536	21,336,975	21,456,539	Debt issuance costs	(912,000)	(1,115,000)
Revolving lines of credit to banks	3,250	71,600	Net income (loss) per share - basic	\$(0.67)	\$0.62	\$5.63	Other long-term debt	229,000	292,000
Debt issuance costs	(1,474)	(1,372)	Net income (loss) per share - diluted	\$(0.67)	\$0.62	\$5.62	Other non-current liabilities	4,374,000	4,457,000
Total long-term debt, net of issuance costs	36,776	110,836	Total number of employees	4,564	3,532	4,063	Total liabilities	198,355,000	184,519,000
Less current portion of long-term debt	5,000	4,000	Number of common stockholders	□356	□360	□370	Noncontrolling interest	3,232,000	3,271,000
Long-term debt, net	31,776	106,836					Common stock	220,000	220,000
Accrued contingent consideration - long-term portion	2,186	1,111					Treasury stock, at cost	8,937,000	6,898,000
Warrant liabilities	...	767					Additional paid-in capital	196,810,000	196,955,000
Tax receivable agreement liability - long-term	791	...					Retained earnings (accumulated deficit)	32,314,000	46,427,000
Deferred tax liability - long-term	1,100	...					Total stockholders' equity (deficit)	220,407,000	236,704,000
Other long-term liabilities	649	187							
Other non-current liabilities	4,726	2,065							
Total liabilities	62,944	129,122							
Redeemable class A units	...	7,723							
Member's equity	...	36,164							
Class A common stock	1	...							
Class B common stock	2	...							
Additional paid-in-capital	38,562	...							
Retained earnings (accumulated deficit)	736	(33,018)							
Total stockholders'/members' equity	39,301	3,146							
Non-controlling interest	72,897	...							
Total equity	112,198	3,146							

expenses	856,074,106	837,144,859	794,594,653
Gain (loss) from sale or disposal of assets	728,386	1,464,600	(1,208,549)
Income from operations	124,823,641	127,912,744	128,601,998
Other income (expense), net	3,064,690	3,806,869	2,362,772
Total interest costs	48,707,921	48,675,895	47,807,738
Interest capitalized	1,138,218	1,217,862	1,477,434
Interest expense	47,569,703	47,458,033	46,330,304
Income before income taxes	80,318,628	84,261,580	84,634,466
Current income tax expense (benefit) - federal	(21,596,000)	26,994,000	19,676,000
Current income tax expense (benefit) - state	7,000	4,925,000	3,963,000
Total current income taxes	(21,589,000)	31,919,000	23,639,000
Deferred income tax expense (benefit) - federal	1,020,000	(1,104,000)	6,828,000
Deferred income tax expense (benefit) - state	3,523,000	(427,000)	(22,000)
Total deferred income taxes	4,543,000	(1,531,000)	6,806,000
Income tax expense	(17,046,000)	30,388,000	30,445,000
Net income	97,364,628	53,873,580	54,189,466
Weighted average class A shares outstanding - basic	14,123,182	14,039,125	13,943,299
Weighted average class B shares outstanding - basic	6,136,594	6,220,651	6,316,477
Weighted average class A shares outstanding - diluted	20,259,776	20,259,776	20,259,776
Weighted average class B shares outstanding - diluted	6,136,594	6,220,651	6,316,477
Year end shares outstanding	20,259,776	20,259,776	20,259,776
Net earnings per class A share - basic	\$4.94	\$2.74	\$2.75
Net earnings per class B share - basic	\$4.49	\$2.49	\$2.50
Net earnings per class A share - diluted	\$4.81	\$2.66	\$2.68
Net earnings per class B share - diluted	\$4.49	\$2.49	\$2.50
Dividends per class A common share	\$0.66	\$0.66	\$0.66
Dividends per class B common share	\$0.60	\$0.60	\$0.60
Total number of employees	26,000	25,000	26,500
Number of common stockholders - class A	416	434	451
Number of common stockholders - class B	124	127	130

Approximately; As of December 4, 2018; As of December 4, 2017; As of December 14, 2016

Consolidated Balance Sheet, Years Ended (\$):

	09/29/18	09/30/17
Cash & cash equivalents	10,537,303	23,912,100
Receivables, gross	70,490,264	66,634,744
Less allowance for doubtful accounts	433,355	305,580

Receivables, net	70,056,909	66,329,164
Inventories	372,195,421	349,333,013
Other current assets	43,953,483	6,265,737
Total current assets	496,743,116	445,840,014
Land	351,073,344	339,983,697
Construction in progress	12,393,293	26,684,306
Buildings	1,173,237,017	1,110,482,379
Store, office & warehouse equipment	946,026,834	900,160,007
Transportation equipment	75,919,047	72,942,078
Leasehold improvements	54,387,952	56,561,436
Total property & equipment	2,613,037,487	2,506,813,903
Less accumulated depreciation & amortization	1,309,993,117	1,241,701,553
Property & equipment, net	1,303,044,370	1,265,112,350
Other assets	25,123,334	22,353,410
Total assets	1,824,910,820	1,733,305,774
Current portion of long-term debt	12,848,013	12,210,571
Accounts payable - trade	165,165,312	150,901,051
Property, payroll & other taxes payable	22,327,253	21,261,924
Salaries, wages & bonuses payable	29,583,266	28,369,250
Self-insurance liabilities - employee group insurance	5,257,120	4,893,855
Self-insurance liabilities - workers' compensation insurance	5,079,514	5,387,235
Self-insurance liabilities - general liability insurance	3,239,695	3,045,020
Interest	13,397,615	13,175,382
Other accrued expenses & current portion of other long-term liabilities	3,240,303	6,319,191
Accrued expenses & current portion of other long-term liabilities	82,124,766	82,451,857
Total current liabilities	260,138,091	245,563,479
Deferred income taxes	74,461,000	69,918,000
Senior notes	700,000,000	700,000,000
Recovery Zone facility bonds	77,090,000	81,620,000
Notes payable due to banks	94,535,898	103,471,449
Less unamortized prepaid loan costs	(6,038,125)	(7,221,134)
Less current portion of long-term debt	12,848,013	12,210,571
Long-term debt	852,739,760	865,659,744
Advance payments on purchases contracts	3,115,106	3,185,034
Deferred lease expense	1,941,708	1,913,811
Nonqualified investment plan liability	15,033,318	13,303,318
Self-insurance liabilities - workers' compensation insurance	16,333,768	17,147,541
Self-insurance liabilities - general liability insurance	4,776,234	4,663,347
Other long-term liabilities	2,124,800	1,867,015
Total other long-term liabilities	43,324,934	42,080,066
Less current portion of other long-term liabilities	(1,166,773)	(967,518)
Total liabilities	1,229,497,012	1,222,253,771
Class A common stock	707,269	704,202
Class B common stock	305,720	308,787
Paid-in capital in excess of par value	12,311,249	12,311,249
Retained earnings	582,089,570	497,727,765
Total stockholders' equity	595,413,808	511,052,003

Recent Dividends:
1. Ingles Markets Inc class B common.
 No dividends paid.

2. Ingles Markets Inc class A common.

ExDate	Amt	Declared	Record	Payable
01/05/2016	0.17	12/28/2015	01/07/2016	01/21/2016
04/05/2016	0.17	03/28/2016	04/07/2016	04/14/2016
07/05/2016	0.17	06/27/2016	07/07/2016	07/14/2016
10/04/2016	0.17	09/26/2016	10/06/2016	10/14/2016
01/04/2017	0.17	12/27/2016	01/06/2017	01/12/2017
04/04/2017	0.17	03/27/2017	04/06/2017	04/13/2017
07/03/2017	0.17	06/26/2017	07/06/2017	07/13/2017
10/11/2017	0.17	10/02/2017	10/12/2017	10/19/2017
01/10/2018	0.17	01/02/2018	01/11/2018	01/18/2018
04/11/2018	0.17	04/02/2018	04/12/2018	04/19/2018
07/11/2018	0.17	07/02/2018	07/12/2018	07/19/2018
10/10/2018	0.17	10/01/2018	10/11/2018	10/18/2018

Annual Dividends:
1. Ingles Markets Inc class B common.
 No dividends paid.
2. Ingles Markets Inc class A common.

2016	0.66	2017	0.66	2018	0.66
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INNOVATIVE SOLUTIONS AND SUPPORT INC
Annual Report

Consolidated Income Statement, Years Ended Sept. 30 (\$):

	2018	2017	2016
			(revised)
Net sales - product	13,450,803	16,133,371	26,985,899
Net sales - engineering development contracts	399,569	653,303	983,804
Total net sales	13,850,372	16,786,674	27,969,703
Cost of sales - product	7,120,731	8,294,228	11,112,339
Cost of sales - engineering development contracts	191,192	374,121	369,984
Total cost of sales	7,311,923	8,668,348	11,482,323
Gross profit (loss)	6,538,449	8,118,326	16,487,380
Research & development expense	3,575,801	4,456,657	4,873,328
Selling, general & administrative expenses	6,674,187	3,739,234	9,170,865
Total operating expenses	10,249,988	8,195,891	14,044,193
Operating income (loss)	(3,711,539)	(77,565)	2,443,187
Interest income	53,561	35,888	33,504
Other income	67,724	4,858,224	78,440
Income (loss) before income taxes	(3,590,254)	4,816,547	2,555,131
Current income tax provision (benefit) - federal	(3,440)	244,794	77,244
Current income tax provision (benefit) - state	5,239	3,082	(2,930)
Total current income tax provision (benefit)	1,799	247,876	74,314
Deferred income provision (benefit) - federal	61,799	...	493,989
Deferred income provision (benefit) - state	53	44	27
Total deferred income taxes provision (benefit)	61,852	44	494,016
Income taxes expense (benefit)	63,651	247,920	568,330
Net income (loss)	(3,653,905)	4,568,627	1,986,801
Weighted average shares outstanding - basic	16,805,991	16,742,461	16,927,055
Weighted average shares outstanding - diluted	16,805,991	16,855,644	17,039,296
Year end shares			

outstanding	16,840,599	16,783,129	16,716,014	Gross profit	241,860	210,940	176,462	Inventories	88,864	79,148
Net income per share - basic	\$(0.22)	\$0.27	\$0.12	Marketing & selling expenses	112,782	100,359	90,690	Other current assets	5,373	4,470
Net income per share - diluted	\$(0.22)	\$0.27	\$0.12	Administrative management, finance & information systems expenses	45,616	45,824	38,251	Total current assets	285,694	240,849
Total number of employees	55	93	91	Goodwill & other intangible assets impairment	6,197	Property improvements	590	590
Number of common stockholders	10	12	16	Research & development expenses	20,441	19,166	18,430	Buildings & improvements	21,669	21,770

As reported by the Company; As of November 15, 2018; As of November 15, 2017; As of November 30, 2016

