



NOTICE – Items in this issue will be listed online weekly and printed monthly.

### NAME CHANGES

(For details on individual listings, see the News Section of this issue)

Ely Gold & Minerals Inc (to Ely Gold Royalties Inc)

### 21ST CENTURY ONCOLOGY HOLDINGS INC

**Bankruptcy Proceedings** On Nov. 6, 2017, Co. filed with the U.S. Bankruptcy Court a monthly operating report for Sept. 2017. For the month, the Debtors reported a \$15,900,000 net loss on \$51,400,000 in total revenue and paid \$1,100,000 in general and administrative expenses; \$13,600,000 in total operating expenses; \$5,000,000 in reorganization expenses and in professional fees. Cash at the beginning of Sept. 2017 for the total Debtors was \$40,100,000 and \$40,300,000 at month's end, with net cash flow of \$165,121. Co. also paid cash disbursements of \$59,600,000 on \$59,800,000 in cash receipts during Sept. 2017.

### 21ST CENTURY ONCOLOGY HOLDINGS INC

**Bankruptcy Proceedings** On Nov. 15, 2017, Co. filed with the U.S. Bankruptcy Court a Supplement to Co.'s Joint Chapter 11 Plan of Reorganization. The Supplement contains the following documents: Exhibit A: form of the new first lien term loan credit agreement, Exhibit B: form of the new MDL term loan credit agreement, Exhibit C: form of the new second lien notes indenture, Exhibit D: form of the new inter-creditor agreement, Exhibit E: form of new warrant documents, Exhibit F: form of the new organizational documents, Exhibit G: identities of the members of the new board and the officers of the reorganized Debtors (to the extent known), Exhibit H: list of retained causes of action, Exhibit I: schedule of assumed executory contracts and unexpired leases, Exhibit J: schedule of rejected executory contracts and unexpired leases, Exhibit K: schedule of excluded directors and officers and Exhibit L: schedule of specified directors and officers.

### 21ST CENTURY ONCOLOGY HOLDINGS INC

**Bankruptcy Proceedings** On Nov. 17, 2017, Co.'s patient care ombudsman filed with the U.S. Bankruptcy Court a third report for the period of Sept. 19, 2017 through Nov. 16, 2017. The ombudsman says, "Based upon what I have observed at the management level and at the nine facilities I have visited in Florida and California, I do not believe that the bankruptcy has negatively impacted operations at the patient level and, if asked, I would continue to recommend Co. to any in need of the cancer care provided at their facilities. It is apparent to me after visiting six facilities in Florida and three facilities in California, in addition to multiple conversations with management and regional VPs, that patient care has not been impacted in any material manner by the bankruptcy cases. Management has done an excellent job of keeping the lines of communication open, proactively engaging with physicians and other employees to allay any concerns and arm them with useful information. Physician and employee retention appears to be stable, the delivery of supplies has not been interrupted, and the facilities continue to have access to state of the art technology for treatment. The physicians and other employees reported no changes that they have observed since the 11 bankruptcy filings. Of course, the sooner that the Debtors can emerge from bankruptcy, the better for all concerned. Not that emergence will improve patient care, which has continued to be at the highest level, but it will remove any cloud of uncertainty, particularly in the view of outsiders with whom certain of the facilities have been in discussion concerning potential synergies and growth opportunities."

### 21ST CENTURY ONCOLOGY HOLDINGS INC

**Bankruptcy Proceedings** On Nov. 20, 2017, the U.S. Bankruptcy Court established Nov. 20, 2017 as the final date by which interested parties must file objections to Co.'s APA, with a Nov. 21, 2017 hearing to follow.

### 21ST CENTURY ONCOLOGY HOLDINGS INC

**Bankruptcy Proceedings** On Nov. 21, 2017, Co. filed with the U.S. Bankruptcy Court three separate motions seeking approval of the following agreements: (1) a resolution agreement between the Debtor and the United States Department of Health and

Human Services' Office for Civil Rights; (2) a corporate integrity agreement between the Debtor and the Office of Inspector General of the United States of America; (3) an agreement between the Debtor and the data breach plaintiffs. According to the first resolution agreement, "Upon the Effective Date the Debtors, through their insurer under that certain Beazley Breach Response, Policy No. W140E2150301, shall deliver to the OCR a cash payment in the amount of \$2,300,000 (the 'Resolution Amount'). The Resolution Agreement enables the Debtors to avoid the uncertainty, delay, and costs of potentially protracted litigation regarding the HIPAA Inquiries and related claims in exchange for a payment of \$2,300,000 to the OCR, which will be satisfied entirely from applicable insurance proceeds (and which will accordingly result in the preservation of the Debtors' much needed liquidity)." According to the second corporate integrity agreement, "The Settlement Agreement, among other things: provides for a final resolution of claims arising from the Covered Conduct, a necessary predicate to the Debtors' emergence from chapter 11 permits the Debtors to pay the \$26,000,000 settlement payment over a 5-year period, which provides the Debtors with much needed near term liquidity post-emergence. In connection with claims arising from the Covered Conduct, the Defendants agree to pay the United States \$26,000,000 (plus 2.25% interest accruing (\$1,203,851) in the aggregate, less amounts previously paid of \$6,037,046, with a cash payment of \$2,062,954 on Mar. 31, 2018 with the remaining amounts paid over the next 5 years (the 'Settlement Payments')." According to the third agreement, "Absent this Settlement Agreement, the Debtors face the risk the Court would apply Bankruptcy Rule 7023 to these proceedings, thus allowing the Data Breach Plaintiffs to prosecute their Purported Class Proofs of Claim wherein the Data Breach Plaintiffs are seeking not less than \$123,200,000 on behalf of a nationwide class of approximately 2,200,000 individuals." The Court scheduled a Dec. 11, 2017, hearing to consider all the three agreements, with objections due by Dec. 4, 2017. Also on Nov. 21, 2017, Co. filed with the U.S. Bankruptcy Court a Supplement to Co.'s Joint Plan of Reorganization. The Supplement contains the following document: Exhibit D: form of the new intercreditor agreement. The Supplement notes, "Intercreditor Agreement is by and among Wilmington Savings Fund Society, FSB, as the 'MDL Term Loan Agent', Morgan Stanley Senior Funding, the '21C Term Loan Agent', Wilmington Savings Fund Society, FSB, as trustee and collateral agent and the 'PIK Toggle Notes Agent', the ABL Agent, and 21st Century Oncology & Co., the 'Parent'."

### 22ND CENTURY GROUP INC

**Earnings, 9 mos. to Sep 30(Consol. – \$):**

	2017	2016
Net Sales	10,659,588	8,944,362
Cost & expenses	19,491,015	16,975,701
Operating income	(9,526,555)	(8,655,046)
Interest income	48,197	6,729
Gains or losses	207,367	(157,466)
Net before taxes	(9,293,535)	(8,834,794)
Net income	(9,293,535)	(8,834,794)
Earnings common share		
Primary	\$(0.10)	\$(0.11)
Fully Diluted	\$(0.10)	\$(0.11)
Common Shares:		
Full Diluted	94,369,953	76,826,949
Year-end	102,888,117	82,198,113

### ACME UNITED CORP.

**Earnings, 9 mos. to Sep 30(Consol. – \$000):**

	2017	2016
Net Sales	100,380	98,198
Cost & expenses	93,350	90,463
Operating income	7,030	7,735
Other income (expense), net	44	(38)
Net before taxes	6,125	7,055
Income taxes	1,418	1,750

Net income	4,707	5,305
Earnings common share		
Primary	\$1.40	\$1.59
Fully Diluted	\$1.25	\$1.49
Common Shares:		
Full Diluted	3,765	3,562
Year-end	3,374	3,325

### ACTINIUM PHARMACEUTICALS, INC.

**Annual Meeting Development** On Nov. 14, 2017, Co. announced that its Annual Meeting of Stockholders will be held on Dec. 20, 2017 at 9:30 a.m. Eastern Standard Time, at The Garden City Hotel, 45 Seventh St, Garden City, NY 11530.

### ACTINIUM PHARMACEUTICALS, INC.

**Earnings, 9 mos. to Sep 30(Consol. – \$):**

	2017	2016
Cost & expenses	21,657,624	19,962,999
Operating income	(21,703,927)	(20,020,669)
Other income (expense), net	265,714	2,399,639
Net income	(21,438,164)	(17,626,037)
Earnings common share		
Primary	\$(0.34)	\$(0.38)
Fully Diluted	\$(0.34)	\$(0.38)
Common Shares:		
Full Diluted	62,267,794	46,126,928
Year-end	80,025,639	47,746,108

### ACUITY BRANDS INC (HOLDING COMPANY)

**Annual Meeting Development** On Nov. 21, 2017, 2017, Co. scheduled its annual Meeting of Shareholders for Jan. 5, 2018, at 11:00 a.m. Eastern Time, at Four Seasons Hotel 75 Fourteenth Street, NE Atlanta, GA 30309.

### ADAMS RESOURCES & ENERGY, INC.

**Earnings, 9 mos. to Sep 30(Consol. – \$000):**

	2017	2016
Total revenues	913,600	800,571
Cost & expenses	905,083	780,068
Deprec., depl. & amort.	10,772	14,385
Operating income	(2,255)	6,118
Interest income	789	444
Interest expense	10	
Other income (expense), net	(2,500)	(1,732)
Gains or losses	(3,505)	(468)
Net before taxes	(7,481)	4,362
Income taxes	(3,306)	1,681
Net income	(4,175)	2,681
Earnings common share		
Primary	\$(0.99)	\$0.64
Fully Diluted	\$(0.99)	\$0.64
Common Shares:		
Full Diluted	4,218	4,218
Year-end	4,218	4,218

Net income	4,707	5,305
Earnings common share		
Primary	\$1.40	\$1.59
Fully Diluted	\$1.25	\$1.49
Common Shares:		
Full Diluted	3,765	3,562
Year-end	3,374	3,325

### ADVANCED DISPOSAL SERVICES INC (DE)

**Secondary Offering** On Nov. 16, 2017, Co. announced that certain of its legacy stockholders intend to offer for sale in an underwritten secondary offering 6,751,860 shares of Co.'s common stock owned by them, pursuant to a shelf registration statement on Form S-3 filed with the Securities and Exchange Commission (the "SEC"). Co. is not offering any stock in this transaction and will not receive any proceeds from the sale of the shares by the Selling Stockholders. In addition, no shares are being sold by Co.'s directors or management.