Consolidated Balance Sheet, Years Ended Sept. 30 (\$):										
	2018	2017								
Cash & cash equivalents	20,390,713	24,680,301		Operating profit (loss)	63,021	45,591	22,894	Other intangible assets, net	12,341	13,476
Accounts receivable, net	3,449,893	2,748,597		Interest income	1,166	316	81	Other assets	19,020	16,526
Unbilled receivables	...	1,480,822		Interest expense	203	757	727	Total assets	395,936	353,659
Raw materials	2,892,366	2,920,209		Other income (expense)	4,122	3,060	1,407	Accounts payable	34,160	31,686
Work-in-process	817,051	794,756		Income (loss) before income taxes - United States	57,888	41,463	28,881	Accrued salaries, wages & benefits	22,315	21,825
Finished goods	570,691	464,689		Income (loss) before income taxes - foreign	10,218	6,747	(5,226)	Accrued warranty	8,499	6,393
Inventories	4,280,108	4,179,654		Profit (loss) before income taxes	68,106	48,210	23,655	Income taxes payable	7,739	5,434
Prepaid insurance	258,015	402,300		Current income tax expense (benefit) - federal	12,390	13,154	9,471	Accrued discounts & returns	7,505	5,137
Income tax refund receivable	1,578	260,509		Current income tax expense - state	4,482	2,361	1,492	Other accrued liabilities	12,566	13,602
Other current assets	284,641	429,255		Current income tax expense - foreign	1,678	1,455	986	Total current liabilities	92,784	84,077
Total current assets	28,664,948	34,181,438		Deferred income tax expense (benefit)	8,887	(3,917)	(1,795)	Deferred income taxes	1,715	1,845
Computers equipment	2,268,969	2,247,866		Income tax expense (benefit)	27,437	13,053	10,154	Retirement benefits	1,945	8,844
Corporate airplane	5,601,039	3,194,571		Net income (loss)	40,669	35,157	13,501	Other liabilities	20,295	15,889
Furniture & office equipment	1,033,779	1,051,637		Weighted average class A shares outstanding-basic	8,730	8,675	8,627	Total liabilities	116,739	110,655
Manufacturing facility	5,733,313	5,733,313		Weighted average class B shares outstanding-basic	1,212	1,212	1,212	Class A common shares	442	442
Equipment	5,580,083	5,507,774		Weighted average shares outstanding-diluted	9,996	9,920	9,855	Class B common shares	61	61
Land	1,021,245	1,021,245		Year end shares outstanding	9,999	9,996	9,990	Capital in excess of par value	75,025	72,801
Property & equipment, gross	21,238,428	18,756,406		Net earnings (loss) per class A share-basic	\$4.12	\$3.56	\$1.36	Retained earnings	202,828	166,905
Less: accumulated depreciation & amortization	12,451,691	12,087,395		Net earnings (loss) per class A share-diluted	\$4.05	\$3.51	\$1.34	Foreign currency translation adjustment	7,796	...
Property & equipment, net	8,786,737	6,669,011		Dividends declared per class A common share	\$0.48	\$0.37	\$0.32	Unamortized gain (loss) on pension plans, net	(4,309)	...
Intangible assets, gross	600,237	600,237		Dividends declared per class B common share	\$0.44	\$0.34	\$0.29	Accumulated other comprehensive income (loss)	3,487	4,993
Less: accumulated amortization - intangible assets	531,637	531,637		Number of full time employees	1,200	1,100	1,200	Treasury stock at cost, shares of class A common stock	2,646	2,198
Intangible assets, net	68,600	68,600		Number of class A common stockholders	540	570	620	Total shareholders' equity	279,197	243,004
Other non-current assets	113,393	118,715		Number of class B common stockholders	24	24	25			
Total assets	37,633,678	41,037,764								
Accounts payable	1,529,792	1,321,251								
Accrued warranty	854,952	1,013,461								
Accrued salary, benefits & payroll taxes	143,183	258,688								
Accrued professional fees	203,823	219,331								
Other accrued expenses	261,063	268,557								
Accrued expenses	1,463,021	1,760,037								
Deferred revenue	356,801	280,354								
Total current liabilities	3,349,614	3,361,642								
Non-current deferred income taxes	129,594	67,742								
Total liabilities	3,479,208	3,429,384								
Common stock	18,937	18,880								
Additional paid-in capital	51,783,779	51,583,841								
Retained earnings (accumulated deficit)	3,720,291	7,374,196								
Treasury stock, at cost	21,368,537	21,368,537								
Total shareholders' equity	34,154,470	37,608,380								

Recent Dividends:

1. Innovative Solutions and Support Inc common.

No dividends paid.

Annual Dividends:

1. Innovative Solutions and Support Inc common.

No dividends paid.

JOHNSON OUTDOORS INC

Annual Report

Consolidated Income Statement, Years Ended (\$000):

	09/28/18	09/29/17	09/30/16
		(revised)	(revised)
Net sales	544,268	490,565	433,727
Cost of sales	302,408	279,625	257,265

Reclassified to conform with 2018 presentation; Approximately: As is; As of October 17, 2018; As of October 30, 2017; As of November 27, 2016

Consolidated Balance Sheet, Years Ended (\$000):

	09/28/18	09/29/17	09/30/16
		(revised)	(revised)
Cash & cash equivalents	121,877	63,810	63,810
Short-term investments	28,714	46,607	46,607
Accounts receivable, net	40,866	46,814	46,814
Raw materials	40,375	32,826	32,826
Work in process	39	48	48
Finished goods	48,450	46,274	46,274

Recent Dividends:

1. Johnson Outdoors Inc class B common.

ExDate	Amt	Declared	Record	Payable
0.07	12/03/2015	01/14/2016	01/28/2016	
0.07	03/02/2016	04/14/2016	04/28/2016	
0.07	06/03/2016	07/14/2016	07/28/2016	
0.08	09/29/2016	10/13/2016	10/27/2016	
0.08	12/08/2016	01/12/2017	01/26/2017	
0.08	03/03/2017	04/13/2017	04/27/2017	
0.08	06/02/2017	07/13/2017	07/27/2017	
0.09	09/29/2017	10/13/2017	10/27/2017	
0.09	12/08/2017	01/11/2018	01/25/2018	
0.11	03/02/2018	04/12/2018	04/26/2018	
0.11	06/01/2018	07/12/2018	07/26/2018	
0.13	09/26/2018	10/10/2018	10/24/2018	

2. Johnson Outdoors Inc class A common.

ExDate	Amt	Declared	Record	Payable
0.08	01/12/2016	01/14/2016	01/28/2016	
0.08	04/12/2016	03/02/2016	04/14/2016	04/28/2016
0.08	07/12/2016	06/03/2016	07/14/2016	07/28/2016
0.09	10/11/2016	09/29/2016	10/13/2016	10/27/2016
0.09	01/10/2017	12/08/2016	01/12/2017	01/26/2017
0.09	04/11/2017	03/03/2017	04/13/2017	04/27/2017
0.09	07/11/2017	06/02/2017	07/13/2017	07/27/2017
0.10	10/12/2017	09/29/2017	10/13/2017	10/27/2017
0.10	01/10/2018	12/08/2017	01/11/2018	01/25/2018
0.12	04/11/2018	03/02/2018	04/12/2018	04/26/2018
0.12	07/11/2018	06/01/2018	07/12/2018	07/26/2018
0.14	10/09/2018	09/26/2018	10/10/2018	10/24/2018

Annual Dividends:**1. Johnson Outdoors Inc class B common.**

2016.....0.30	2017.....0.34	2018.....0.44
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2. Johnson Outdoors Inc class A common.

2016.....0.33	2017.....0.37	2018.....0.48
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KLX ENERGY SERVICES HOLDINGS INC**Earnings, 9 mos. to Oct 31(Consol. – \$000):**

	2018	2017
Cost & expenses	341,800	246,200
Operating income	9,600	(20,000)
Income taxes	100	100
Net income	9,500	(20,100)
Earnings common share		
Primary	\$0.47	\$(1.00)
Fully Diluted	\$0.47	\$(1.00)
Common Shares:		
Full Diluted	20,200	20,100
Year-end	22,300

KODIAK SCIENCES INC**Earnings, 9 mos. to Sep 30(Consol. – \$000):**

	2018	2017
Cost & expenses	17,017	15,763
Operating income	(17,017)	(15,763)
Interest expense	5,329	407
Other income (expense), net	(4,435)	(196)
Net income	(26,781)	(16,366)
Earnings common share		
Primary	\$(3.45)	\$(2.19)
Fully Diluted	\$(3.45)	\$(2.19)
Common Shares:		
Full Diluted	7,765	7,480
Year-end	8,012

LEGACY HOUSING CORP**Annual Report****Consolidated Income Statement, Years Ended Dec. 31****(\$000):**

	2017	2016
Product sales	109,750	93,394
Consumer & MHP loans interest	15,647	13,739
Other	3,339	3,412
Total net revenues	128,736	110,545
Cost of product sales	82,498	77,329
Selling, general & administrative expense	17,105	13,580
Dealer incentive	1,038	1,211
Income (loss) from operations	28,095	18,425
Non-operating interest income	272	214
Miscellaneous, net	149	102
Interest expense	2,044	1,244
Total other income (expense)	(1,623)	(928)
Income (loss) before provision for income taxes	26,472	17,497
State income tax expense	124	158
Net income (loss)	26,348	17,339
Total number of employees	800
Number of common stockholders	8

□ As Is; □ Approximately; □ As of September 30, 2018; □ As of November 30, 2018

Consolidated Balance Sheet, Years Ended Dec. 31 (\$000):

	2017	2016
Cash & cash equivalents	428	1,009
Accounts receivable, gross	3,907	1,583
Allowance for doubtful accounts	115	105
Accounts receivable, net	3,792	1,478
Current portion consumer loans	4,305	3,655

Current portion of notes

receivable from mobile home parks	7,216	6,369
Current portion of other notes receivable	2,339	2,166
Inventories	39,561	29,332
Prepaid expenses & other current assets	1,800	1,941
Total current assets	59,441	45,950
Land	3,004	3,020
Buildings & improvements	9,008	8,366
Vehicles	1,269	1,036
Machinery & equipment	2,788	2,435
Furniture & fixtures	135	134
Property, plant & equipment, gross	16,204	14,991
Less: Accumulated depreciation	4,378	3,785
Property, plant & equipment, net	11,826	11,206
Consumer loans, net of deferred financing fees & allowance for loan losses	82,331	73,094
Notes receivable from mobile home parks	42,286	37,389
Other notes receivable, net of allowance for loan losses	2,867	2,056
Other assets	2,205	2,639
Inventories, non-current	7,379	7,648
Total assets	208,335	179,982
Accounts payable	6,280	4,383
Warranty liability	2,602	2,126
Litigation reserve	315	425
Derivative liabilities	34	14
Credit card liabilities	290	216
Tax liabilities	1,144	970
Other accrued liabilities	435	302
Accrued liabilities	4,820	4,053
Customer deposits	2,903	1,027
Note payable to related party	1,500	1,500
Escrow liability	4,508	3,158
Current portion of notes payable	3,776	231
Total current liabilities	23,787	14,352
Lines of credit	53,094	39,561
Note payable, net of current portion	410	4,186
Dealer incentive liability	6,773	6,292
Total liabilities	84,064	64,391
Partners' capital	124,271	115,591

Recent Dividends:**1. Legacy Housing Corp common.**

No dividends paid.

Annual Dividends:**1. Legacy Housing Corp common.**

No dividends paid.

LEGACY HOUSING CORP**Earnings, 9 mos. to Sep 30(Consol. – \$000):**

	2018	2017
Total Revenues	127,239	86,780
Cost & expenses	98,550	68,986
Operating income	28,689	17,794
Interest income	189	224
Interest expense	2,027	1,531
Other income (expense), net	122	354
Net before taxes	26,973	16,841
Income taxes	8,238	107
Net income	18,735	16,734
Earnings common share		
Primary	\$0.94
Fully Diluted	\$0.94
Common Shares:		
Full Diluted	20,000
Year-end	20,000

LEXARIA BIOSCIENCE CORP

Options Granted On Dec. 14, 2018, Co. announced that as a result of a new staffing position being filled, Co. has issued 30,000

stock options with an exercise price of US\$1.16, that will vest as to 10,000 per year until Apr. 15, 2021.