### ADVANSIX INC

**Earnings, 9 mos. to Sep 30(Consol. – \$000):**

	2017	2016
Net Sales	1,104,805	932,201
Cost & expenses	983,671	836,628
Operating income	121,134	95,573



<b>Annual Report</b>										
<b>Consolidated Income Statement, Years Ended Sept. 30</b>										
<b>(\$000):</b>										
	2017	2016 (revised)	2015 (revised)							
Sales	8,187,600	7,503,700	7,824,300	operations	1,155,200	1,122,000	965,900	Inventories	335,400	255,000
Cost of sales	5,753,400	5,176,600	5,598,200	Income (loss) from discontinued operations, net of tax	1,866,000	(460,500)	351,700	Contracts in progress, less progress billings	84,800	64,600
Selling & administrative expenses	715,600	685,000	773,000	<b>Net income (loss)</b>	3,021,200	661,500	1,317,600	Prepaid expenses	191,400	93,900
Research & development expenses	57,800	71,600	76,400	Less: net income (loss) attributable to noncontrolling interests of continuing operations	(20,800)	(22,500)	(32,600)	Derivative instruments	93,900	169,300
Business separation costs	30,200	50,600	7,500	Less: net income attributable to noncontrolling interests of discontinued operations	...	(7,900)	(7,100)	Other receivables	188,000	181,700
Business restructuring & cost reduction plans	151,400	34,500	180,100	Net income (loss) attributable to Air Products & Chemicals, Inc.	3,000,400	631,100	1,277,900	Current capital lease receivables	93,300	88,200
Pension settlement loss	10,500	5,100	19,300	Weighted average shares outstanding - basic	218,000	216,400	214,900	Prepaid inventory	...	92,800
Goodwill & intangible asset impairment charge	162,100	...	...	Weighted average shares outstanding - diluted	218,000	218,300	217,300	Other receivables & current assets	28,100	6,200
Gain on previously held equity interest	...	...	17,900	Year end shares outstanding	218,346	217,351	...	Current assets of discontinued operations	10,200	926,200
Technology & royalty income	20,800	19,000	22,800	Income per share from continuing operations - basic	\$5.20	\$5.08	\$4.34	Total current assets	5,876,700	4,317,300
Interest income	1,500	6,100	4,200	Income (loss) per share from discontinued operations - basic	\$8.56	\$(2.16)	\$1.61	Investment in net assets of & advances to equity affiliates	1,286,900	1,283,600
Foreign exchange	4,300	(7,200)	(22,600)	Net income per share - basic	\$13.76	\$2.92	\$5.95	Land	231,000	202,900
Sale of assets & investments	24,300	8,800	36,300	Income per share from continuing operations - diluted	\$5.16	\$5.04	\$4.29	Buildings	977,800	918,600
Contract settlements	14,300	12,600	...	Income (loss) per share from discontinued operations - diluted	\$8.49	\$(2.15)	\$1.59	Production facilities	13,577,100	12,391,900
Transition service agreements reimbursement	38,400	...	...	Net income per share - diluted	\$13.65	\$2.89	\$5.88	Distribution & other machinery & equipment	3,944,000	3,821,000
Other income (expense), net	17,400	10,100	4,800	Dividends per common share	\$3.71	\$3.39	\$3.20	Construction in progress	817,900	1,325,800
Operating income	1,427,600	1,529,700	1,233,200	Number of full time employees	15,000	...	...	Plant & equipment, at cost	19,547,800	18,660,200
Equity affiliates' income	80,100	147,000	152,300	Number of part time employees	300	...	...	Less: accumulated depreciation	11,107,600	10,400,500
Interest expense	120,600	115,200	102,800	Total number of employees	15,300	18,600	19,700	Plant & equipment, net	8,440,200	8,259,700
Other non-operating income (expense), net	29,000	...	...	Number of common stockholders	5,644	5,974	6,333	Goodwill, net	721,500	845,100
Gain (loss) on early retirement debt	...	(6,900)	(16,600)	Foreign currency translation adjustments	101,900	9,900	(699,300)	Intangible assets, net	368,300	387,900
Income (loss) from continuing operations before taxes - U.S.	669,800	631,700	507,500	Restated to reflect the discontinued operations of former Materials Technologies business & the Energy-from-Waste business; Restated to reflect the Energy-from-Waste business as discontinued operations; As is; Approximately; As of 31 October 2017; As of October 31, 2016; As of October 30, 2015				Noncurrent capital leases receivables	1,131,800	1,221,700
Income (loss) from continuing operations before taxes - foreign	666,200	775,900	606,300					Derivative instruments	133,900	204,400
Income (loss) from equity affiliates	80,100	147,000	152,300					Other long-term receivables	82,100	16,900
Income (loss) from continuing operations before taxes	1,416,100	1,554,600	1,266,100					Prepaid tax	5,100	37,000
Current federal income taxes	62,800	171,000	117,000					Deferred tax assets	174,500	185,000
Current state income taxes	7,000	21,200	8,100					Pension benefits	18,400	...
Current foreign income taxes	229,100	178,600	165,700					Deposits	34,800	36,500
Total current income taxes	298,900	370,800	290,800					Other noncurrent assets	193,000	191,200
Deferred federal income taxes	1,400	45,000	1,500					Noncurrent assets of discontinued operations	...	1,042,300
Deferred state income taxes	6,000	2,800	17,800					Total non-current assets	12,590,500	13,711,300
Deferred foreign income taxes	(45,400)	14,000	(9,900)					Total assets	18,467,200	18,028,600
Total deferred income taxes	(38,000)	61,800	9,400					Trade creditors	659,500	578,800
Income tax provision (benefit)	260,900	432,600	300,200					Customer advances	438,900	371,200
Income from continuing operations								Accrued payroll & employee benefits	187,100	217,100
								Pension & postretirement benefits	22,600	35,500
								Dividends payable	207,500	186,900
								Outstg checks pay in excess of cash balances	4,500	11,900
								Accrued interest expense	42,200	47,900
								Derivative instruments	95,900	73,500
								Severance & other costs associated with business restructuring & cost reduction plans	41,500	15,700
								Other payables & accrued liabilities	114,600	113,700
								Accrued income taxes	98,600	117,900
								Bank obligations	144,000	133,100
								Commercial paper	...	802,700
								Current portion of long-term debt	416,400	365,400
								Current liabilities of discontinued operations	15,700	211,800
								Total current liabilities	2,489,000	3,283,100
								Debentures	18,400	18,400
								Medium-term notes	17,200	17,200
								Senior notes	2,000,000	2,000,000
								Other debt	642,800	795,600
								Payable in other currencies	1,148,100	1,457,100
								Capital lease obligations	10,800	10,200
								Less: unamortized discount	(18,500)	(23,400)
								Less: Current portion of long-term debt	416,400	365,400
								Long-term debt	3,402,400	3,909,700
								Pension benefits	703,800	1,155,100
								Postretirement benefits	57,000	74,900
								Other employee benefits	99,300	104,100

Contingencies related to uncertain tax positions	130,600	78,000
Advance payments	39,000	43,800
Environmental liabilities	72,300	70,300
Derivative instruments	36,000	21,800
Asset retirement obligation	144,000	116,100
Obligation for future contribution to an equity affiliate	94,400	94,400
Obligations associated with EfW	65,300	...
Other noncurrent liabilities	170,200	58,000
Deferred income taxes	778,400	710,400
Noncurrent liabilities of discontinued operations	...	1,095,500
Total liabilities	8,281,700	10,815,200
Common stock	249,400	249,400
Capital in excess of par value	1,001,100	970,000
Retained earnings	12,846,600	10,475,500
Net unrecognized (loss) on derivatives qualifying as hedges	(53,100)	(65,000)
Foreign currency translation adjustments	(787,100)	(949,300)
Pension & postretirement benefits	(1,007,200)	(1,374,000)
Accumulated other comprehensive income (loss)	(1,847,400)	(2,388,300)
Treasury stock, at cost	2,163,500	2,227,000
Total Air Products shareholders' equity	10,086,200	7,079,600
Noncontrolling interests	99,300	133,800
Total equity	10,185,500	7,213,400

☐ Restated to reflect the discontinued operations of former Materials Technologies business & the Energy-from-Waste business

#### ALCOA CORPORATION

Earnings, 9 mos. to Sep 30(Consol. - \$000):

	2017	2016
Total revenues	8,478,000	6,781,000
Cost & expenses	6,972,000	6,284,000
Operating income	943,000	(39,000)
Net before taxes	943,000	(39,000)
Income taxes	328,000	178,000
<b>Net income</b>	615,000	(217,000)
Earnings common share		
Primary	\$2.24	\$(1.51)
Fully Diluted	\$2.21	\$(1.51)
Common Shares:		
Full Diluted	187,000	182,000
Year-end	185,023	...

#### ALPHA PRO TECH LTD.

Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Net Sales	34,082,000	36,334,000
Cost & expenses	30,858,000	32,982,000
Deprec., depl. & amort.	426,000	425,000
Operating income	2,798,000	2,927,000
Other income (expense), net	339,000	433,000
Gains or losses	385,000	...
Net before taxes	3,525,000	3,363,000
Income taxes	1,068,000	1,040,000
<b>Net income</b>	2,457,000	2,323,000
Earnings common share		
Primary	\$0.16	\$0.14
Fully Diluted	\$0.16	\$0.14
Common Shares:		
Full Diluted	15,075,940	17,190,073
Year-end	14,583,315	16,301,056

#### ALTERNATE HEALTH CORP

**Acquisition Development** On Nov. 15, 2017, Co. announced that it has agreed to acquire a new Mobile Payment Processing Application, acting as turnkey payment solution and point-of-sale technology with comprehensive Blockchain reporting from Trident Payments, LLC ("Trident Payments"). Under the terms of the agreement, Trident Payments will assign all intellectual property rights to the Mobile Payment Processing Application

to Alternate Health, including source code, algorithms, databases, software, etc. Trident Payments will receive a total of 300,000 common shares at a deemed price of C\$2.45 per share. Upon execution of the agreement, Trident will receive the first 100,000 shares, with the balance of the 200,000 shares vested as to 50,000 shares on the first trading day of each of the next four fiscal quarters in 2018. Trident Solutions, LLC, an affiliate of Trident Payments, will also receive 24,000 common shares under a Marketing and Management agreement to integrate the technology into CanaPass and continue to make updates and improvements as necessary. This agreement includes an aggregate US\$34,000 independent contractor fee paid monthly and automatically renewable for 12 months. Co. also agreed to pay Trident Solutions, on a quarterly basis, a percentage of the net income received by Co. in connection with certain payment processing transactions. All common shares will be issued in accordance with the Policies of the CSE and regulatory authorities, and be subject to a 4 month hold period under applicable Canadian securities laws.

#### ALTISOURCE ASSET MANAGEMENT CORP

Earnings, 9 mos. to Sep 30(Consol. - \$000):

	2017	2016
Total revenues	14,083	14,787
Cost & expenses	19,583	17,900
Operating income	(4,769)	(2,333)
Other income (expense), net	799	844
Net before taxes	(4,701)	(2,269)
Income taxes	484	1,003
<b>Net income</b>	(5,185)	(3,272)
Balance for common	(5,340)	(3,427)
Earnings common share		
Primary	\$(3.42)	\$(1.89)
Fully Diluted	\$(3.42)	\$(1.89)
Common Shares:		
Full Diluted	1,562	1,814
Year-end	1,584	1,638

#### AMCON DISTRIBUTING COMPANY

**Annual Meeting Development** On Nov. 16, 2017, 2017, Co. scheduled its annual Meeting of Shareholders for Thursday, Dec. 21, 2017, at 1:00 p.m., local time, in the Omaha Hilton Hotel located at 1001 Cass Street, Omaha, Nebraska.

#### AMERICAN CUMO MINING CORP

**Rights Offering** On Nov. 20, 2017, Co. announced that all eligible shareholders have been issued or mailed their Rights, and ineligible shareholders have been sent a letter explaining the process for making their Rights eligible. The Rights are now trading under the symbol MLY.RT. As announced in Co.'s Nov. 2, 2017 news release, eligible shareholders receive one (1) Right for each common share held. Two (2) Rights entitle the holder to subscribe for one (1) common share of Co. upon payment of the subscription price of C\$0.07 per share (the "Subscription Price"). For example, a holder of 1,000 common shares will be entitled to subscribe for 500 common shares for an aggregate subscription price of C\$35.00. Shareholders who fully exercise their Rights will also be entitled to subscribe for additional shares in the Rights Offering, if available, as a result of unexercised Rights prior to the Expiry Time, subject to certain limitations set out in CuMoCo's Rights Offering Circular. The Rights will continue to trade on the TSX Venture Exchange under the symbol MLY.RT until 9:00 a.m. PST on Dec. 9, 2017. The Rights will expire at 2:00 p.m. PST on Dec. 9, 2017 (the "Expiry Time"), after which time unexercised Rights will be void and of no value. No fractional shares will be issued. The process for exercising Rights varies according to how shareholders hold their shares: 1) If shares are held at a brokerage firm, shareholders should instruct their broker to exercise the Rights, and the broker sends the funding to Computershare. Upon closing, Computershare will issue a share certificate directly to the Broker. 2) If the shares are registered in a shareholder's name, the shareholder will receive a Rights certificate which needs to be signed and sent along with a certified check, bank draft, or money order for the shares to Computershare and upon closing, Computershare will issue the shares directly to the shareholder.

#### AMERICAN LORAIN CORP

**Annual Meeting Development** On Nov. 17, 2017, 2017, Co. scheduled its annual Meeting of Shareholders for Dec. 27, 2017 at 10:00 a.m. China Standard Time, at Beiuhuan Zhong Road, Junan County, Shandong, People's Republic of China, 276600.

#### AMERICAN RENAL ASSOCIATES HOLDINGS INC

Earnings, 9 mos. to Sep 30(Consol. - \$000):

	2017	2016
Total revenues	550,728	550,653
Cost & expenses	450,307	424,430
Deprec., depl. & amort.	27,894	24,616
Operating income	72,527	101,607

Interest expense	22,052	28,571
Other income (expense), net	4,935	22
Net before taxes	55,410	73,058
Income taxes	(555)	1,413
<b>Net income</b>	55,965	71,645
Balance for common	(8,979)	(7,151)
Earnings common share		
Primary	\$(0.29)	\$(0.26)
Fully Diluted	\$(0.29)	\$(0.26)
Common Shares:		
Full Diluted	30,997	27,198
Year-end	31,314	30,868

#### AMERICAN SHARED HOSPITAL SERVICES

Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Cost & expenses	12,610,000	11,802,000
Operating income	1,862,000	1,838,000
Other income (expense), net	...	(108,000)
Net before taxes	1,861,000	1,741,000
Income taxes	600,000	424,000
<b>Net income</b>	1,261,000	1,317,000
Earnings common share		
Primary	\$0.09	\$0.09
Fully Diluted	\$0.09	\$0.09
Common Shares:		
Full Diluted	5,912,000	5,564,000
Year-end	5,710,000	5,368,000

#### AMERIGAS PARTNERS LP

##### Annual Report

Consolidated Income Statement, Years Ended Sept. 30

(\$000):

	2016	2015	2014
	(revised)	(revised)	(revised)
Propane revenues	2,053,160	2,612,401	3,440,868
Other revenues	258,657	272,921	272,067
Total revenues	2,311,817	2,885,322	3,712,935
Cost of sales - propane	719,842	1,301,167	2,034,592
Cost of sales - other	78,857	86,638	81,982
Operating & administrative expenses	928,786	953,283	963,963
Depreciation expenses	146,805	152,204	154,020
Amortization	43,175	42,676	43,195
Finance charges	(15,201)	(12,665)	(17,459)
Gains on sales of fixed assets	8,062	14,260	6,524
Other income	4,989	4,430	3,467
Other income, net	28,252	31,355	27,450
Total costs & expenses	1,889,213	2,504,613	3,250,302
Operating income (loss)	422,604	380,709	462,633
Gain (loss) on extinguishments of debt	(48,889)	...	...
Interest expense	164,095	162,842	165,581
Income (loss) before income taxes	209,620	217,867	297,052
Income tax expense (benefit)	(1,573)	2,898	2,611
<b>Net income (loss) including noncontrolling interest</b>	211,193	214,969	294,441
Less: net income attributable to noncontrolling interests	(4,209)	(3,758)	(4,548)
Net income (loss) attributable to AmeriGas Partners, L.P.	206,984	211,211	289,893
General partner's interest in net income attributable to AmeriGas Partners, L.P.	40,227	32,469	26,749
Limited partners' interest in net	...	...	...

income attributable to AmeriGas Partners, L.P. ....	166,757	178,742	263,144	Accounts payable - trade .....	94,007	101,588	Net income (loss) attributable to AmeriGas Partners, L.P. ....	162,059	206,984	211,211
Weighted average limited partner units outstanding - basic .....	92,949	92,910	92,876	Accounts payable - related parties .....	2,759	445	General partner's interest in net income attributable to AmeriGas Partners, L.P. ....	45,146	40,227	32,469
Weighted average limited partner units outstanding - diluted .....	93,023	92,977	92,946	Employee compensation & benefits accrued .....	40,793	57,961	Limited partners' interest in net income attributable to AmeriGas Partners, L.P. ....	116,913	166,757	178,742
Year end units outstanding .....	92,923	92,890	92,867	Interest accrued .....	40,106	48,693	Weighted average limited partner units outstanding - basic .....	92,996	92,949	92,910
Net income (loss) per limited partner unit - basic .....	\$1.77	\$1.91	\$2.82	Customer deposits & advances .....	119,319	117,087	Weighted average limited partner units outstanding - diluted .....	93,050	93,023	92,977
Net income (loss) per limited partner unit - diluted .....	\$1.77	\$1.91	\$2.82	Derivative instruments .....	...	47,507	Year end units outstanding .....	92,959	92,923	92,890
Cash distributions declared per limited partner unit .....	\$3.72	\$3.60	\$3.44	Litigation, property & casualty liabilities .....	75,415	40,216	Net income (loss) per limited partner unit - basic .....	\$1.25	\$1.77	\$1.91
Number of full time employees .....	7,870	8,000	8,000	Taxes other than income taxes .....	10,141	12,950	Net income (loss) per limited partner unit - diluted .....	\$1.25	\$1.77	\$1.91
Number of part time employees .....	430	500	400	Deferred tank fee revenue .....	22,353	22,232	Cash distributions declared per limited partner unit .....	\$3.78	\$3.72	\$3.60
Total number of employees .....	8,300	8,500	8,400	Other current liabilities .....	21,887	19,836	Number of full time employees .....	7,750	7,870	8,000
Number of common unitholders .....	703	764	825	Other current liabilities .....	129,796	95,234	Number of part time employees .....	350	430	500
				Total current liabilities .....	588,455	546,294	Total number of employees .....	8,100	8,300	8,500
				AmeriGas Partners senior notes .....	2,330,844	2,250,845	Number of common unitholders .....	646	703	764
				Heritage Operating, L.P. senior secured notes .....	15,241	20,998				
				Other long-term debt .....	14,349	11,653				
				Less: unamortized debt issuance costs .....	(26,625)	(21,560)				
				Less: current maturities .....	8,475	9,679				
				Long-term debt .....	2,325,334	2,252,257				
				Derivative instruments .....	...	7,670				
				Other noncurrent liabilities .....	124,772	113,558				
				Total liabilities .....	3,038,561	2,919,779				
				Common unitholders .....	967,073	1,145,291				
				General partner .....	17,148	18,925				
				Total AmeriGas Partners, L.P. partners' capital .....	984,221	1,164,216				
				Noncontrolling interests .....	34,988	36,157				
				Total partners' capital .....	1,019,209	1,200,373				

□ As is; □ Approximately; □ As of November 16, 2016; □ As of November 19, 2015; □ As of November 21, 2014

#### Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):

	2016 (revised)	2015 (revised)
Cash & cash equivalents .....	15,827	14,757
Accounts receivable, gross .....	194,101	211,324
Less allowances for doubtful accounts .....	11,436	12,257
Accounts receivable - related parties .....	2,643	2,360
Propane gas .....	61,849	68,076
Materials, supplies & other inventories .....	11,521	20,354
Appliances for sale .....	5,453	5,504
Inventories .....	78,823	93,934
Derivative instruments .....	7,994	...
Prepaid expenses .....	22,757	21,519
Insurance indemnification receivable .....	...	18,958
Other current assets .....	33,739	15,766
Total current assets .....	344,448	366,361
Land .....	136,728	140,129
Buildings & improvements .....	193,300	190,625
Transportation equipment .....	262,645	257,454
Storage facilities .....	262,430	256,854
Equipment, primarily cylinders & tanks .....	1,682,493	1,636,502
Other property, plant & equipment, including work in progress .....	...	212,496
Work in process .....	24,740	...
Other property, plant & equipment .....	211,617	...
Gross property, plant & equipment .....	2,773,953	2,694,060
Less accumulated depreciation & amortization .....	1,499,396	1,369,733
Property, plant & equipment, net .....	1,274,557	1,324,327
Goodwill .....	1,978,981	1,956,688
Intangible assets, net .....	411,319	433,713
Derivative instruments .....	1,166	...
Other assets .....	47,299	39,063
Total assets .....	4,057,770	4,120,152
Current maturities of long-term debt .....	8,475	9,679
Short term borrowings .....	153,200	68,100

□ Reclassified to conform with 2017 presentation; □ Reclassified to conform with 2016 presentation