LIQUIDITY SERVICES INC**Annual Report****Consolidated Income Statement, Years Ended Sept. 30****(\$000):**

	2018	2017	□2016
Revenue	149,677	188,570	233,828
Fee revenue	74,837	81,445	82,626
Total revenue from operations	224,514	270,015	316,454
Cost of goods sold	100,087	126,227	143,127
Seller distributions	(14,715)	(19,298)	(11,214)
Technology & operations expenses	60,786	82,988	93,405
Sales & marketing expenses	33,703	35,211	37,570
General & administrative expenses	30,158	35,835	39,717
Depreciation & amortization	4,599	5,796	6,502
Acquisition costs & related fair value adjustments & impairment of goodwill & long-lived assets	467	1,009	19,037
Other operating expenses	1,392	3,651
Total costs & expenses	245,907	310,015	350,572
Income (loss) from operations	(21,393)	(40,000)	(34,118)
Interest income (expense) & other income (expense), net	450	362	1,217
Income (loss) before provision for income taxes	(20,943)	(39,638)	(32,901)
Current U.S. federal provision (benefit) for income taxes	108	(234)
Current state provision (benefit) for income taxes	714	613	672
Current foreign provision (benefit) for income taxes	795	(210)	176
Current provision (benefit) for income taxes	1,617	169	848
Deferred U.S. federal provision (benefit) for income taxes	(6,796)	(592)	25,338
Deferred state provision (benefit) for income taxes	(4,182)	(86)	3,890
Deferred foreign provision (benefit) for income taxes	33	58	(3,051)
Deferred provision (benefit) for income taxes	(10,945)	(620)	26,177
Provision (benefit) for income taxes	(9,328)	(451)	27,025
Net income (loss)	(11,615)	(39,187)	(59,926)
Weighted average shares outstanding - basic	32,095	31,403	30,638
Weighted average shares outstanding - diluted	32,095	31,403	30,638
Year end shares outstanding	32,774	31,503	30,743
Net income (loss) per share - basic	\$(0.36)	\$(1.25)	\$(1.96)
Net income (loss) per share - diluted	\$(0.36)	\$(1.25)	\$(1.96)

Total number of employees.....	2669	2946	2953
Number of common stockholders.....	224	218	217
Number of beneficiary stockholders.....	21,430	21,757	21,825
Foreign currency translation adjustments.....		551	(398)

□ Reclassified to conform with 2017 presentation; □ As is; □ As November 21, 2018; □ Approximately; □ As of November 21, 2017; □ As of October 28, 2016

Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):

	2018	2017	2017
Cash & cash equivalents.....	58,448	94,348	(revised)
Short-term investments.....	20,000	...	
Accounts receivable, gross.....	5,207	12,266	
Allowance for doubtful accounts.....	337	668	
Accounts receivable, net.....	4,870	11,598	
Inventory.....	10,122	20,736	
Prepaid taxes and tax refund receivable.....	1,727	2,466	
Prepaid expenses & other current assets.....	7,816	9,774	
Total current assets.....	102,983	138,922	
Computers & purchased software.....	2,488	23,724	
Internally developed software for internal-use.....	8,094	7,100	
Office/operational equipment.....	5,957	6,845	
Leasehold improvements.....	2,918	4,167	
Building.....	2,572	2,558	
Furniture & fixtures.....	1,056	1,247	
Vehicles.....	858	1,048	
Land.....	754	754	
Construction in progress.....	2,991	944	
Total property & equipment.....	27,688	48,387	
Less: accumulated depreciation & amortization.....	11,078	31,594	
Property & equipment, net.....	16,610	16,793	
Intangible assets, net.....	7,366	427	
Goodwill.....	59,819	45,388	
Net deferred long-term tax assets.....	930	962	
Other assets.....	14,124	12,737	
Total assets.....	201,832	215,229	
Accounts payable.....	13,859	13,099	
Accrued expenses & other current liabilities.....	21,373	30,193	
Distributions payable.....	2,128	3,081	
Deferred revenue.....	2,142	...	
Payables to sellers.....	28,969	24,383	
Total current liabilities.....	68,471	70,756	
Deferred taxes & other long-term liabilities.....	3,707	11,837	
Total liabilities.....	72,178	82,593	
Common stock.....	33	29	
Additional paid-in capital.....	236,115	227,264	
Foreign currency translation adjustments.....	(7,585)	(6,794)	
Net change pension & other postretirement benefit plans.....	1,136	363	
Accumulated other comprehensive income (loss).....	(6,449)	(6,431)	
Retained earnings (accumulated deficit).....	(100,045)	(88,226)	
Total stockholders' equity (deficit).....	129,654	132,636	

Recent Dividends:

1. Liquidity Services Inc common.

No dividends paid.

Annual Dividends:

1. Liquidity Services Inc common.

No dividends paid.

LOVESAC CO

Earnings, 9 mos. to (Consol. - \$):

	11/04/18	10/29/17
Net Sales.....	101,703,739	62,769,038
Cost & expenses.....	114,476,638	68,832,268
Operating income.....	(15,285,908)	(7,584,691)
Interest expense.....	(142,442)	343,755
Net income.....	(15,143,466)	(7,928,446)
Balance for common.....	(42,976,464)	(8,598,051)
Earnings common share		
Primary.....	\$(4.51)	\$(1.43)
Fully Diluted.....	\$(4.51)	\$(1.43)
Common Shares:		
Full Diluted.....	9,536,164	6,000,000
Year-end.....	13,535,268	...

MODERNA INC

Annual Report

Consolidated Income Statement, Years Ended Dec. 31

(\$000):

	2017	2016
Collaboration revenue.....	146,953	69,109
Collaboration revenue from affiliate.....	30,021	32,427
Grant revenue.....	28,851	6,860
Total revenues.....	205,825	108,396
Research & development expenses.....	410,459	274,717
General & administrative expenses.....	64,722	57,450
Total operating expenses.....	475,181	332,167
Income (loss) from operations.....	(269,356)	(223,771)
Interest income.....	15,235	11,312
Other (expense) income, net.....	(1,875)	(2,709)
Income before income taxes - United States.....	(247,784)	(211,786)
Income before income taxes - Foreign.....	(8,212)	(3,382)
Current federal income taxes.....	(252)	704
Income (loss) before provision for (benefit from) income taxes.....	(255,996)	(215,168)
Current state income taxes.....	172	51
Current income taxes.....	(80)	755
Deferred federal income taxes.....	...	288
Deferred income taxes.....	...	288
Provision for (benefit from) income taxes.....	(80)	1,043
Net income (loss).....	(255,916)	(216,211)
Accretion of redeemable convertible preferred units to redemption value.....	...	8,663
Cumulative preferred stock dividends.....	13,925	5,440
Net income (loss) attributable to common stockholders.....	(269,841)	(230,314)
Weighted average shares outstanding - basic.....	64,498	60,747
Weighted average shares outstanding - diluted.....	64,498	60,747
Year end shares outstanding.....	65,207	63,542
Net earnings (loss) per share - basic.....	\$(4.18)	\$(3.79)
Net earnings (loss) per share - diluted.....	\$(4.18)	\$(3.79)
Number of full time employees.....	2700	...
Number of common stockholders.....	2484	...

□ As Is; □ Approximately; □ As of September 30, 2018; □ As of November 15, 2018

Consolidated Balance Sheet, Years Ended Dec. 31 (\$000):

2017 2016

Cash & cash equivalents.....	134,859	50,080
Investments.....	621,170	1,008,058
Accounts receivable.....	11,881	13,356
Accounts receivable from affiliate.....	1,536	167
Prepaid expenses.....	9,587	11,063
Interest receivable on marketable securities.....	3,239	4,428
Prepaid expenses & other current assets.....	12,826	15,491
Restricted cash.....	951	...
Total current assets.....	783,223	1,087,152
Investments, non-current.....	145,851	236,569
Laboratory equipment.....	77,351	62,847
Internally developed software.....	7,020	7,020
Leasehold improvements.....	12,222	11,909
Computer equipment & software.....	9,420	5,092
Construction in progress.....	80,759	21,873
Other.....	290	275
Property & equipment, gross.....	187,062	109,016
Less: Accumulated depreciation & amortization.....	48,031	27,809
Property & equipment, net.....	139,031	81,207
Restricted cash, non-current.....	11,798	11,480
Other non-current assets.....	4,586	753
Total assets.....	1,084,489	1,417,161
Accounts payable.....	20,725	28,157
In-licenses.....	25,000	...
Property & equipment.....	14,624	7,478
Compensation-related external goods & services.....	18,221	11,689
Accrued liabilities.....	14,870	5,885
Deferred revenue.....	72,715	25,052
Deferred revenue, non-current.....	96,739	107,739
Other current liabilities.....	1,282	1,854
Total current liabilities.....	191,461	162,802
Deferred revenue, non-current.....	242,929	394,250
Deferred lease obligation, non-current.....	7,586	4,654
Construction financing obligation.....	15,687	12,500
Other non-current liabilities.....	1,530	1,104
Total liabilities.....	459,193	575,310
Redeemable convertible preferred stock.....	1,176,661	1,176,661
Common stock.....	6	6
Additional paid-in capital.....	71,679	31,305
Accumulated other comprehensive income (loss).....	(1,157)	(403)
Retained earnings (accumulated deficit).....	(621,893)	(365,718)
Total stockholders' (deficit)/equity.....	(551,365)	(334,810)
Recent Dividends:		
1. Moderna Inc common.		
No dividends paid.		
Annual Dividends:		
1. Moderna Inc common.		
No dividends paid.		
MODERNA INC		
Earnings, 9 mos. to Sep 30(Consol. - \$000):	2018	2017
Total Revenues.....	99,647	113,921
Cost & expenses.....	359,882	341,449
Operating income.....	(260,235)	(227,528)
Interest income.....	18,129	11,452
Other income (expense), net.....	(1,044)	(1,805)

Net before taxes	(243,150)	(217,881)	Dividends per common share	\$1.25	\$1.11	\$0.99	obligations	70,154	73,589
Income taxes	158	91	Total number of employees	7,536	7,532	6,127	Deferred income taxes	100,704	134,090
Net income	(243,308)	(217,972)	Number of common stockholders	1,406	1,466	1,494	Other liabilities	41,704	25,865
Balance for common	(257,758)	(228,415)					Common shares	12,253	12,253
Earnings common share							Capital in excess of stated value	446,555	412,785
Primary	\$(3.91)	\$(3.55)					Retained earnings (accumulated deficit)	2,488,375	2,164,597
Fully Diluted	\$(3.91)	\$(3.55)					Translation adjustments	(57,042)	(28,423)
Common Shares:							Pension & postretirement benefit plan adjustments	(122,272)	(106,012)
Full Diluted	65,888	64,301					Accumulated other comprehensive income (loss)	(179,314)	(134,435)
Year-end	66,353						Common shares in treasury, at cost	1,317,128	1,299,707

NEW CENTURY RESOURCES CORP**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2018	2017
Cost & expenses	16,900	16,599
Operating income	(16,900)	(16,599)
Interest expense	1,207	1,207
Net income	(18,107)	(17,806)
Earnings common share		
Common Shares:		
Full Diluted	12,481,724	12,481,724
Year-end	12,481,724	12,481,724

NORDSON CORP.**Annual Report****Consolidated Income Statement, Years Ended Oct. 31 (\$000):**