#### AMERIGAS PARTNERS LP Annual Report

#### Consolidated Income Statement, Years Ended Sept. 30 (\$000):

	2017	2016 (revised)	2015 (revised)
Propane revenues .....	2,183,538	2,053,160	2,612,401
Other revenues .....	269,957	258,657	272,921
Total revenues .....	2,453,495	2,311,817	2,885,322
Cost of sales - propane .....	891,261	719,842	1,301,167
Cost of sales - other .....	80,611	78,857	86,638
Operating & administrative expenses .....	915,133	928,786	953,283
Depreciation expenses .....	147,741	146,805	152,204
Amortization .....	42,764	43,175	42,676
Finance charges .....	(11,805)	(15,201)	(12,665)
Gains on sales of fixed assets .....	(2,197)	8,062	14,260
Other income .....	2,265	4,989	4,430
Other income, net .....	11,873	28,252	31,355
Total costs & expenses .....	2,065,637	1,889,213	2,504,613
Operating income (loss) .....	387,858	422,604	380,709
Gain (loss) on extinguishments of debt .....	(59,729)	(48,889)	...
Interest expense .....	160,226	164,095	162,842
Income (loss) before income taxes .....	167,903	209,620	217,867
Income tax expense (benefit) .....	2,034	(1,573)	2,898
<b>Net income (loss) including noncontrolling interest .....</b>	<b>165,869</b>	<b>211,193</b>	<b>214,969</b>
Less: net income attributable to noncontrolling interests .....	(3,810)	(4,209)	(3,758)

□ As is; □ Approximately; □ As of November 14, 2017; □ As of November 16, 2016; □ As of November 19, 2015

#### Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):

	2017	2016 (revised)
Cash & cash equivalents .....	7,316	15,827
Accounts receivable, gross .....	209,596	194,101
Less allowances for doubtful accounts .....	11,820	11,436
Accounts receivable .....	197,776	182,665
Accounts receivable - related parties .....	3,665	2,643
Propane gas .....	99,035	61,849
Materials, supplies & other inventories .....	11,899	11,521
Appliances for sale .....	5,745	5,453
Inventories .....	116,679	78,823
Derivative instruments .....	30,483	7,994
Prepaid expenses .....	25,605	22,757
Other current assets .....	32,250	33,739
Total current assets .....	413,774	344,448
Land .....	135,965	136,728
Buildings & improvements .....	196,798	193,300
Transportation equipment .....	250,493	262,645
Storage facilities .....	268,415	262,430
Equipment, primarily cylinders & tanks .....	1,612,375	1,682,493
Work in process .....	19,517	24,740
Other property, plant & equipment .....	235,037	211,617
Gross property, plant & equipment .....	2,718,600	2,773,953
Less accumulated depreciation & amortization .....	1,511,890	1,499,396
Property, plant & equipment, net .....	1,206,710	1,274,557
Goodwill .....	2,002,010	1,978,981
Intangible assets, net .....	390,040	411,319

Derivative instruments	1,320	1,166	domestic	394,721	906,415	55,545	Less accumulated depreciation	1,293,260	1,086,054
Other assets	45,407	47,299	Income before income taxes - foreign	523,166	484,495	213,419	Property & equipment, net	1,797,945	1,530,682
Total assets	4,059,261	4,057,770	Income before income taxes	917,887	1,390,910	268,964	Goodwill	6,044,281	5,991,497
Current maturities of long-term debt	8,447	8,475	Current income tax provision (benefit) - federal	141,071	11,892	310,847	Other intangibles assets	2,833,281	2,967,849
Short term borrowings	140,000	153,200	Current income tax provision (benefit) - state & local	35,950	26,741	46,240	Other assets	337,664	295,626
Accounts payable - trade	119,686	94,007	Current income tax provision (benefit) - foreign	57,313	55,275	29,216	Total assets	35,316,470	33,637,501
Accounts payable - related parties	304	2,759	Total current income tax provision (benefit)	234,334	93,908	386,303	Accounts payable	25,404,042	23,926,320
Employee compensation & benefits accrued	45,926	40,793	Deferred income tax provision (benefit) - federal	265,074	(119,218)	1,283	Accrued expenses & other current liabilities	1,402,002	743,839
Interest accrued	44,135	40,106	Deferred income tax provision (benefit) - state & local	54,995	(11,490)	18,201	Short-term debt	12,121	610,210
Customer deposits & advances	109,453	119,319	Deferred income tax provision (benefit) - foreign	(1,000)	(219)	1,342	Total current liabilities	26,818,165	25,280,369
Litigation, property & casualty liabilities	65,071	75,415	Total deferred income tax provision (benefit)	319,069	(130,927)	20,826	Receivables	500,000	500,000
Taxes other than income taxes	12,207	10,141	Income taxes	553,403	(37,019)	407,129	Term loan facility	547,860	697,055
Deferred tank fee revenue	16,533	22,353	Net income (loss)	364,484	1,427,929	(138,165)	Overdraft facility	12,121	11,275
Other current liabilities	19,770	21,887	Weighted average common shares outstanding - basic	218,375	212,206	217,786	Senior notes	2,382,074	2,978,373
Other current liabilities	113,581	129,796	Weighted average common shares outstanding - diluted	221,602	225,959	217,786	Less: current portion	12,121	610,210
Total current liabilities	581,532	588,455	Year end shares outstanding	217,994	220,051	206,892	Long-term debt, net of current portion	3,429,934	3,576,493
AmeriGas Partners senior notes	2,575,000	2,330,844	Net earnings (loss) per share - basic	\$1.67	\$6.73	\$(0.63)	Long-term financing obligation	351,635	275,991
Heritage Operating, L.P. senior secured notes	11,348	15,241	Net earnings (loss) per share - diluted	\$1.64	\$6.32	\$(0.63)	Deferred income taxes	2,492,612	2,214,774
Other long-term debt	17,262	14,349	Dividends per common share	\$1.46	\$1.36	\$1.16	Other liabilities	159,663	160,470
Less: unamortized debt issuance costs	(31,331)	(26,625)	Number of full time employees	19,000	18,000	...	Common stock	2,806	2,778
Less: current maturities	8,447	8,475	Number of part time employees	1,000	1,000	...	Additional paid-in capital	4,517,635	4,333,001
Long-term debt	2,563,832	2,325,334	Total number of employees	20,000	19,000	...	Retained earnings (accumulated deficit)	2,395,218	2,303,941
Other noncurrent liabilities	130,826	124,772	Number of common stockholders	2,650	2,747	...	Pension & postretirement adjustments	(4,186)	(5,843)
Total liabilities	3,276,190	3,038,561	Foreign currency translation adjustments	...	(9,311)	(84,142)	Foreign currency translation	(92,164)	(108,704)
Common unitholders	733,104	967,073					Other accumulated other comprehensive income (loss)	500	239
General partner	14,795	17,148					Accumulated other comprehensive income (loss)	(95,850)	(114,308)
Total AmeriGas Partners, L. P. partners' capital	747,899	984,221					Treasury stock, at cost	4,755,348	4,396,008
Noncontrolling interests	35,172	34,988					Total stockholders' equity (deficit)	2,064,461	2,129,404
Total partners' capital	783,071	1,019,209							

□ Reclassified to conform with 2017 presentation

**AMERISOURCEBERGEN CORP.**  
**Acquisition Development** On Nov. 21, 2017, Co. and H.D. Smith Holding Company ("H.D. Smith"), a privately held independent pharmaceutical distributor of wholesale brand, generic and specialty pharmaceuticals, jointly announced that Co. had entered into an agreement to acquire H.D. Smith, for \$815,000,000 in cash. The transaction is subject to customary closing conditions, including the expiration or termination of the applicable waiting period under the Hart-Scott-Rodino Antitrust Improvements Act of 1976, as amended. The transaction is expected to close in early calendar 2018.

**AMERISOURCEBERGEN CORP.**  
**Annual Report**  
**Consolidated Income Statement, Years Ended Sept. 30 (\$000):**

	2017	2016 (revised)	2015 (revised)
Revenue	153,143,826	146,849,686	135,961,803
Cost of goods sold	148,597,824	142,577,080	132,432,490
Gross profit	4,546,002	4,272,606	3,529,313
Distribution, selling & administrative expense	2,128,730	2,091,237	1,907,840
Depreciation	237,100	212,242	192,144
Amortization	160,503	152,493	56,491
Warrants	...	140,342	912,724
Employee severance, litigation & other operating expenses	959,327	102,911	37,894
Pension settlement	...	47,607	...
Operating income (loss)	1,060,342	1,525,774	422,220
Other income (loss)	2,730	5,048	(13,598)
Impairment charge on equity investment	...	...	(30,622)
Interest expense, net	145,185	139,912	109,036
Income before income taxes - domestic	394,721	906,415	55,545
Income before income taxes - foreign	523,166	484,495	213,419
Income before income taxes	917,887	1,390,910	268,964
Current income tax provision (benefit) - federal	141,071	11,892	310,847
Current income tax provision (benefit) - state & local	35,950	26,741	46,240
Current income tax provision (benefit) - foreign	57,313	55,275	29,216
Total current income tax provision (benefit)	234,334	93,908	386,303
Deferred income tax provision (benefit) - federal	265,074	(119,218)	1,283
Deferred income tax provision (benefit) - state & local	54,995	(11,490)	18,201
Deferred income tax provision (benefit) - foreign	(1,000)	(219)	1,342
Total deferred income tax provision (benefit)	319,069	(130,927)	20,826
Income taxes	553,403	(37,019)	407,129
Net income (loss)	364,484	1,427,929	(138,165)
Weighted average common shares outstanding - basic	218,375	212,206	217,786
Weighted average common shares outstanding - diluted	221,602	225,959	217,786
Year end shares outstanding	217,994	220,051	206,892
Net earnings (loss) per share - basic	\$1.67	\$6.73	\$(0.63)
Net earnings (loss) per share - diluted	\$1.64	\$6.32	\$(0.63)
Dividends per common share	\$1.46	\$1.36	\$1.16
Number of full time employees	19,000	18,000	...
Number of part time employees	1,000	1,000	...
Total number of employees	20,000	19,000	...
Number of common stockholders	2,650	2,747	...
Foreign currency translation adjustments	...	(9,311)	(84,142)

□ Reclassified to conform with 2017 presentation; □ Restated to reflect correction of accounting for certain leases; □ As is; □ Approximate; □ As of October 31, 2017; □ As of October 31, 2016

**Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):**

	2017	2016 (revised)
Cash & cash equivalents	2,435,115	2,741,832
Accounts receivable, gross	11,353,685	10,081,221
Less allowances for returns & doubtful accounts	1,050,361	905,345
Accounts receivable, net	10,303,324	9,175,876
Merchandise inventories	11,461,428	10,723,920
Prepaid expenses & other current assets	103,432	210,219
Total current assets	24,303,299	22,851,847
Land	40,302	40,290
Buildings & improvements	979,589	859,148
Machinery, equipment & other property & equipment	2,071,314	1,717,298
Total property & equipment	3,091,205	2,616,736

**AMPIO PHARMACEUTICALS INC**  
**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Cost & expenses	10,759,903	14,133,699
Other income (expense), net	1,990,798	(1,694,811)
Income contin. oper.	(8,765,673)	(15,809,157)
Net income	(8,765,673)	(15,809,157)
Earnings common share		
Primary	\$(0.14)	\$(0.30)
Fully Diluted	\$(0.14)	\$(0.30)
Common Shares:		
Full Diluted	62,072,354	52,629,343
Year-end	68,232,409	57,179,686

**AMPLIPH BIOSCIENCES CORP**  
**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Total revenues	95,000	238,000
Cost & expenses	13,886,000	11,752,000
Operating income	(13,791,000)	(11,514,000)
Other income (expense), net	2,003,000	2,176,000
Net before taxes	(11,788,000)	(9,338,000)
Income taxes	(1,302,000)	...
Net income	(10,486,000)	(9,338,000)
Balance for common	(10,486,000)	(14,776,000)
Earnings common share		
Primary	\$(1.97)	\$(17.20)
Fully Diluted	\$(2.18)	\$(17.70)
Common Shares:		
Full Diluted	5,518,847	864,891
Year-end	9,325,595	1,112,039

**AMPLIPH BIOSCIENCES CORP**  
**Offering** On Nov. 14, 2017, Co. announced a public offering pursuant to Common Stock issuable under the 2016 Equity Incentive Plan (par value \$0.01 per share). Co. proposed to offer 800,000 shares at a proposed maximum offering price per share of

\$0.94, which amounted to a proposed maximum aggregate offering price of \$752,000. The amount of registration fee is \$93.62.