	2018	2017	2016
Sales	2,254,668	2,066,982	1,808,994
Cost of sales	1,018,703	927,981	815,495
Selling & administrative expenses	741,408	681,299	605,068
Total operating costs & expenses	1,760,111	1,609,280	1,420,563
Operating profit (loss)	494,557	457,702	388,431
Interest expense	49,576	36,601	21,322
Interest & investment income	1,384	1,124	728
Other income (expense), net	2,154	(1,934)	657
Total other income (expense)	(46,038)	(37,411)	(19,937)
Income (loss) before income taxes	448,519	420,291	368,494
Current U.S. federal tax provision (benefit)	39,837	54,878	44,156
Current state & local tax provision (benefit)	1,734	3,731	2,256
Current foreign income tax provision (benefit)	63,522	66,352	53,836
Current income tax provision (benefit)	105,093	124,961	100,248
Deferred U.S. federal tax provision (benefit)	(32,829)	3,596	(2,334)
Deferred state & local tax provision (benefit)	891	1,164	563
Deferred foreign tax provision (benefit)	(2,011)	(5,232)	(1,826)
Deferred income tax provision (benefit)	(33,949)	(472)	(3,597)
Income tax provision (benefit)	71,144	124,489	96,651
Net income (loss)	377,375	295,802	271,843
Weighted average shares outstanding-basic	57,970	57,533	57,060
Weighted average shares outstanding-diluted	58,931	58,204	57,530
Year end shares outstanding	58,037	57,715	57,307
Net income (loss) per share-basic	\$6.51	\$5.14	\$4.76
Net income (loss) per share-diluted	\$6.40	\$5.08	\$4.73

Consolidated Balance Sheet, Years Ended Oct. 31 (\$000):

	2018	2017
Cash & cash equivalents	95,678	90,383
Accounts receivable	475,638	491,224
Notes receivable	4,476	5,121
Other receivables	20,889	18,533
Less allowance for doubtful accounts	9,580	9,791
Receivables, net	491,423	505,087
Raw materials & component parts	112,823	105,424
Work-in-process	47,126	45,743
Finished goods	148,618	152,923
Total inventories before reserves	308,567	304,090
Obsolescence & other reserves (revised)	37,545	33,140
LIFO reserve	6,545	6,684
Inventories, net	264,477	264,266
Prepaid expenses	32,524	28,636
Total current assets	884,102	888,372
Land	10,544	10,598
Land improvements	4,294	4,292
Buildings	252,127	190,611
Machinery & equipment	456,307	424,006
Enterprise management systems	53,234	52,936
Construction-in-progress	24,266	49,713
Leased property under capitalized leases	26,118	25,715
Property, plant & equipment, gross	826,890	757,871
Less accumulated depreciation & amortization	440,224	411,460
Property, plant & equipment, net	386,666	346,411
Goodwill	1,608,018	1,589,210
Intangible assets, net	499,741	547,180
Deferred income taxes	9,780	11,020
Other assets	32,705	32,346
Total assets	3,421,012	3,414,539
Accounts payable	83,590	86,016
Income taxes payable	19,319	22,310
Accrued salaries & other compensation	72,364	73,234
Accrued pension & retirement	5,095	4,768
Accrued taxes other than income taxes	8,060	7,663
Other accrued liabilities	89,566	87,701
Accrued liabilities	175,085	173,366
Customer advance payments	38,997	34,654
Current maturities of long-term debt	28,734	326,587
Current obligations under capital leases	4,555	4,813
Total current liabilities	350,280	647,746
Revolving credit agreement	52,200	249,138
Senior notes	606,700	272,600
Term loan	605,000	905,000
Euro loan	16,967	12,191
Private shelf facility	36,111	146,666
Development loans	1,086	1,218
Less current maturities	28,734	326,587
Less unamortized debt issuance costs	(3,973)	(3,829)
Obligations under capital leases	8,850	9,693
Pension obligations	113,222	111,666
Postretirement		

obligations	70,154	73,589
Deferred income taxes	100,704	134,090
Other liabilities	41,704	25,865
Common shares	12,253	12,253
Capital in excess of stated value	446,555	412,785
Retained earnings (accumulated deficit)	2,488,375	2,164,597
Translation adjustments	(57,042)	(28,423)
Pension & postretirement benefit plan adjustments	(122,272)	(106,012)
Accumulated other comprehensive income (loss)	(179,314)	(134,435)
Common shares in treasury, at cost	1,317,128	1,299,707
Total shareholders' equity (deficit)	1,450,741	1,155,493

Recent Dividends:**1. Nordson Corp. common.**

ExDate	Amt	Declared	Record	Payable
12/18/2015	0.24	12/08/2015	12/22/2015	01/05/2016
02/19/2016	0.24	02/09/2016	02/23/2016	03/08/2016
05/25/2016	0.24	05/18/2016	05/27/2016	06/10/2016
08/19/2016	0.27	08/12/2016	08/23/2016	09/06/2016
12/14/2016	0.27	12/06/2016	12/16/2016	01/03/2017
02/16/2017	0.27	02/07/2017	02/21/2017	03/07/2017
05/25/2017	0.27	05/16/2017	05/30/2017	06/13/2017
08/18/2017	0.30	08/11/2017	08/22/2017	09/05/2017
12/18/2017	0.30	12/05/2017	12/19/2017	01/02/2018
02/16/2018	0.30	02/06/2018	02/20/2018	03/06/2018
05/25/2018	0.30	05/15/2018	05/29/2018	06/12/2018
08/20/2018	0.35	08/10/2018	08/21/2018	09/04/2018
12/20/2018	0.35	12/11/2018	12/21/2018	01/08/2019

Annual Dividends:**1. Nordson Corp. common.**

2016	0.99	2017	1.11	2018	1.25
2019	0.35				

PARK PLACE ENERGY INC**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2018	2017
Cost & expenses	4,529,146	4,750,913
Operating income	(1,188,268)	(2,074,634)
Interest income	9,461	
Interest expense	51,291	60,995
Other income (expense), net	(102,500)	(11,767)
Gains or losses	320,617	31,190
Foreign currency	66,710	(22,272)
Net income	(945,271)	(2,138,478)
Earnings common share		
Primary	\$(0.01)	\$(0.04)
Fully Diluted	\$(0.01)	\$(0.04)
Common Shares:		
Full Diluted	67,653,988	56,034,121
Year-end	71,684,965	56,243,904

PATRIOT TRANSPORTATION HOLDING INC (NEW)**Annual Report****Consolidated Income Statement, Years Ended Sept. 30 (\$000):**

	2018	2017	2016
		(revised)	(revised)
Transportation revenues	103,131	105,334	115,592
Fuel surcharges	10,934	6,831	4,580
Total revenues	114,065	112,165	120,172
Compensation & benefits	48,010	48,109	51,069
Fuel expenses	17,434	14,991	15,157
Repairs & tires	7,194	7,077	7,777
Other operating costs	4,679	4,418	4,719
Insurance & losses	11,729	10,728	10,358
Depreciation expense	8,759	9,542	8,870
Rents, tags & utilities	3,385	3,384	3,834
Sales, general & administrative	9,735	9,404	9,626

Less accumulated depreciation & amortization	1,290,933	1,253,006	before income taxes - U.S.	(2,103)	(19,932)	5,087	Total current assets	276,662	250,071
Property, plant & equipment	571,781	535,197	Income (loss) before income taxes - other than U.S.	(5,596)	3,013	12,706	Land	22,207	22,441
Intangible assets, net	12,368	17,122	Income (loss) before income taxes	(7,699)	(16,919)	17,793	Buildings & improvements	123,320	121,960
Deferred income taxes	18,109	15,481	Current federal income tax provision	973	(7,782)	(1,395)	Machinery & equipment	104,542	106,113
Other assets	5,020	3,870	Current state income tax provision	201	(101)	449	Furniture & fixtures	3,799	3,806
Total assets	1,110,009	1,020,794	Current foreign income tax provision	449	350	899	Construction in process	357	1,749
Current portion of long-term borrowings	57,453	4,639	Total current income tax provision	1,623	(7,533)	(47)	Property, plant & equipment, gross	254,225	256,069
Accounts payable	89,149	50,834	Deferred federal income tax provision (benefit)	(1,834)	392	1,923	Less: accumulated depreciation	125,461	116,649
Compensation related expenses	15,359	9,981	Deferred state income tax provision (benefit)	(247)	(515)	47	Property, plant & equipment, net	128,764	139,420
Accrued income taxes	10,369	2,305	Deferred foreign income tax provision (benefit)	(89)	223	360	Restricted cash	5,987	9,747
Unearned revenue	7,834	5,659	Deferred income tax provision (benefit)	(2,170)	100	2,330	Goodwill & intangible assets, net	1,514	1,719
Accrued VAT & other taxes	3,683	3,064	Income tax provision (benefit)	(547)	(7,433)	2,283	Other assets	11,087	13,800
Professional fees	1,257	1,011	Net income (loss)	(7,152)	(9,486)	15,510	Deferred income taxes	5,937	229
Other accrued liabilities	5,972	4,283	Weighted average shares outstanding - basic	11,507	11,453	11,400	Total assets	429,951	414,986
Total current liabilities	191,076	81,776	Weighted average shares outstanding - diluted	11,507	11,453	11,431	Current maturities of long-term debt	400	400
Convertible senior notes	57,453	57,337	Year end shares outstanding	11,475	11,429	11,393	Income taxes payable	897	1,219
Capital lease obligation payable	...	4,639	Net income (loss) per share - basic	\$(0.62)	\$(0.83)	\$1.36	Accounts payable	40,714	33,269
Less current portion	57,453	4,639	Net income (loss) per share - diluted	\$(0.62)	\$(0.83)	\$1.36	Accrued compensation & benefits	22,274	14,984
Long-term borrowings	...	57,337	Dividends per share	\$1.04	\$1.04	\$1.04	Billings in excess of costs & estimated earnings on uncompleted contracts	43,174	26,166
Deferred income taxes	643	2,049	Number of full time employees	1,985	18,411	2,323	Accrued product warranty	2,604	3,174
Other liabilities	13,721	14,337	Number of common stockholders	281	308	405	Other current liabilities	7,786	5,860
Total liabilities	205,440	155,499	Number of beneficiary stockholders	1	1	1	Deferred credit - short term	...	507
Common stock	697	687					Total current liabilities	117,849	85,579
Additional paid-in capital	555,606	547,596					Industrial development revenue bonds	1,600	2,000
Retained earnings (accumulated deficit)	231,445	189,390					Less: current portion	400	400
Treasury stock	23,111	...					Long-term debt, net of current maturities	1,200	1,600
Foreign currency translation adjustments	(4,328)	7,627					Deferred compensation	5,902	5,314
Amortization of cash flow hedge	...	(48)					Other long-term liabilities	3,356	1,197
Other accumulated other comprehensive income (loss)	(638)	(688)					Total liabilities	128,307	93,690
Accumulated other comprehensive income (loss)	(4,966)	6,891					Common stock	123	122
Total Photonics, Inc. shareholders' equity (deficit)	759,671	744,564					Additional paid-in capital	56,769	54,329
Noncontrolling interests	144,898	120,731					Retained earnings (accumulated deficit)	291,530	310,598
Total equity (deficit)	904,569	865,295					Treasury stock, at cost	24,999	24,999
							Accumulated other comprehensive income (loss)	(21,779)	(18,754)
							Total stockholders' equity (deficit)	301,644	321,296

Reclassified to conform with 2018 presentation

Recent Dividends:
1. Photonics, Inc. common.
 No dividends paid.

Annual Dividends:
1. Photonics, Inc. common.
 No dividends paid.