**ARAMARK****Annual Report****Consolidated Income Statement, Years Ended (\$000):**

	09/29/17	09/30/16 (revised)	10/02/15 (revised)
Sales .....	14,604,412	14,415,829	14,329,135
Cost of services provided .....	12,988,973	12,890,408	12,880,424
Depreciation & amortization .....	508,212	495,765	504,033
Selling & general corporate expenses ...	299,170	283,342	316,740
Total costs & expenses .....	13,796,355	13,669,515	13,701,197
Operating income .....	808,057	746,314	627,938
Interest expense .....	285,995	315,166	286,261
Interest income .....	5,942	5,288	4,932
Other financing costs .....	7,362	5,505	4,613
Interest & other financing costs, net .....	(287,415)	(315,383)	(285,942)
Income before income taxes - United States .....	362,783	284,216	250,069
Income before income taxes - Non-United States ...	157,859	146,715	91,927
Income before income taxes .....	520,642	430,931	341,996
Current federal income taxes .....	111,175	39,510	64,221
Current state & local income taxes ...	15,455	15,750	15,223
Current non-U.S. income taxes .....	57,681	35,023	29,684
Current income taxes .....	184,311	90,283	109,128
Deferred federal income taxes .....	(21,956)	47,323	(585)
Deferred state & local income taxes ...	3,165	(740)	(208)
Deferred non-U.S. income taxes .....	(19,065)	5,833	(3,315)
Deferred income taxes .....	(37,856)	52,416	(4,108)
Provision (benefit) for income taxes ...	146,455	142,699	105,020
<b>Net income (loss)</b> .....	<b>374,187</b>	<b>288,232</b>	<b>236,976</b>
Less: net income attributable to noncontrolling interest .....	(264)	(426)	(1,030)
Net income (loss) attributable to ARAMARK Holdings stockholders .....	373,923	287,806	235,946
Weighted average shares outstanding - basic .....	244,453	242,286	237,616
Weighted average shares outstanding - diluted .....	251,557	248,763	246,616
Year end shares outstanding .....	245,594	244,714	239,917
Earnings (loss) per share - basic .....	\$1.53	\$1.19	\$0.99
Earnings (loss) per share - diluted .....	\$1.49	\$1.16	\$0.96
Dividends per common share .....	...	\$0.39	\$0.35
Number of full time employees .....	169,500	168,000	167,500
Number of part time employees .....	91,000	98,500	98,000
Total number of employees .....	260,500	266,500	265,500
Number of common stockholders .....	578	325	371
Foreign currency translation adjustments .....	...	3,080	(43,547)

□ Approximately; □ As is; □ As of October 27, 2017; □ As of October 28, 2016; □ As of November 27, 2015

**Consolidated Balance Sheet, Years Ended (\$000):**

	09/29/17	09/30/16 (revised)
Cash & cash equivalents .....	238,797	152,580
Receivables, gross .....	1,669,409	1,524,407
Less: allowances .....	53,416	48,058
Receivables, net .....	1,615,993	1,476,349
Inventories .....	610,732	587,155
Prepayments & other current assets .....	187,617	276,487
Total current assets .....	2,653,139	2,492,571
Land, buildings & improvements .....	673,616	643,347
Service equipment & fixtures .....	2,003,177	1,890,301
Property & equipment, gross .....	2,676,793	2,533,648
Less: accumulated depreciation .....	1,634,762	1,510,565
Property & equipment, net .....	1,042,031	1,023,083
Goodwill .....	4,715,511	4,628,881
Other intangible assets .....	1,120,824	1,111,883
Other assets .....	1,474,724	1,325,654
Total assets .....	11,006,229	10,582,072
Current maturities of long-term borrowings .....	78,157	46,522
Accounts payable .....	955,925	847,588
Accrued payroll & deferred income .....	487,573	514,619
Accrued commissions .....	294,781	262,976
Accrued taxes .....	84,138	79,048
Accrued insurance & interest .....	75,156	62,510
Other accrued expenses & other current liabilities .....	87,143	66,165
Total current liabilities .....	305,222	305,317
Senior secured term loan facilities .....	2,368,095	2,184,745
Senior notes .....	2,529,287	3,291,054
Receivables facility .....	2,366,280	1,625,013
Capital leases .....	254,200	268,000
Other long-term borrowings .....	114,400	78,615
Less: current portion .....	4,321	7,354
Long term borrowings .....	78,157	46,522
Deferred income tax payable .....	5,190,331	5,223,514
Deferred compensation .....	570,893	608,375
Pension-related liabilities .....	229,663	228,231
Interest rate swap agreements .....	14,164	26,854
Other noncurrent liabilities .....	9,313	34,919
Redeemable noncontrolling interest .....	154,911	104,634
Common stock .....	9,798	9,794
Capital surplus .....	2,771	2,726
Retained earnings (accumulated deficit) .....	3,014,546	2,921,725
Pension plan adjustments .....	247,050	(33,778)
Foreign currency translation adjustments .....	(45,275)	(65,267)
Cash flow hedges .....	(62,558)	(68,461)
Share of equity investee's accumulated other comprehensive loss .....	(6,794)	(36,373)
Accumulated other comprehensive income (loss) .....	(9,133)	(10,682)
Treasury stock .....	(123,760)	(180,783)
Total stockholders' equity .....	681,546	548,884
	2,459,061	2,161,006

**ARC RESOURCES LTD**

**Dividend Announcement** On Oct. 3, 2017, Co. confirmed that an eligible dividend of C\$0.05 per share will be paid on Dec.

15, 2017 to shareholders of record on Nov. 30, 2017. The ex-dividend date is Nov. 29, 2017. As at Nov. 15, 2017, the trailing 12-month payments to investors, including the Nov. 15, 2017 payment, total C\$0.60 per share.

**ARGENTINA LITHIUM & ENERGY CORP**

**Private Placement** On Nov. 20, 2017, Co. announced non-brokered private placement financing of up to 10,000,000 units at a price of C\$0.33 per unit for gross proceeds of C\$3,300,000. Each unit will consist of one common share and one transferrable common share purchase warrant. Each warrant will entitle the holder thereof to purchase one additional common share in the capital of Co. at C\$0.40 per share for one year from the date of issue. If the volume weighted average price for Co.'s shares is C\$0.60 or greater for a period of 5 consecutive trading days, then Co. may deliver a notice (the "Notice") to the warrant-holder that the Warrants must be exercised within twenty (20) days from the date of delivery of such Notice, otherwise the Warrants will expire at 4:30 p.m. (Vancouver time) on the twenty-first (21st) day after the date of delivery of the Notice. The accelerated exercise shall not apply until the expiration of the four-month hold period required under Exchange policies and rules, and securities laws that are applicable to Co. This financing is subject to regulatory approval and all securities to be issued pursuant to the financing are subject to a four-month hold period under applicable Canadian securities laws. Directors, officers and employees of Co. may participate in a portion of the financing. A commission may be paid on a portion of the financing. The proceeds of the financing will be used for exploration programs on Co.'s projects in Argentina and for general working capital.

**ARIANNE PHOSPHATE INC**

**Official Changes** On Nov. 24, 2017, Co. announced the passing of its Chairman of the Board Mr. Jean Lamarre.

**ASHFORD INC**

**Earnings, 9 mos. to Sep 30** (Consol. - \$000):

	2017	2016
Total revenues .....	51,907	48,099
Cost & expenses .....	53,329	50,123
Operating income .....	(3,058)	(2,839)
Interest income .....	153	44
Other income (expense), net .....	270	(343)
Invest. income .....	(294)	(7,071)
Net before taxes .....	(2,964)	(10,209)
Income taxes .....	9,248	560
<b>Net income</b> .....	<b>(12,212)</b>	<b>(10,769)</b>
Earnings common share		
Primary .....	\$(5.42)	\$(1.55)
Fully Diluted .....	\$(5.82)	\$(2.33)
Common Shares:		
Full Diluted .....	2,052	2,188
Year-end .....	2,022	2,016

**ASTERIAS BIOTHERAPEUTICS INC**

**Earnings, 9 mos. to Sep 30** (Consol. - \$):

	2017	2016
Total revenues .....	4,014,000	5,202,000
Cost & expenses .....	28,717,000	30,793,000
Operating income .....	(24,703,000)	(25,591,000)
Interest expense .....	351,000	413,000
Other income (expense), net .....	3,230,000	(2,395,000)
Net before taxes .....	(21,824,000)	(28,399,000)
<b>Net income</b> .....	<b>(21,824,000)</b>	<b>(26,144,000)</b>
Earnings common share		
Primary .....	\$(0.44)	\$(0.63)
Fully Diluted .....	\$(0.44)	\$(0.63)
Common Shares:		
Full Diluted .....	49,110,000	41,588,000
Year-end .....	49,950,000	45,857,000

**AVALON HOLDINGS CORP.**

**Earnings, 9 mos. to Sep 30** (Consol. - \$000):

	2017	2016
Total revenues .....	41,643	45,774
Cost & expenses .....	39,654	43,472
Operating income .....	(267)	209
Interest expense .....	528	273
Other income (expense), net .....	236	218
Net before taxes .....	(559)	154
Income taxes .....	81	79
<b>Net income</b> .....	<b>(640)</b>	<b>75</b>
Balance for common .....	(258)	319
Earnings common share		
Primary .....	\$(0.07)	\$0.08
Fully Diluted .....	\$(0.07)	\$0.08
Common Shares:		