POWELL INDUSTRIES, INC.
Annual Report
Consolidated Income Statement, Years Ended Sept. 30 (\$000):

	2018	2017 (revised)	2016 (revised)
Revenues	448,716	395,911	565,243
Cost of goods sold	383,361	345,142	459,038
Gross profit (loss)	65,355	50,769	106,205
Selling, general & administrative expenses	66,768	61,524	74,924
Research & development expenses	6,717	6,906	6,731
Amortization of intangible assets	205	355	352
Restructuring & separation expenses	787	1,322	8,441
Operating income (loss)	(9,122)	(19,338)	15,757
Other income	747	2,029	2,029
Interest expense	207	168	149
Interest income	883	558	156
Income (loss)			

Reclassified to conform with 2018 presentation; Reclassified to conform with 2017 presentation; As is; As of December 7, 2018; As of December 1, 2017; As of December 2, 2016

Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):

	2018	2017 (revised)	2016 (revised)
Cash & cash equivalents	36,584	68,359	36,584
Short-term investments	13,170	26,829	13,170
Restricted cash	19,154	15,104	19,154
Accounts receivable, gross	92,705	54,031	92,705
Less allowance for doubtful accounts	157	179	157
Accounts receivable, net	92,548	53,852	92,548
Costs & estimated earnings in excess of billings on uncompleted contracts	82,545	51,554	82,545
Raw materials, parts & subassemblies	24,563	22,100	24,563
Work-in-progress	1,080	600	1,080
Provision for excess & obsolete inventory	4,291	4,252	4,291
Inventories	21,352	18,448	21,352
Income taxes receivable	6,904	8,222	6,904
Deferred income taxes	...	3,539	...
Prepaid expenses	3,775	3,701	3,775
Other current assets	630	463	630

Reclassified to conform with 2018 presentation

Recent Dividends:
1. Powell Industries, Inc. common.

ExDate	Amt	Declared	Record	Payable
02/12/2016	0.26	02/02/2016	02/17/2016	03/16/2016
05/16/2016	0.26	05/03/2016	05/18/2016	06/15/2016
08/15/2016	0.26	08/02/2016	08/17/2016	09/14/2016
11/14/2016	0.26	11/01/2016	11/16/2016	12/14/2016
02/13/2017	0.26	02/07/2017	02/15/2017	03/15/2017
05/15/2017	0.26	05/02/2017	05/17/2017	06/14/2017
08/21/2017	0.26	08/08/2017	08/23/2017	09/13/2017
11/20/2017	0.26	11/07/2017	11/21/2017	12/13/2017
02/20/2018	0.26	02/06/2018	02/21/2018	03/21/2018
05/22/2018	0.26	05/08/2018	05/23/2018	06/20/2018
08/21/2018	0.26	08/07/2018	08/22/2018	09/19/2018
11/20/2018	0.26	11/05/2018	11/21/2018	12/19/2018

Annual Dividends:
1. Powell Industries, Inc. common.
 2016.....1.04 2017.....1.04 2018.....1.04

RF INDUSTRIES LTD.
Annual Report
Consolidated Income Statement, Years Ended Oct. 31 (\$):

	2018	2017 (revised)	2016 (revised)
Net sales	50,196,000	22,983,000	30,241,000

Cost of sales	33,096,000	15,996,000	21,778,000
Gross profit (loss)	17,100,000	6,987,000	8,463,000
Engineering expenses	1,480,000	824,000	747,000
Selling & general expenses	8,173,000	5,960,000	9,560,000
Goodwill & other intangible asset impairment	2,844,000
Total operating expenses	9,653,000	6,784,000	13,151,000
Operating income (loss)	7,447,000	203,000	(4,688,000)
Other income	47,000	29,000	5,000
Income (loss) from continuing operations before provision for income taxes	7,494,000	232,000	(4,683,000)
Current provision (benefit) for federal income taxes	1,344,000	322,000	(332,000)
Current provision (benefit) for state income taxes	236,000	12,000	(13,000)
Total current provision (benefit) for income taxes	1,580,000	334,000	(345,000)
Deferred provision (benefit) for federal income taxes	(112,000)	(273,000)	(179,000)
Deferred provision (benefit) for state income taxes	...	1,000	(128,000)
Total deferred provision (benefit) for income taxes	(112,000)	(272,000)	(307,000)
Provision (benefit) for income taxes	1,468,000	62,000	(652,000)
Income (loss) from continuing operations	6,026,000	170,000	(4,031,000)
Income (loss) from discontinued operations, net of tax	(180,000)	212,000	(58,000)
Net income (loss)	5,846,000	382,000	(4,089,000)
Weighted average shares outstanding - basic	9,105,406	8,840,895	8,786,510
Weighted average shares outstanding - diluted	9,593,066	8,915,764	8,786,510
Year end shares outstanding	9,291,201	8,872,246	8,835,483
Net earnings (loss) per share - continuing operations - basic	\$0.66	\$0.02	\$(0.46)
Net earnings (loss) per share - discontinued operations - basic	\$(0.02)	\$0.02	\$(0.01)
Net earnings (loss) per share - basic	\$0.64	\$0.04	\$(0.47)
Net earnings (loss) per share - continuing operations - diluted	\$0.63	\$0.02	\$(0.46)
Net earnings (loss) per share - discontinued operations - diluted	\$(0.02)	\$0.02	\$(0.01)
Net earnings (loss) per share - diluted	\$0.61	\$0.04	\$(0.47)
Dividends per share	\$0.08	\$0.08	\$0.13
Number of full time employees	186	195	189
Number of common stockholders	282	315	196

☐ Restated to reflect discontinuation of Comnet Telecom Supply

Consolidated Balance Sheet, Years Ended Oct. 31 (\$):

	2018	☐2017	☐2016
		(revised)	(revised)
Cash & cash equivalents	16,334,000	5,208,000	
Trade accounts receivable, gross	4,343,000	2,976,000	
Less: allowance for doubtful accounts	88,000	73,000	
Trade accounts receivable, net	4,255,000	2,903,000	
Raw materials & supplies	2,711,000	1,956,000	
Work in process	603,000	192,000	
Finished goods	3,799,000	3,395,000	
Inventories, net	7,113,000	5,543,000	
Prepaid taxes	335,000	21,000	
Prepaid expense	228,000	294,000	
Notes receivable	20,000	82,000	
Other current assets	245,000	109,000	
Other current assets	828,000	506,000	
Current assets held for sale	...	2,633,000	
Total current assets	28,530,000	16,793,000	
Equipment & tooling	3,210,000	3,088,000	
Furniture & office equipment	822,000	802,000	
Property & equipment, gross	4,032,000	3,890,000	
Less accumulated depreciation	3,473,000	3,325,000	
Total property & equipment	559,000	565,000	
Goodwill	1,340,000	1,340,000	
Amortizable intangible assets, net	1,367,000	1,642,000	
Non-amortizable intangible assets	657,000	657,000	
Other assets	49,000	70,000	
Noncurrent assets held for sale	...	3,993,000	
Total assets	32,502,000	25,060,000	
Accounts payable	1,342,000	1,036,000	
Wages payable	1,705,000	778,000	
Accrued receipts	1,271,000	487,000	
Earn-out liability	...	220,000	
Other current liabilities	401,000	306,000	
Current liabilities held for sale	...	771,000	
Total current liabilities	4,719,000	3,598,000	
Deferred tax liabilities	...	119,000	
Total liabilities	4,719,000	3,717,000	
Common stock	93,000	89,000	
Additional paid-in capital	20,974,000	19,654,000	
Retained earnings (accumulated deficit)	6,716,000	1,600,000	
Total stockholders' equity	27,783,000	21,343,000	

☐ Restated to reflect discontinuation of Comnet Telecom Supply

Recent Dividends:

1. RF Industries Ltd. common.

ExDate	Amt	Declared	Record	Payable
12/29/2015	0.07	12/18/2015	12/31/2015	01/15/2016
03/29/2016	0.02	03/03/2016	03/31/2016	04/15/2016
06/28/2016	0.02	06/08/2016	06/30/2016	07/15/2016
09/28/2016	0.02	09/08/2016	09/30/2016	10/15/2016
12/28/2016	0.02	12/09/2016	12/31/2016	01/17/2017
03/29/2017	0.02	03/09/2017	03/31/2017	04/15/2017
06/28/2017	0.02	06/09/2017	06/30/2017	07/14/2017
09/28/2017	0.02	09/08/2017	09/30/2017	10/15/2017
12/28/2017	0.02	12/14/2017	12/31/2017	01/15/2018
03/28/2018	0.02	03/09/2018	03/31/2018	04/15/2018
06/28/2018	0.02	06/06/2018	06/30/2018	07/15/2018
09/27/2018	0.02	09/06/2018	09/30/2018	10/15/2018

Annual Dividends:

1. RF Industries Ltd. common.

2016	0.13	2017	0.08	2018	0.08
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RGC RESOURCES, INC.

Annual Report

Consolidated Income Statement, Years Ended Sept. 30 (\$):

	2018	☐2017	☐2016
		(revised)	(revised)
Operating revenues - gas utilities	64,341,783	61,252,015	58,079,990
Operating revenues - other operating revenues	1,192,953	1,044,855	983,301
Total operating revenues	65,534,736	62,296,870	59,063,291
Cost of gas - utility	32,091,923	28,919,625	27,009,330
Cost of sales - non utility	666,524	568,088	489,047
Operations & maintenance expense	12,348,890	13,100,041	13,098,086
General taxes expense	1,878,010	1,786,070	1,663,126
Depreciation & amortization expense	6,956,344	6,256,737	5,591,610
Operating income (loss)	11,593,045	11,666,309	11,212,092
Equity in earnings of unconsolidated affiliate	938,531	421,646	152,864
Other income (expense), net	122,330	(132,446)	(255,585)
Interest expense	2,461,565	1,917,254	1,636,321
Income (loss) before income taxes	10,192,341	10,038,255	9,473,050
Current federal income taxes expense (benefit)	1,831,085	72,368	(1,216,745)
Current state income taxes expense (benefit)	308,057	407,643	415,975
Total current income taxes expense (benefit)	2,139,142	480,011	(800,770)
Deferred federal income taxes expense (benefit)	440,282	3,129,925	4,302,906
Deferred state income taxes expense (benefit)	315,712	195,454	164,048
Total deferred income taxes expense (benefit)	755,994	3,325,379	4,466,954
Income tax expense	2,895,136	3,805,390	3,666,184
Net income (loss)	7,297,205	6,232,865	5,806,866
Weighted average shares outstanding - basic	7,649,025	7,218,686	☐7,149,906
Weighted average shares outstanding - diluted	7,695,712	7,256,046	☐7,159,763
Year end shares outstanding	7,994,615	7,240,846	☐7,182,434
Net earnings (loss) per share - basic	\$0.95	\$0.86	☐\$0.81
Net earnings (loss) per share - diluted	\$0.95	\$0.86	☐\$0.81
Dividends per common share	\$0.62	\$0.58	☐\$0.54
Number of full time employees	110	106	126
Number of part time employees	2	3	6
Total number of employees	112	109	132
Number of common stockholders	☐1,140	☐1,159	☐1,192

☐ Reclassified to conform with 2018 presentation; ☐ Adjusted for 50% stock dividend, March 2, 2017; ☐ As of November 24, 2018; ☐ As of November 24, 2017; ☐ As of November 25, 2016

Consolidated Balance Sheet, Years Ended Sept. 30 (\$):

	2018	☐2017	☐2016
		(revised)	(revised)