Full Diluted.....	3,803	3,836
Year-end.....	3,191	3,803

**AVAYA INC**

**Bankruptcy Proceedings** On Nov. 15, 2017, Co. filed with the U.S. Bankruptcy Court a joint stipulation and proposed order regarding certain claims filed by the Commonwealth of Virginia Department of Taxation. The stipulation notes, "The VA Department of Taxation timely filed Claim No. 3473 filed on July 17, 2017 in the amount of \$120,919.10 (the 'Claim') in advance of the Governmental Bar Date on account of the prepetition period with respect to the Audit. The Debtors have paid the VA Department of Taxation, in complete and full satisfaction of the Claim and the Audit, \$74,415.62 (the 'Settlement Amount'). Upon the Effective Date, the Debtors shall be authorized to cause their claims and noticing agent to amend the claims register to note the withdrawal of the Claim. The Parties hereto acknowledge and agree that, the Parties do not need to take any further action to seek to reflect the terms of this Stipulation." The Court scheduled a Nov. 28, 2017 hearing to consider the stipulation, with objections due by Nov. 21, 2017. Also on Nov. 15, 2017, Co. filed with the U.S. Bankruptcy Court a Supplement to the Debtors' Second Amended Joint Plan of Reorganization, which contains the following document: Exhibit N: warrant agreement and Exhibit A: form of warrant certificate. The Supplement notes, "Article IX of the Plan provides that entry into the Warrant Agreement is a condition precedent to confirmation of the Plan. 'Black-Scholes Value' means, with respect to any Sale Transaction, the fair market value of a Warrant on the date of consummation of such Sale Transaction calculated as of such date by an Independent Financial Expert selected in accordance with Section 5.3(b) in accordance with the Black-Scholes model for valuing options, using (a) a risk free rate equal to the annual yield on the U.S. Treasury security with a maturity date closest to the Scheduled Expiration Date, as the yield on that security exists as of such date, (b) a term equal to the time in years (rounded to the nearest  $\frac{1}{1000}$ th of a year) from such date until the Scheduled Expiration Date, (c) the Sale Current Market Price with respect to such Sale Transaction, (d) an assumed volatility of 35% and (e) an exercise price equal to the Exercise Price in effect immediately prior to the effective time of the consummation of such Sale Transaction."

**AVAYA INC**

**Bankruptcy Proceedings** On Nov. 22, 2017, Verizon Communications filed with the U.S. Bankruptcy Court an objection to cure amounts in the executory contracts and unexpired leases to be assumed by the Debtors, pursuant to the Plan of Reorganization. The objection asserts, "Although Verizon does not generally oppose assumption, it objects to such assumption to the extent that the proposed cure fails to satisfy all existing defaults in accordance with 11 U.S.C. section 365(b). A cure under 11 U.S.C. section 365 means that all unpaid amounts due under the agreement have been paid. In addition, as the cases proceed and Verizon Business continues to provide postpetition services to the Debtors' estates, additional amounts become due under the Agreement. Any post-petition amounts due must also be paid to Verizon Business in order to cure the existing default. Accordingly, unless and until the Debtors cure the pre-petition balances owed to Verizon Business in full and pay any post-petition amounts which may be due, the Debtors cannot assume the Agreement." Also on Nov. 22, 2017, Co. filed with the U.S. Bankruptcy Court a revised Supplement for the Debtors' Second Amended Joint Plan of Reorganization. The Supplement contains the following documents: revised Exhibit K (amount to be held in the GUC oversight administrator reserve) and revised Exhibit L (general unsecured claims (GUC) settlement procedures), attached as Exhibit A, along with a blackline identifying the modifications, attached as Exhibit B. The Supplement notes, "The Reorganized Debtors shall notify and consult with the GUC Oversight Administrator prior to entering into any settlement or compromise of any Disputed General Unsecured Claim with respect to which the Allowed amount of such Disputed General Unsecured Claim will be equal to or greater than \$1,500,000 (collectively, the 'Oversight Claims')."

**AVAYA INC**

**Bankruptcy Proceedings** On Nov. 27, 2017, the U.S. Trustee assigned to Co.'s case and the Communications Workers of America filed with the U.S. Bankruptcy Court separate objections to Co.'s Second Amended Joint Chapter 11 Plan of Reorganization. The Trustee asserts, "Under the Kennedy Agreement, Kennedy is eligible to collect up to \$8,750,000 in fees over the next two years for consulting with the Board and the CEO. As long as he continues to work and abide by Co.'s policies, he is guaranteed \$3,800,000 per year (for a total of \$7,600,000 for two years). The terms of the Kennedy Agreement, which is essentially a 'golden parachute,' provides that as of the Emergence Date, Kennedy is no longer an employee of Co. Here,

Kennedy is receiving a minimum payment of \$7,600,000 (and a maximum of \$8,750,000) upon his termination as an employee. While the Agreement is structured as a consultancy agreement, it is unclear what, if anything, Kennedy will be doing. In essence, the post emergence payments to Kennedy are delayed and disguised severance payments, and should be viewed as such under the Bankruptcy Code. To the extent the Court deems the transfers under the Kennedy Agreement to be a 'severance payment,' the Debtors must first provide that the severance payment is part of a program generally applicable to all full-time employees. The Debtors must then show that the payments to Kennedy do not exceed ten times the average severance bonus paid to non-management employees in the same calendar year. The Debtors have not provided any justification for seeking approval of the Kennedy Agreement through the Plan or explained the urgency in transferring millions of dollars in value to an insider without the New Board weighing in."

**AYTU BIOSCIENCE INC****Earnings, 3 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Total revenues.....	1,076,368	697,980
Cost & expenses.....	5,046,558	6,227,466
Operating income.....	(4,356,031)	(5,966,500)
Interest expense.....	188,745	415,381
Other income (expense), net.....	299,734	657,491
<b>Net income.....</b>	<b>(4,245,042)</b>	<b>(5,724,390)</b>
Earnings common share		
Primary.....	\$(1.76)	\$(23.37)
Fully Diluted.....	\$(1.76)	\$(23.37)
Common Shares:		
Full Diluted.....	2,415,402	244,937
Year-end.....	4,224,840	255,530

**Consolidated Balance Sheet Items, as of (\$):**

	2017
Assets:	
Cash & equivalents.....	7,021,527
Inventories.....	1,171,260
Current assets.....	9,742,060
Net property & equip.....	567,000
Total assets.....	21,245,920
Liabilities:	
Current liabilities.....	3,508,186
Stockholders' equity.....	6,352,941
Net current assets.....	6,233,874

**BALLANTYNE STRONG, INC.****Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Total revenues.....	56,885,000	56,340,000
Net Sales.....	38,302,000	39,668,000
Cost & expenses.....	57,765,000	53,007,000
Operating income.....	(880,000)	3,333,000
Interest income.....	18,000	99,000
Other income (expense), net.....	(24,000)	538,000
Gains or losses.....	(400,000)	(400,000)
Equity earnings.....	(1,516,000)	(70,000)
Foreign currency.....	(410,000)	(982,000)
Net before taxes.....	(1,380,000)	2,507,000
Income taxes.....	2,709,000	2,085,000
Income contin. oper.....	(2,573,000)	492,000
Income discont. oper.....	(49,000)	(742,000)
<b>Net income.....</b>	<b>(2,622,000)</b>	<b>(250,000)</b>
Earnings common share		
Primary.....	\$(0.18)	\$(0.02)
Fully Diluted.....	\$(0.18)	\$(0.02)
Common Shares:		
Full Diluted.....	14,279,000	14,311,000
Year-end.....	14,422,000	14,255,000

**BARRACUDA NETWORKS INC**

**Sale Development** On Nov. 27, 2017, Co. announced that it has entered into an agreement to be acquired by private equity investment firm Thoma Bravo, LLC, in an all-cash transaction valued at \$1,600,000,000. Co. shareholders of record will receive \$27.55 in cash for each share of Co. common stock they hold. This price exceeds Co.'s 52-week high and represents a premium of 22.5% to Co.'s 10-day average stock price prior to Nov. 27, 2017, of \$22.49. Co.'s Board of Directors unanimously approved the agreement, and believes the transaction maximizes shareholder value. Upon the close of the transaction, Co. will operate as a privately-held company with a continued focus on email security and management, network and application security, and data protection solutions that can be deployed in cloud and hybrid environments. The proposed transaction is expected to close before Co.'s fiscal year end of Feb. 28, 2018, and is subject to

approval by Co.'s shareholders and regulatory authorities, and the satisfaction of other customary closing conditions.

**BARRETT (BILL) CORP**

**Interest Sale Development** On Nov. 20, 2017, Co. announced that it has entered into an agreement with unaffiliated third parties to sell its remaining non-core assets located in the Uinta Basin for cash proceeds of approximately \$110,000,000. The transaction is expected to close on or before Dec. 31, 2017, and is subject to customary closing conditions and adjustments.

**BEAZER HOMES USA, INC.****Annual Report****Consolidated Income Statement, Years Ended Sept. 30****(\$000):**

	2017	2016	2015
		(revised)	(revised)
Total revenue.....	1,916,278	1,822,114	1,627,413
Home construction & land sales expenses.....	1,600,969	1,509,625	1,351,860
Inventory impairments & abandonments.....	2,445	15,282	3,109
Gross profit (loss).....	312,864	297,207	272,444
Commissions expense.....	74,811	70,460	65,023
General & administrative expenses.....	161,906	153,628	142,496
Depreciation & amortization.....	14,009	13,794	13,338
Operating income (loss).....	62,138	59,325	51,587
Equity in income (loss) of unconsolidated entities.....	371	131	536
Gain (loss) on extinguishment of debt.....	(12,630)	(13,423)	(80)
Other income (expense), net.....	(15,230)	(24,330)	(30,013)
Income (loss) from continuing operations before income taxes.....	34,649	21,703	22,030
Current federal income taxes provision (benefit).....	...	...	(64)
Current state income taxes provision (benefit).....	859	595	520
Deferred federal income taxes provision (benefit).....	1,625	5,574	(314,651)
Deferred state income taxes provision (benefit).....	212	10,329	(10,374)
Expense (benefit) from income taxes.....	2,696	16,498	(324,569)
Income (loss) from continuing operations.....	31,953	5,205	346,599
Income (loss) from discontinued operations, net of tax.....	(140)	(512)	(2,505)
<b>Net income (loss).....</b>	<b>31,813</b>	<b>4,693</b>	<b>344,094</b>
Weighted average shares outstanding			
- basic.....	31,952	31,798	27,628
Weighted average shares outstanding - diluted.....	32,426	31,803	31,772
Year end shares outstanding.....	33,516	33,071	32,661
Income (loss) per share - continuing operations - basic....	\$1.00	\$0.16	\$12.54
Income (loss) per share - discontinued operations - basic....	...	\$(0.01)	\$(0.09)
Net income (loss) per share - basic.....	\$1.00	\$0.15	\$12.45
Income (loss) per			



share - continuing operations - diluted	\$0.99	\$0.16	\$10.91
Income (loss) per share - discontinued operations - diluted	...	\$(0.01)	\$(0.08)
Net income (loss) per share - diluted	\$0.99	\$0.15	\$10.83
Total number of employees	1,100	1,100	1,063
Number of common stockholders	180	185	205