Cash & cash equivalents	247,411	69,640
Accounts receivable, gross	4,017,403	3,592,159
Allowance for doubtful accounts	103,573	99,456
Accounts receivable, net	3,913,830	3,492,703
Materials & supplies	913,889	1,021,191
Gas in storage	7,627,196	7,701,894
Prepaid income taxes	837,683	1,796,825
Under-recovery of gas costs	922,898	...
Interest rate swap	100,723	26,777
Other current assets	980,972	1,576,574
Total current assets	15,544,602	15,685,604
Utility plant: in service	224,854,320	204,223,714
Accumulated depreciation & amortization	63,099,306	59,765,987
Construction work in progress	4,208,614	3,470,244
Regulatory assets	8,862,147	11,796,260
Investment in unconsolidated affiliate	28,507,146	7,445,106
Interest rate swap	209,840	90,066
Other assets	472,743	190,064
Total assets	219,560,106	183,135,071
Dividends payable	1,242,753	1,050,281
Accounts payable	5,211,032	5,122,899
Capital contributions payable	10,142,766	1,055,504
Customer credit balances	1,003,622	1,220,578
Customer deposits	1,421,043	1,471,960
Accrued expenses	3,750,466	3,006,936
Over-recovery of gas costs	...	1,438,074
Rate refund	1,320,167	...
Total current liabilities	24,091,849	14,366,232
Roanoke Gas-unsecured senior notes payable	30,500,000	30,500,000
Roanoke Gas-unsecured term note payable	15,000,000	7,000,000
RGC Midstream, LLC - unsecured term notes payable	17,743,200	6,312,200
Notes payable	63,243,200	43,812,200
Line-of-credit	7,361,017	17,791,760
Less unamortized debt issuance costs	(282,281)	(291,949)
Long-term debt net of unamortized debt issuance costs	70,321,936	61,312,011
Asset retirement obligations	6,417,948	6,069,993
Regulatory cost of retirement obligations	11,163,981	10,055,189
Benefit plan liabilities	3,947,967	8,214,326
Deferred income taxes	12,585,577	23,076,848
Regulatory liability - deferred income taxes	11,447,736	...
Common stock	39,973,075	36,204,230
Capital in excess of par value	13,043,656	292,485
Retained earnings (accumulated deficit)	27,438,049	24,746,021
Interest rate swaps	230,624	72,489
Defined benefit plans	(1,102,292)	(1,274,753)
Accumulated comprehensive income (loss)	(871,668)	(1,202,264)
Total stockholders' equity	79,583,112	60,040,472

Recent Dividends:

1. RGC Resources, Inc. common.

ExDate	Amt	Declared	Record	Payable
01/13/2016	0.20	11/23/2015	01/15/2016	02/01/2016
04/13/2016	0.20	03/28/2016	04/15/2016	05/01/2016
07/13/2016	0.20	06/27/2016	07/15/2016	08/01/2016
10/13/2016	0.20	09/28/2016	10/17/2016	11/01/2016
01/12/2017	0.22	11/28/2016	01/17/2017	02/01/2017

After 50% split:				
ExDate	Amt	Declared	Record	Payable
04/12/2017	0.14	03/27/2017	04/17/2017	05/01/2017
07/13/2017	0.14	06/26/2017	07/17/2017	08/01/2017

10/13/2017	0.14	09/25/2017	10/16/2017	11/01/2017
01/12/2018	0.15	11/27/2017	01/16/2018	02/01/2018
04/13/2018	0.15	02/06/2018	04/16/2018	05/01/2018
07/13/2018	0.15	04/30/2018	07/16/2018	08/01/2018
10/12/2018	0.15	09/24/2018	10/15/2018	11/01/2018

Annual Dividends:

1. RGC Resources, Inc. common.

2016	0.81	2017	0.22
After 50% split:			
2017	0.44	2018	0.62

SANDERSON FARMS INC

Annual Report

Consolidated Income Statement, Years Ended Oct. 31 (\$000):

	2018	2017 (revised)	2016 (revised)
Net sales	3,236,004	3,342,226	2,816,057
Cost of sales	2,974,739	2,700,684	2,362,056
Live inventory adjustment	9,600
Selling, general & administrative expenses	221,965	216,303	159,890
Total cost & expenses	3,206,304	2,916,987	2,521,946
Operating income (loss)	29,700	425,239	294,111
Interest income	2,911	1,167	244
Interest expense	2,066	1,886	1,708
Other income (expense)	12	10	30
Total other income (expense)	857	(709)	(1,434)
Income (loss) before income taxes	30,557	424,530	292,677
Current federal income taxes (benefit)	(600)	117,611	67,880
Current state income taxes (benefit)	(1,169)	11,024	7,613
Total current income taxes (benefit)	(1,769)	128,635	75,493
Deferred federal income taxes (benefit)	(28,845)	15,452	27,983
Deferred state income taxes (benefit)	2,146	1,804	1,194
Change in valuation allowance of deferred income taxes	(2,406)	(1,106)	(954)
Total deferred income taxes (benefit)	(29,105)	16,150	28,223
Income tax expense (benefit)	(30,874)	144,785	103,716
Net income (loss)	61,431	279,745	188,961
Distributed & undistributed (earnings) losses to unvested restricted stock	878	4,285	2,930
Distributed & undistributed earnings (losses) to common shareholders	60,553	275,460	186,031
Weighted average shares outstanding - basic	22,429	22,393	22,225
Weighted average shares outstanding - diluted	22,429	22,393	22,225
Year end shares outstanding	22,100	22,803	22,693
Earnings (loss) per share - basic	\$2.70	\$12.30	\$8.37
Earnings (loss) per share - diluted	\$2.70	\$12.30	\$8.37

Dividends per share	\$1.28	\$2.04	\$1.90
Total number of employees	15,104	14,669	13,232
Number of common stockholders	2,932	3,179	3,487

□ As is; □ Approximately; □ As of December 13, 2018; □ As of December 7, 2017; □ As of December 8, 2016

Consolidated Balance Sheet, Years Ended Oct. 31 (\$000):

	2018	2017 (revised)
Cash & cash equivalents	121,193	419,285
Accounts receivable, gross	125,192	142,128
Less: allowance	3,260	3,260
Accounts receivable, net	121,932	138,868
Receivables from insurance companies	7,094	...
Live poultry-broilers & breeders	150,980	161,575
Feed, eggs & other inventories	37,965	35,361
Processed poultry	30,973	37,769
Prepared chicken	13,591	12,207
Packaging materials	6,547	5,853
Inventories	240,056	252,765
Refundable income taxes	32,974	...
Parts & supplies	28,287	25,293
Prepaid insurance	8,232	6,691
Other prepaid expenses	6,721	6,636
Prepaid expenses	43,240	38,620
Total current assets	566,489	849,538
Land & buildings	716,754	685,811
Machinery & equipment	1,017,368	906,084
Construction-in-progress	227,375	65,189
Property, plant & equipment, gross	1,961,497	1,657,084
Accumulated depreciation	873,909	780,276
Property, plant & equipment, net	1,087,588	876,808
Other assets	5,363	6,897
Total assets	1,659,440	1,733,243
Accounts payable	128,936	90,904
Accrued bonuses	...	36,028
Accrued workers' compensation claims	9,020	8,351
Accrued wages	14,142	11,574
Accrued rebates	7,828	6,753
Accrued vacation	8,554	8,223
Accrued property taxes	9,453	9,318
Accrued payroll taxes	9,034	11,383
Other accrued expenses	11,922	9,538
Accrued income taxes	...	6,649
Total current liabilities	198,889	198,721
Claims payable & other liabilities	9,865	9,762
Deferred income taxes	62,793	91,898
Common stock	22,100	22,803
Paid-in capital	81,269	134,999
Retained earnings (accumulated deficit)	1,284,524	1,275,060
Total stockholders' equity (deficit)	1,387,893	1,432,862

Recent Dividends:

1. Sanderson Farms Inc common.

ExDate	Amt	Declared	Record	Payable
01/29/2016	0.22	01/21/2016	02/02/2016	02/16/2016
05/06/2016	0.22	04/28/2016	05/10/2016	05/24/2016
08/05/2016	0.22	07/28/2016	08/09/2016	08/23/2016
09/30/2016	0.24	09/22/2016	10/04/2016	10/18/2016
09/30/2016	1.00	09/22/2016	10/04/2016	10/18/2016
01/27/2017	0.24	01/19/2017	01/31/2017	02/14/2017
05/05/2017	0.24	04/27/2017	05/09/2017	05/23/2017
07/28/2017	0.24	07/20/2017	08/01/2017	08/15/2017
10/02/2017	0.32	09/21/2017	10/03/2017	10/17/2017
10/02/2017	1.00	09/21/2017	10/03/2017	10/17/2017
01/29/2018	0.32	01/18/2018	01/30/2018	02/13/2018
04/30/2018	0.32	04/19/2018	05/01/2018	05/15/2018
07/30/2018	0.32	07/19/2018	07/31/2018	08/14/2018
10/01/2018	0.32	09/20/2018	10/02/2018	10/16/2018

Annual Dividends:**1. Sanderson Farms Inc common.**

2016.....	1.90	2017.....	2.04	2018.....	1.28
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SCHOLASTIC CORP.**Earnings, 6 mos. to Nov 30(Consol. - \$000):**

	2018	2017
Total Revenues.....	823,100	787,500
Cost & expenses.....	781,100	763,100
Operating income.....	14,400	5,400
Other income (expense), net.....	(700)	(15,600)
Net before taxes.....	15,000	(9,900)
Income taxes.....	4,700	(3,300)
Net income	10,300	(6,600)
Earnings common share		
Primary.....	\$0.29	\$(0.19)
Fully Diluted.....	\$0.29	\$(0.19)
Common Shares:		
Full Diluted.....	35,800	35,100
Year-end.....	33,600	34,897

SI-BONE INC**Earnings, 9 mos. to Sep 30(Consol. - \$000):**

	2018	2017
Total Revenues.....	39,756	34,214
Cost & expenses.....	47,414	49,923
Operating income.....	(7,658)	(15,709)
Interest income.....	199	117
Interest expense.....	3,826	2,925
Other income (expense), net.....	(855)	383
Net income	(12,140)	(18,134)
Earnings common share		
Primary.....	\$(3.34)	\$(5.26)
Fully Diluted.....	\$(3.34)	\$(5.26)
Common Shares:		
Full Diluted.....	3,639	3,450
Year-end.....	3,913

SOLARWINDOW TECHNOLOGIES INC**Annual Report****Consolidated Income Statement, Years Ended Aug. 31 (\$):**

	2018	2017	2016
		(revised)	(revised)
Selling, general & administrative expenses.....	3,622,367	2,779,325	2,318,443
Research & product development.....	1,931,216	950,470	822,922
Total operating expenses.....	5,553,583	3,729,795	3,141,365
Income (loss) from operations.....	(5,553,583)	(3,729,795)	(3,141,365)
Gain (loss) on disposal of assets.....	326
Interest expense.....	477,566	312,185	308,983
Accretion of debt discount.....	823,724	1,311,445	2,335,954
Change in fair value of derivative liability.....	1,714,395
Loan conversion inducement expense.....	565,406
Total other income (expense).....	(1,300,964)	(1,623,630)	(1,495,948)
Net income (loss)	(6,854,547)	(5,353,425)	(4,637,313)
Weighted average shares outstanding			
- basic.....	36,020,453	31,299,979	27,295,540
- diluted.....	36,020,453	31,299,979	27,295,540
Year end shares outstanding.....	36,292,656	34,329,691	28,500,221
Net earnings (loss) per share - basic.....	\$(0.19)	\$(0.17)	\$(0.17)
Net earnings (loss) per share - diluted.....	\$(0.19)	\$(0.17)	\$(0.17)
Number of full time employees.....	2
Total number of employees.....	2	3	3
Number of common stockholders.....	□□68	□□85	□□77

□ Approximately; □ As of November 27, 2018; □ As of November 1, 2017; □ As of November 7, 2016

Consolidated Balance Sheet, Years Ended Aug. 31 (\$):

	2018	□2017
		(revised)
Cash.....	696,826	670,853
Deferred research & development costs.....	133,975	91,204
Prepaid expenses & other current assets.....	58,819	16,698
Total current assets.....	889,620	778,755
Fixed assets, gross.....	90,123	106,134
Less: accumulated depreciation.....	50,509	53,181
Equipment, net.....	39,614	52,953
Total assets.....	929,234	831,708
Account payable & accrued expenses.....	93,616	230,184
Total current liabilities.....	93,616	230,184
Bridge note payable to related party.....	600,000	600,000
Convertible promissory notes payable to related party.....	□2,336,082	□2,586,623
Interest payable to related party.....	1,523,943	1,046,377
Total long term liabilities.....	4,460,025	4,233,000
Total liabilities.....	4,553,641	4,463,184
Common stock.....	36,293	34,330
Additional paid-in capital.....	42,223,599	35,363,946
Retained earnings (accumulated deficit).....	(45,884,299)	(39,029,752)
Total stockholders' equity (deficit).....	(3,624,407)	(3,631,476)

□ Reclassified to conform with 2018 presentation; □ Net of discount - convertible promissory notes payable to related party: \$663,918; □ Net of discount - convertible promissory notes payable to related party: 413,377

Recent Dividends:**1. SolarWindow Technologies Inc common.**

No dividends paid.