<sup>1</sup> Reclassified to conform with 2016 presentation; <sup>2</sup> As is; <sup>3</sup> Approximately; <sup>4</sup> As of November 9, 2017; <sup>5</sup> As of November 10, 2016; <sup>6</sup> As of November 5, 2015

Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):			
	2017	2016	2015
Cash & cash equivalents	292,147	228,871	(revised)
Restricted cash	12,462	14,405	
Accounts receivable, gross	36,653	53,580	
Less: allowance	330	354	
Accounts receivable, net	36,323	53,226	
Income tax receivable	88	292	
Homes under construction	419,312	377,191	
Development projects in progress	785,777	742,417	
Land held for future development	112,565	213,006	
Land held for sale	17,759	29,696	
Capitalized interest	139,203	138,108	
Model homes	68,191	68,861	
Investments in unconsolidated entities	3,994	10,470	
Deferred tax assets, net	307,896	309,955	
Model furnishings & sales office improvements	28,589	28,036	
Leasehold improvements	3,698	4,069	
Information systems	14,326	14,326	
Furniture, fixtures & office equipment	10,971	12,247	
Property, plant & equipment, gross	57,584	58,678	
Less: accumulated depreciation	40,018	39,540	
Property, plant & equipment, net	17,566	19,138	
Other assets	7,522	7,522	
Total assets	2,220,995	2,213,158	
Trade accounts payable	103,484	104,174	
Accrued warranty expenses	18,091	39,131	
Accrued bonus & deferred compensation	36,753	30,466	
Customer deposits	11,704	12,140	
Accrued interest	11,024	11,530	
Litigation accrual	3,899	10,178	
Income taxes liabilities	811	1,718	
Other liabilities	25,377	29,090	
Senior notes	1,271,227	1,219,227	
Unamortized debt net premium (discount)	3,413	2,362	
Unamortized debt issuance costs	(14,800)	(14,063)	
Term loan	...	52,669	
Junior subordinated notes	61,937	59,870	
Other secured notes payable	5,635	11,813	
Total debt, net	1,327,412	1,331,878	
Total liabilities	1,538,555	1,570,305	
Common stock	34	33	
Paid-in capital	873,063	865,290	
Retained earnings (accumulated deficit)	(190,657)	(222,470)	
Total stockholders' equity (deficit)	682,440	642,853	

<sup>1</sup> Net of premium & discounts - total debt: \$3,413,000; <sup>2</sup> Net of debt issuance costs - total debt: \$14,800,000; <sup>3</sup> Net of premium

& discounts - total debt: \$2,362,000; <sup>4</sup> Net of debt issuance costs - total debt: \$15,514,000

### BECTON, DICKINSON & CO

#### Annual Report

#### Consolidated Income Statement, Years Ended Sept. 30

(\$000):

	2017	2016	2015
Revenues	12,093,000	12,483,000	10,282,000
Cost of products sold	6,151,000	6,492,000	5,587,000
Selling & administrative expense	2,925,000	3,005,000	2,563,000
Research & development expense	774,000	828,000	632,000
Acquisition & other restructurings	354,000	728,000	426,000
Other operating expense, net	410,000	...	...
Total operating costs & expenses	10,615,000	11,053,000	9,207,000
Operating income	1,478,000	1,430,000	1,074,000
Interest expense	521,000	388,000	371,000
Interest income	76,000	21,000	15,000
Other income (expense), net	(57,000)	11,000	21,000
Income before income taxes - domestic, including Puerto Rico	(386,000)	(232,000)	(408,000)
Income before income taxes - foreign	1,362,000	1,306,000	1,147,000
Income before income taxes	976,000	1,074,000	739,000
Current federal income taxes	(230,000)	312,000	50,000
Current state & local taxes, including Puerto Rico	(20,000)	17,000	15,000
Current foreign income taxes	200,000	286,000	252,000
Total current income taxes	(50,000)	616,000	318,000
Deferred domestic income taxes	(64,000)	(441,000)	(238,000)
Deferred foreign income taxes	(10,000)	(78,000)	(37,000)
Total deferred income taxes	(74,000)	(519,000)	(274,000)
Income tax provision (benefit)	(124,000)	97,000	44,000
Net income	1,100,000	976,000	695,000
Preferred stock dividends	70,000	...	...
Net income available to common shareholders	1,030,000	976,000	695,000
Weighted average shares outstanding - basic	218,943	212,702	202,537
Weighted average shares outstanding - diluted	223,588	217,536	207,509
Year end shares outstanding	227,942	213,291	210,696
Net income (loss) per share - basic	\$4.70	\$4.59	\$3.43
Net income (loss) per share - diluted	\$4.60	\$4.49	\$3.35
Cash dividends per common share	\$2.92	\$2.64	\$2.40
Total number of employees	41,933	50,928	49,517
Number of common stockholders	13,134	13,734	14,474
Foreign currency translation adjustments	...	(50,000)	(692,000)

<sup>1</sup> Reclassified to conform with 2017 presentation; <sup>2</sup> As reported by Company; <sup>3</sup> As is; <sup>4</sup> Approximate; <sup>5</sup> As of October 31, 2017; <sup>6</sup> As of October 31, 2016; <sup>7</sup> As of October 31, 2015

#### Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):

	2017	2016
Cash & equivalents	14,179,000	1,541,000
Short-term investments	21,000	27,000
Trade receivables, gross	1,802,000	1,685,000
Allowance for doubtful accounts & cash discounts	58,000	67,000
Trade receivables, net	1,744,000	1,618,000
Current portion of net investment in sales-type leases	16,000	339,000
Materials	313,000	316,000
Work in process	271,000	274,000
Finished products	1,234,000	1,129,000
Inventories	1,818,000	1,719,000
Assets held for sale	...	642,000
Prepaid expenses & other current assets	856,000	480,000
Total current assets	18,633,000	6,367,000
Land	146,000	151,000
Buildings	2,496,000	2,397,000
Machinery, equipment & fixtures	6,584,000	5,749,000
Leasehold improvements	163,000	121,000
Property, plant & equipment, gross	9,389,000	8,419,000
Less accumulated depreciation & amortization	4,752,000	4,518,000
Property, plant & equipment, net	4,638,000	3,901,000
Goodwill	7,563,000	7,419,000
Customer relationships, net	2,830,000	3,022,000
Developed technology, net	2,478,000	2,655,000
Other intangibles, net	585,000	604,000
Capitalized software, net	...	70,000
Net investment in sales-type leases, less current portion	38,000	796,000
Other assets	968,000	753,000
Total assets	37,734,000	25,586,000
Commercial paper borrowings	...	200,000
Current portion of long-term debt	200,000	800,000
Other short-term debt	3,000	1,000
Short-term debt	203,000	1,001,000
Accounts payable	797,000	665,000
Accrued expenses	1,393,000	1,575,000
Salaries, wages & related items	773,000	696,000
Income taxes	176,000	274,000
Liabilities held for sale	...	189,000
Total current liabilities	3,342,000	4,400,000
Notes	18,331,000	10,211,000
Debentures	330,000	335,000
Other long-term debt	3,000	4,000
Long-term employee benefits obligations	1,168,000	1,319,000
Deferred income taxes & other long-term liabilities	1,609,000	1,684,000
Preferred stock	2,000	...
Common stock	347,000	333,000
Capital in excess of par value	9,619,000	4,693,000
Retained earnings	13,111,000	12,727,000
Deferred compensation	(19,000)	(22,000)
Common stock in treasury - at cost	8,427,000	8,212,000
Foreign currency translation adjustments	(1,001,000)	(1,011,000)
Benefit plans adjustment	(703,000)	(883,000)
Unrealized gain (loss) on cash flow hedges	(18,000)	(35,000)
Accumulated other	...	...

comprehensive income (loss) .....	□(1,723,000)	□(1,929,000)
Total shareholders' equity .....	12,948,000	□7,633,000

□ Relclassified to conform with 2017 presentation; □ As reported by Company

#### BEFUT GLOBAL INC

Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues .....	47,122	96,260
Cost & expenses .....	25,790	203,164
Operating income .....	21,332	(106,905)
Other income (expense), net .....		5
Net before taxes .....	21,332	(106,905)
<b>Net income</b> .....	21,332	(106,905)
Earnings common share		
Primary .....	\$0.00	\$(0.00)
Fully Diluted .....	\$0.00	\$(0.00)
Common Shares:		
Full Diluted .....	190,830,375	29,715,640
Year-end .....	190,830,375	29,715,640

#### Consolidated Balance Sheet Items, as of (\$):

	2017
Assets:	
Cash & equivalents .....	194,661
Current assets .....	194,661
Net property & equip. ....	44,444
Total assets .....	839,155
Liabilities:	
Current liabilities .....	1,004,432
Stockholders' equity .....	(1,103,119)
Net current assets .....	(809,771)

#### BERRY GLOBAL GROUP INC

##### Annual Report

#### Consolidated Income Statement, Years Ended (\$Millions):

	09/30/17	10/01/16 (revised)	09/26/15 (revised)
Net sales .....	7,095	6,489	4,881
Cost of goods sold ...	5,691	5,202	4,012
Selling, general & administrative .....	494	531	357
Amortization of intangibles .....	154	143	91
Restructuring & impairment charges ..	24	32	13
Operating income (loss) .....	732	581	408
Debt extinguishment ..	(10)	(4)	(94)
Other income (expense), net .....	(4)	22	(1)
Interest expense, net .....	269	291	191
Income (loss) before income taxes - U.S .....	313	168	99
Income (loss) before income taxes - non-U.S .....	136	140	23
Income (loss) before income taxes ..	449	308	122
Current income tax expense - federal .....	40	...	...
Current income tax expense - state .....	6	5	3
Current income tax expense (benefit) - non-U.S .....	58	36	7
Current income tax provision .....	104	41	10
Deferred income tax expense (benefit) - federal .....	34	35	31
Deferred income tax expense (benefit) - state .....	(10)	3	(4)
Deferred income tax expense (benefit) - non-U.S .....	(19)	(7)	(1)
Deferred income tax expense (benefit) .....	5	31	26
Income tax expense			

(benefit) .....	109	72
<b>Net income (loss)</b> .....	340	236
Net income attributable to Company .....	340	236
Weighted average shares outstanding - basic .....	128	121
Weighted average shares outstanding - diluted .....	133	125
Year end shares outstanding .....	131	122
Net income (loss) per share - basic .....	\$2.66	\$1.95
Net income (loss) per share - diluted .....	\$2.56	\$1.89
Total number of employees .....	□□23,000	□□21,000
Number of common stockholders .....	□□500	□□500
Foreign currency translation adjustments .....	...	(1)