Annual Dividends:**1. SolarWindow Technologies Inc common.**

No dividends paid.

SOLARWINDOW TECHNOLOGIES INC**Auditor's Report Auditor's Comment**

The following is an excerpt from the Report of the Independent Auditors, Marcum LLP, as it appeared in Co.'s 2018 10-K Report: "Adverse Opinion on Internal Control over Financial Reporting We have audited SolarWindow Technologies, Inc.'s (the "Company") internal control over financial reporting as of August 31, 2018, based on criteria established in Internal Control-Integrated Framework (2013) issued by the Committee of Sponsoring Organizations of the Treadway Commission. In our opinion, because of the effect of the material weaknesses described in the following paragraph on the achievement of the objectives of the control criteria, the Company has not maintained effective internal control over financial reporting as of August 31, 2018, based on criteria established in Internal Control-Integrated Framework (2013) issued by the Committee of Sponsoring Organizations of the Treadway Commission. A material weakness is a control deficiency, or combination of deficiencies, in internal control over financial reporting, such that there is a reasonable possibility that a material misstatement of the Company's annual or interim financial statements will not be prevented or detected on a timely basis. The following material weaknesses have been identified and included in "Management's Annual Report on Internal Control Over Financial Reporting": Ineffective control environment due to an insufficient number of independent board members, insufficient oversight of work performed, and the lack of compensating controls over financial reporting due to limited personnel; Ineffective design, implementation, and documentation of internal controls impacting financial statement accounts and general controls over technology pertaining to user access and segregation of duties, banking and disbursements, and financial accounting system applications; and Ineffective monitoring controls related to the financial close and reporting process, including management's risk assessment process and its identification, evaluation, and timely remediation of

control deficiencies. These material weaknesses were considered in determining the nature, timing and extent of audit tests applied in our audit of the fiscal August 31, 2018 consolidated financial statements, and this report does not affect our report dated November 29, 2018 on those consolidated financial statements."

STEEL CONNECT INC**Annual Report****Consolidated Income Statement, Years Ended Jul. 31 (\$):**

	2018	□2017	□2016
		(revised)	(revised)
Services revenue.....	345,900,000	436,620,000	459,023,000
Products revenue.....	299,358,000
Total net revenue.....	645,258,000	436,620,000	459,023,000
Cost of services.....	313,978,000	400,255,000	434,265,000
Cost of products.....	230,021,000
Total cost of revenue.....	543,999,000	400,255,000	434,265,000
Gross profit - services.....	31,922,000	36,365,000	24,758,000
Gross profit - products.....	69,337,000
Total gross profit.....	101,259,000	36,365,000	24,758,000
Selling, general & administrative expenses.....	101,701,000	54,159,000	57,604,000
Amortization of intangible assets.....	20,285,000
Impairment of long-lived assets.....	305,000
Gain on sale of property.....	12,692,000
Restructuring expenses, net.....	271,000	1,967,000	7,421,000
Operating income (loss).....	(8,306,000)	(19,761,000)	(40,572,000)
Interest income.....	679,000	399,000	668,000
Interest expense.....	29,884,000	8,247,000	10,924,000
Foreign currency exchange gains (losses).....	1,055,000	199,000	(593,000)
Gain (losses), net on trading securities.....	1,876,000	3,128,000	(5,920,000)
Other gain (losses), net.....	(708,000)	(127,000)	756,000
Impairment of investments in affiliates.....	42,000
Total other income (expenses).....	(26,982,000)	(4,648,000)	(16,055,000)
Income (loss) from continuing operations before income taxes - United States.....	(60,574,000)	(34,884,000)	(69,861,000)
Income (loss) from continuing operations before income taxes - foreign.....	25,286,000	10,475,000	13,234,000
Income (loss) before income taxes.....	(35,288,000)	(24,409,000)	(56,627,000)
Current foreign income taxes.....	7,592,000	2,298,000	3,090,000
Total current income taxes (benefit).....	7,592,000	2,298,000	3,090,000
Deferred federal income taxes.....	(76,168,000)
Deferred state income taxes.....	(2,352,000)
Deferred foreign income taxes (benefit).....	(274,000)	398,000	2,353,000
Total deferred income taxes (benefit).....	(78,794,000)	398,000	2,353,000
Income tax expense (benefit).....	(71,202,000)	2,696,000	5,443,000
Gains (losses) on investments in affiliates, net of tax.....	801,000	1,278,000	789,000
Net income (loss)	36,715,000	(25,827,000)	(61,281,000)

Less: Preferred dividends on redeemable preferred stock	1,335,000
Net income (loss) attributable to common stockholders	35,380,000	(25,827,000)	(61,281,000)
Weighted average shares outstanding-basic	59,179,000	55,134,000	51,934,000
Weighted average shares outstanding-diluted	81,899,000	55,134,000	51,934,000
Year end shares outstanding	60,742,859	55,555,973	55,249,076
Net income (loss) per share - basic	\$0.60	\$(0.47)	\$(1.18)
Net income (loss) per share - diluted	\$0.53	\$(0.47)	\$(1.18)
Number of full time employees	3,924	2,190	2,200
Number of common stockholders	340	344	344

Reclassified to conform with 2018 presentation; Approximately

Consolidated Balance Sheet, Years Ended Jul. 31 (\$):

	2018	2017 (revised)
Cash & bank deposits	44,952,000	24,987,000
Money market funds	47,186,000	85,683,000
Cash & cash equivalents	92,138,000	110,670,000
Trading securities	...	11,898,000
Accounts receivable-trade, gross	99,734,000	82,066,000
Allowance for doubtful accounts	480,000	616,000
Accounts receivable-trade, net	99,254,000	81,450,000
Raw materials	23,208,000	31,071,000
Work-in-process	16,147,000	713,000
Finished goods	8,431,000	2,585,000
Inventories, net	47,786,000	34,369,000
Funds held for clients	11,688,000	13,454,000
Prepaid expenses & other current assets	13,415,000	6,005,000
Total current assets	264,281,000	257,846,000
Land	942,000	...
Buildings	...	24,476,000
Machinery & equipment	97,149,000	24,504,000
Leasehold improvements	21,917,000	14,815,000
Software	52,082,000	48,536,000
Other property & equipment	28,147,000	22,126,000
Property & equipment, at cost	200,237,000	134,457,000
Less accumulated depreciation & amortization	93,605,000	115,902,000
Property & equipment, net	106,632,000	18,555,000
Goodwill	254,352,000	...
Other intangible assets, net	192,964,000	...
Other assets	8,821,000	4,897,000
Total assets	827,050,000	281,298,000
Accounts payable	78,212,000	71,476,000
Accrued restructuring	96,000	186,000
Accrued taxes	29,804,000	2,272,000
Accrued compensation	25,603,000	10,678,000
Accrued interest	1,437,000	1,366,000
Accrued audit, tax & legal	3,264,000	2,759,000
Accrued contract labor	1,932,000	1,632,000
Accrued worker's compensation	6,126,000	...
Accrued other	20,164,000	19,191,000
Accrued expenses	88,330,000	37,898,000
Funds held for clients	11,688,000	13,454,000
Current portion of long-term debt	5,727,000	...
Accrued pricing liabilities	18,882,000	18,882,000
Customer postage deposits	12,638,000	...

Other current liabilities	10,509,000	7,259,000
Notes payable	64,530,000	...
Total current liabilities	290,612,000	149,155,000
Notes payable	...	59,758,000
Long-term debt, excluding current portion	383,111,000	...
Other long-term liabilities	10,507,000	9,414,000
Total long-term liabilities	393,618,000	69,172,000
Total liabilities	684,230,000	218,327,000
Contingently redeemable preferred stock	35,192,000	...
Common stock	608,000	556,000
Additional paid-in capital	7,467,855,000	7,457,051,000
Retained earnings (accumulated deficit)	(7,363,569,000)	(7,398,949,000)
Cumulative foreign currency translation adjustment	6,348,000	7,522,000
Pension adjustments	(3,795,000)	(3,376,000)
Net unrealized holding gains (losses) on securities	181,000	167,000
Accumulated other comprehensive income (loss)	2,734,000	4,313,000
Total stockholders' equity (deficit)	107,628,000	62,971,000

Reclassified to conform with 2018 presentation

Recent Dividends:
1. Steel Connect Inc common.
 No dividends paid.

Annual Dividends:
1. Steel Connect Inc common.
 No dividends paid.

STEEL CONNECT INC Auditor's Report Auditor's Comment

The following is an excerpt from the Report of the Independent Auditors, BDO USA, LLP, as it appeared in Co.'s 2018 10-K: "We also have audited, in accordance with the standards of the Public Company Accounting Oversight Board (United States) ("PCAOB"), the Company's internal control over financial reporting as of July 31, 2018, based on criteria established in Internal Control Integrated Framework (2013) issued by the Committee of Sponsoring Organizations of the Treadway Commission ("COSO") and our report dated December 3, 2018 expressed an adverse opinion thereon."

STEEL CONNECT INC Earnings, 3 mos. to Oct 31 (Consol. - \$000):

	2018	2017
Total Revenues	215,133	102,522
Cost & expenses	203,498	106,352
Operating income	3,536	(3,830)
Interest income	323	164
Interest expense	11,057	2,107
Other income (expense), net	28	181
Gains or losses	1,876	1,087
Foreign currency	916	(635)
Net before taxes	(6,254)	(4,351)
Income taxes	1,131	1,087
Net income	(7,365)	(5,237)
Balance for common	(7,901)	(5,237)
Earnings common share		
Primary	\$(0.13)	\$(0.09)
Fully Diluted	\$(0.13)	\$(0.09)
Common Shares:		
Full Diluted	60,712	55,260
Year-end	61,387	55,557

Consolidated Balance Sheet Items, as of (\$000):

	2018	2017
Assets:		
Cash & equivalents	83,366	29,969
Inventories	276,054	276,054
Current assets	276,054	276,054
Net property & equip.	104,658	104,658
Total assets	827,727	827,727
Liabilities:		
Current liabilities	280,197	396,189
Long-term debt	396,189	396,189
Stockholders' equity	105,431	105,431

Net current assets	(4,143)
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STITCH FIX INC

Earnings, 3 mos. to (Consol. - \$000):

	10/27/18	10/28/17
Total Revenues	366,236	295,563
Cost & expenses	355,339	286,019
Operating income	10,897	9,544
Interest income	1,399	17
Other income (expense), net	120	9,071
Net before taxes	12,416	18,632
Income taxes	1,738	5,144
Net income	10,678	13,488
Balance for common	10,664	3,915
Earnings common share		
Primary	\$0.11	\$0.15
Fully Diluted	\$0.10	\$0.04
Common Shares:		
Full Diluted	104,539	33,262
Year-end	99,434	26,835

Consolidated Balance Sheet Items, as of (\$000):

	2018
Assets:	
Cash & equivalents	173,341
Inventories	106,701
Current assets	398,313
Net property & equip.	37,629
Total assets	550,792
Liabilities:	
Current liabilities	191,995
Stockholders' equity	333,503
Net current assets	206,318

SURMODICS INC Annual Report

Consolidated Income Statement, Years Ended Sept. 30 (\$000):

	2018	2017 (revised)	2016 (revised)
Product sales	37,953	32,790	30,999
Royalties & license fees	35,424	31,787	33,203
Research, development & other revenue	7,959	8,535	7,164
Total revenue	81,336	73,112	71,366
Product costs	13,997	11,422	10,908
Research & development expenses	40,973	31,817	18,498
Selling, general & administrative expenses	24,111	20,478	18,000
Acquired in-process research & development	7,888
Acquired intangible asset amortization	2,491	2,419	2,422
Contingent consideration expense (gain)	675	(127)	1,492
Acquisition transaction, integration & other costs	3,187
Total operating costs & expenses	90,135	66,009	54,507
Operating income (loss)	(8,799)	7,103	16,859
Investment income, net	851	390	63
Foreign exchange gain (loss)	239	(504)	(481)
Gains on strategic investments & other	177	44	507
Other income (loss)	1,267	(70)	89
Income (loss) before income taxes	(7,532)	7,033	16,948
Current U.S. federal income tax provision (benefit)	(890)	2,125	6,550
Current U.S. state income tax provision (benefit)	51	(72)	250

Current international income tax provision (benefit) ...	41	54	(98)	Accrued compensation	5,635	3,822	income taxes	242	280	...		
Total current income tax provision (benefit) ...	(798)	2,107	6,702	Accrued professional fees	311	501	Net income (loss) attributable to common stockholders	(71,236)	(59,310)	(44,088)		
Deferred U.S. federal income tax provision (benefit) ...	(2,006)	1,085	169	Expense	2,839	411	Weighted average shares outstanding					
Deferred international income tax provision (benefit) ...	(271)	(85)	(43)	Accrued purchases	533	596	- basic	2,793	2,422	1,870		
Total deferred income tax provision (benefit) ...	(2,277)	1,000	261	Customer claim	1,000	...	- diluted	2,793	2,422	1,870		
Income tax provision (benefit) ...	(3,075)	3,107	6,963	Construction in progress	1,199	...	Year end shares outstanding	3,206	3,179	3,146		
Net income (loss)	(4,457)	3,926	9,985	Deferred rent	121	18	Net earnings (loss) per share - basic	\$(25.51)	\$(24.49)	\$(23.58)		
Weighted average shares outstanding - basic	13,157	13,153	12,998	Other accrued liabilities	262	247	Net earnings (loss) per share - diluted	\$(25.51)	\$(24.49)	\$(23.58)		
Weighted average shares outstanding - diluted	13,157	13,389	13,219	Accrued other liabilities	6,265	1,773	Number of full time employees	⁽¹⁾ 289	⁽²⁾ 221	...		
Year end shares outstanding	13,398	13,095	13,208	Deferred revenue	9,646	62	Number of common stockholders	⁽³⁾ 216	⁽⁴⁾ 196	...		
Net income (loss) per share - basic	\$(0.34)	\$0.30	\$0.77	Contingent consideration	11,041	1,750						
Net income (loss) per share - diluted	\$(0.34)	\$0.29	\$0.76	Total current liabilities	35,133	9,803						
Total number of employees	⁽¹⁾ 338	⁽²⁾ 257	⁽³⁾ 219	Contingent consideration, less current portion	3,425	13,114						
Number of common stockholders	⁽⁴⁾ 180	⁽⁵⁾ 170	⁽⁶⁾ 159	Deferred revenue, less current portion	11,247	181						
				Other long-term liabilities	5,720	1,938						
				Total liabilities	55,525	25,036						
				Common stock	670	655						
				Additional paid-in capital	7,607	5,413						
				Accumulated other comprehensive income (loss)	2,718	3,417						
				Retained earnings (accumulated deficit)	97,615	102,072						
				Total stockholders' equity (deficit)	108,610	111,557						
				⁽¹⁾ Reclassified to conform with 2018 presentation								
				Recent Dividends:								
				1. Surmodics Inc common.								
				No dividends paid.								
				Annual Dividends:								
				1. Surmodics Inc common.								
				No dividends paid.								

⁽¹⁾ Reclassified to conform with 2018 presentation; ⁽²⁾ As is; ⁽³⁾ As of November 30, 2018; ⁽⁴⁾ As of November 30, 2017; ⁽⁵⁾ As of 1 November 2016; ⁽⁶⁾ As of November 28, 2018; ⁽⁷⁾ As of November 24, 2017; ⁽⁸⁾ As of November 25, 2016

Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):

	2018	⁽¹⁾ 2017	(revised)
Cash & cash equivalents	23,318	16,534	...
Restricted cash	350
Available-for-sale securities	41,352	31,802	...
Accounts receivable, gross	9,024	7,441	...
Allowance for doubtful accounts	147	230	...
Accounts receivable, net	8,877	7,211	...
Raw materials	1,890	1,603	...
Work in-process	780	659	...
Finished products	1,346	1,254	...
Inventories	4,016	3,516	...
Income tax receivable	1,152	599	...
Prepays & other current assets	2,462	1,221	...
Total current assets	81,527	60,883	...
Land	4,420	4,420	...
Laboratory fixtures & equipment	22,024	19,491	...
Buildings & improvements	21,717	21,924	...
Leasehold improvements	4,836
Office furniture & equipment	5,824	4,541	...
Construction-in-progress	4,834	2,493	...
Less accumulated depreciation	33,512	29,927	...
Property & equipment, net	30,143	22,942	...
Deferred income taxes	6,304	4,027	...
Intangible assets, net	17,683	20,562	...
Goodwill	27,032	27,282	...
ViaCyte, Inc.	479	479	...
Other noncurrent assets	967	418	...
Total assets	164,135	136,593	...
Accounts payable	2,546	2,396	...

TRANS WORLD ENTERTAINMENT CORP.

Earnings, 9 mos. to (Consol. - \$000):

	11/03/18	10/28/17
Total Revenues	290,761	297,446
Net Sales	287,148	293,482
Cost & expenses	322,064	316,115
Operating income	(31,303)	(18,669)
Interest expense	444	200
Other income (expense), net	171	91
Gains or losses	8,733
Net before taxes	(31,576)	(10,045)
Income taxes	136	40
Net income	(31,712)	(10,085)
Earnings common share		
Primary	\$(0.87)	\$(0.28)
Fully Diluted	\$(0.87)	\$(0.28)
Common Shares:		
Full Diluted	36,272	36,181
Year-end	36,259	36,117

TWIST BIOSCIENCE CORP

Annual Report

Consolidated Income Statement, Years Ended Sept. 30

(\$000):

	2018	2017	2016
		(revised)	(revised)
Revenues	25,427	10,767	2,269
Cost of revenues	32,189	24,020	9,421
Research & development expenses	20,347	19,169	18,230
Selling, general & administrative expense	43,450	26,060	18,274
Total operating expenses	95,986	69,249	45,925
Income (loss) from operations	(70,559)	(58,482)	(43,656)
Interest income	999	412	241
Interest expense	1,313	905	746
Other income (expense), net	(121)	(55)	73
Income (loss) before income taxes ..	(70,994)	(59,030)	(44,088)
Provision for			

⁽¹⁾ As Is; ⁽²⁾ As of June 30, 2018; ⁽³⁾ Approximately; ⁽⁴⁾ As of December 3, 2018

Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):

	2018	2017
		(revised)
Cash & cash equivalents	80,757	31,227
Short-term investments	30,977
Trade receivables	5,439	2,237
Other receivables	75	109
Allowance for doubtful accounts	95	...
Accounts receivable, net	5,419	2,346
Raw materials	2,988	1,243
Work in process	2,273	385
Finished goods	767	199
Inventory	6,028	1,827
Prepays & other current assets	3,467	1,492
Total current assets	95,671	67,869
Laboratory equipment	18,865	16,652
Furniture, fixtures & other equipment	613	553
Computer equipment	2,137	2,018
Computer software	3,094	1,171
Leasehold improvements	3,340	3,301
Construction in progress	1,534	3,080
Total property & equipment, gross	29,583	26,775
Less: Accumulated depreciation & amortization	17,252	11,941
Property & equipment, net	12,331	14,834
Goodwill	1,138	1,138
Intangible assets, net	712	920
Restricted cash, non-current	579	202
Other non-current assets	5,360	694
Total assets	115,791	85,657
Accounts payable	7,531	2,849
Accrued expenses	2,166	2,092
Accrued payroll	5,401	3,470
Current portion of long-term debt	2,500	...
Other current liabilities	939	1,066
Total current liabilities	18,537	9,477
Redeemable convertible preferred stock warrant liability	631	644
Long-term debt, net of current portion	7,218	9,154
Other non-current liabilities	344	107
Total liabilities	26,730	19,382
Series A redeemable convertible preferred stock	9,141	9,141
Series B redeemable convertible preferred stock	25,900	25,900
Series C redeemable convertible preferred stock	36,726	36,726

Series D redeemable convertible preferred stock	218,716	127,866
Total redeemable convertible preferred stock	290,483	199,633
Additional paid-in capital	9,346	6,228
Accumulated other comprehensive income (loss)	87	33
Retained earnings (accumulated deficit)	(210,855)	(139,619)
Total shareholders' (deficit)/equity	(201,422)	(133,358)

Recent Dividends:**1. Twist Bioscience Corp common.**

No dividends paid.

Annual Dividends:**1. Twist Bioscience Corp common.**

No dividends paid.

WALGREENS BOOTS ALLIANCE INC**Earnings, 3 mos. to Nov 30(Consol. – \$000):**

	2018	2017
Net Sales	33,793,000	30,740,000
Cost & expenses	32,393,000	29,421,000
Operating income	1,400,000	1,319,000
Interest expense	161,000	149,000
Other income (expense), net	26,000	(134,000)
Net before taxes	1,265,000	1,036,000
Net income	1,100,000	822,000
Earnings common share		
Primary	\$1.18	\$0.82
Fully Diluted	\$1.18	\$0.81
Common Shares:		
Full Diluted	951,400	1,011,100
Year-end	943,445	990,446

Consolidated Balance Sheet Items, as of (\$000):

	2018
Assets:	
Cash & equivalents	980,000
Inventories	10,976,000
Current assets	20,083,000
Net property & equip.	13,821,000
Total assets	69,941,000
Liabilities:	
Current liabilities	25,099,000
Long-term debt	11,646,000
Stockholders' equity	25,609,000
Net current assets	(5,016,000)

ZSCALER INC**Earnings, 3 mos. to Oct 31(Consol. – \$000):**

	2018	2017
Total Revenues	63,298	39,861
Cost & expenses	71,961	51,138
Operating income	(8,663)	(11,277)
Interest income	1,590	195
Other income (expense), net	(188)	(27)
Net before taxes	(7,261)	(11,109)
Income taxes	327	289
Net income	(7,588)	(11,398)
Balance for common	(7,588)	(13,928)
Earnings common share		
Primary	\$(0.06)	\$(0.45)
Fully Diluted	\$(0.06)	\$(0.45)
Common Shares:		
Full Diluted	120,587	30,793
Year-end	122,106	

Consolidated Balance Sheet Items, as of (\$000):

	2018
Assets:	
Cash & equivalents	42,786
Current assets	391,604
Net property & equip.	24,132
Total assets	458,094
Liabilities:	
Current liabilities	183,905
Stockholders' equity	252,178
Net current assets	207,699

*

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