□ As is; □ Approximately; □ As of November 23, 2015

#### Consolidated Balance Sheet, Years Ended (\$Millions):

	09/30/17	10/01/16 (revised)
Cash & cash equivalents .....	306	323
Accounts receivable, gross .....	860	707
Less: allowance for doubtful accounts .....	13	3
Accounts receivable, net .....	847	704
Finished goods .....	428	397
Raw materials .....	334	263
Inventories .....	762	660
Prepaid expenses & other current assets .....	89	105
Total current assets .....	2,004	1,792
Land, buildings & improvements .....	792	667
Equipment & construction in progress .....	3,895	3,552
Property, plant & equipment, gross .....	4,687	4,219
Less accumulated depreciation .....	2,321	1,995
Property, plant & equipment, net .....	2,366	2,224
Goodwill & intangible assets, net .....	4,061	3,606
Other assets .....	45	31
Total assets .....	8,476	7,653
Accounts payable .....	638	539
Employee compensation, payroll & other .....	147	152
Accrued interest .....	36	53
Rebates .....	58	54
Accrued restructuring .....	19	13
Accrued taxes .....	90	40
Tax receivable agreement (TRA) obligation .....	35	60
Other accrued expenses & other current liabilities .....	78	77
Current portion of long-term debt .....	33	43
Total current liabilities .....	1,134	1,031
Term loans .....	3,957	4,060
Second priority senior secured notes .....	1,600	1,600
Debt discounts & deferred fees .....	(48)	(58)
Capital leases & other long-term debt .....	132	153
Less current portion of long-term debt .....	33	43
Long-term debt, less current portion .....	5,608	5,712
Deferred income taxes .....	419	272
Lease retirement obligation .....	37	34
Sale-lease back deferred		

gain .....	24	26
Pension liability .....	56	88
Deferred purchase price .....	46	41
Tax receivable agreement obligation .....	34	114
Interest rate swaps .....	27	45
Other long-term liabilities .....	76	69
Total liabilities .....	7,461	7,432
Common stock .....	1	1
Additional paid-in capital .....	823	449
Non controlling interest .....	3	3
Retained earnings (accumulated deficit) .....	256	(84)
Currency translation .....	(48)	(82)
Defined benefit pension & retiree health benefit plans .....	(16)	(44)
Interest rate swaps .....	(4)	(22)
Accumulated other comprehensive income (loss) .....	(68)	(148)
Total stockholders' equity (deficit) .....	1,015	221

#### BIO-RAD LABORATORIES INC

Earnings, 9 mos. to Sep 30(Consol. - \$000):

	2017	2016
Net Sales .....	1,539,720	1,496,719
Cost & expenses .....	1,470,133	1,420,374
Operating income .....	69,587	76,345
Invest. income .....	300	600
Foreign currency .....	(7,668)	(3,576)
Net before taxes .....	60,122	69,747
Income taxes .....	15,281	21,052
<b>Net income</b> .....	44,841	48,695
Earnings common share		
Primary .....	\$1.51	\$1.66
Fully Diluted .....	\$1.49	\$1.65
Common Shares:		
Full Diluted .....	29,994	29,592
Year-end .....	29,764	29,541

#### BIOPHARMX CORP

**Offering** On Nov. 17, 2017, Co. announced a public offering pursuant to (i) Common stock, \$0.001 par value, which amounted to a proposed maximum aggregate offering price of \$10,000,000. The amount of registration fee is \$1,245; (ii) Pre-funded warrants to purchase shares of common stock, which amounted to a proposed maximum aggregate offering price of \$10,000,000; (iii) Series A common warrants to purchase shares of common stock, which amounted to a proposed maximum aggregate offering price of \$10,000,000. The amount of registration fee is \$1,245; (iv) Series B common warrants to purchase shares of common stock, which amounted to a proposed maximum aggregate offering price of \$10,000,000. The amount of registration fee is \$1,245; and (v) Representative's warrant to purchase common stock, which amounted to a proposed maximum aggregate offering price of \$200,000. The amount of registration fee is \$25.

#### BIOPHARMX CORP

**Securities Registration** On Nov. 20, 2017, Co. announced a public offering pursuant to (i) Common Stock, \$0.001 par value which amounted to a proposed maximum aggregate offering price of \$1,025,000. The amount of registration fee is \$128; (ii) Pre-funded warrants to purchase shares of common stock which amounted to a proposed maximum aggregate offering price of \$1,025,000. The amount of registration fee is \$128; (iii) Series A common warrants to purchase shares of common stock which amounted to a proposed maximum aggregate offering price of \$1,025,000. The amount of registration fee is \$128; (iv) Series B common warrants to purchase shares of common stock which amounted to a proposed maximum aggregate offering price of \$1,025,000. The amount of registration fee is \$128; and (v) Representatives warrant to purchase common stock Representatives warrant to purchase common stock which amounted to a proposed maximum aggregate offering price of \$20,500. The amount of registration fee is \$3.

#### BIOTIME INC

Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues .....	2,459,000	4,840,000
Cost & expenses .....	31,798,000	52,554,000

Operating income	(29,339,000)	(47,714,000)
Other income (expense), net	11,926,000	25,540,000
Gains or losses	71,697,000	49,048,000
Net before taxes	53,555,000	26,361,000
<b>Net income</b>	<b>48,783,000</b>	<b>26,361,000</b>
Earnings common share		
Primary	\$0.47	\$0.40
Fully Diluted	\$0.47	\$0.39
Common Shares:		
Full Diluted	111,124,000	99,073,000
Year-end	115,804,040	102,772,542

**BLACK KNIGHT INC**

**Secondary Offering** On Nov. 21, 2017, Co. announced the pricing of the previously announced underwritten public offering by affiliates of Thomas H. Lee Partners, L.P. (together, the "Selling Shareholder") of 7,000,000 shares of Co.'s common stock at a public offering price of \$45.50 pursuant to a shelf registration statement filed with the Securities and Exchange Commission (the "SEC"). Co. has agreed to repurchase from the underwriter 2,000,000 shares of the 7,000,000 shares of common stock being sold by the Selling Shareholder at a per-share purchase price equal to the price payable by the underwriter to the Selling Shareholder. As such, only 5,000,000 shares of the 7,000,000 shares of common stock being sold by the Selling Shareholder will be sold to the public. The Selling Shareholder will receive all of the net proceeds from this offering. No shares are being sold by Co. The offering is expected to close on Nov. 24, 2017, subject to customary closing conditions.

**BLACKHAWK BANCORP INC**

**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Cost & expenses	20,010,000	20,613,000
Operating income	6,160,000	6,531,000
Net before taxes	6,160,000	6,531,000
Income taxes	1,332,000	1,950,000
<b>Net income</b>	<b>4,828,000</b>	<b>4,581,000</b>
Earnings common share		
Primary	\$1.60	\$1.99
Fully Diluted	\$1.60	\$1.98
Common Shares:		
Year-end	3,252,487	2,283,472

**BLINK CHARGING CO**

**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Total revenues	1,735,493	2,459,496
Cost & expenses	6,975,859	8,586,257
Operating income	(5,240,366)	(6,126,761)
Other income (expense), net	(93,478,969)	(2,713,883)
Gains or losses	(97,152)	(17,348)
<b>Net income</b>	<b>(101,134,331)</b>	<b>(9,154,924)</b>
Balance for common	(103,508,631)	(10,225,324)
Earnings common share		
Primary	\$(52.04)	\$(6.39)
Fully Diluted	\$(52.04)	\$(6.39)
Common Shares:		
Full Diluted	1,989,022	1,600,993
Year-end	4,812,632	1,609,530

**BLUE SKY URANIUM CORP**

**Private Placement** On Nov. 16, 2017, Co. announced a non-brokered private placement financing of up to 5,500,000 units at a price of C\$0.19 per unit for gross proceeds of C\$1,045,000. Each unit will consist of one common share and one transferrable common share purchase warrant. Each warrant will entitle the holder thereof to purchase one additional common share in the capital of Co. at C\$0.30 per share for one year from the date of issue. If the volume weighted average price for Co.'s shares is C\$0.50 or greater for a period of 5 consecutive trading days, then Co. may deliver a notice (the "Notice") to the warrant-holder that the Warrants must be exercised within twenty (20) days from the date of delivery of such Notice, otherwise the Warrants will expire at 4:30 p.m. (Vancouver time) on the twenty-first (21st) day after the date of delivery of the Notice. The accelerated exercise shall not apply until the expiration of the four-month hold period required under Exchange policies and rules, and securities laws that are applicable to Co.

**BNCCORP INC**

**Earnings, 9 mos. to Sep 30 (Consol. - \$000):**

	2017	2016
Cost & expenses	31,205	33,413
Operating income	6,098	8,303
Net before taxes	6,098	8,303

Income taxes	1,549	2,594
<b>Net income</b>	<b>4,549</b>	<b>5,709</b>
Balance for common	4,549	5,709
Earnings common share		
Primary	\$1.31	\$1.66
Fully Diluted	\$1.28	\$1.62
Common Shares:		
Full Diluted	3,540	3,520
Year-end	3,463	3,458

**BONANZA CREEK ENERGY INC**

**Merger Development** On Nov. 14, 2017, Co. entered into an Agreement and Plan of Merger (the "Merger Agreement") with SandRidge Energy, Inc. ("SandRidge"), and Brook Merger Sub, Inc., a wholly owned subsidiary of SandRidge ("Merger Sub"), pursuant to which SandRidge will acquire Co. in exchange for a combination of shares of SandRidge common stock, par value \$0.001 per share ("SandRidge Common Stock"), and cash. The Merger Agreement provides that, upon the terms and subject to the conditions set forth therein, Merger Sub will be merged with and into Co., with Co. continuing as the surviving entity and a wholly owned subsidiary of SandRidge (the "Merger"). Under the terms of the Merger Agreement, which has been unanimously approved by the respective boards of directors of Co. and SandRidge, at the effective time of the Merger (the "Effective Time") each share of Co. common stock, par value \$0.01 per share ("Co. Common Stock"), issued and outstanding immediately prior to the Merger (other than shares of Co. Common Stock held by SandRidge or certain of its subsidiaries, shares held by Co. in treasury or shares with respect to which appraisal has been properly demanded pursuant to Delaware law) will be converted into the right to receive from SandRidge (a) \$19.20 in cash, without interest and (b) a number of shares of SandRidge Common Stock equal to the quotient (the "Exchange Ratio") determined by dividing (A) \$16.80 by (B) the twenty-day volume-weighted average price per share of SandRidge Common Stock, for the twenty consecutive trading days ending on the third-to-last trading day prior to the Closing Date (the "Parent Stock Price"); provided, however, that (x) if the Parent Stock Price is an amount greater than \$21.38, then the Exchange Ratio will be 0.7858, and (y) if the Parent Stock Price is an amount less than \$17.50, then the Exchange Ratio will be 0.9600 (the aggregate amount of cash and number of shares of SandRidge Common Stock, the "Merger Consideration"). At the Effective Time, outstanding restricted stock units issued pursuant to Co.'s 2017 Long Term Incentive Plan (a "Co. RSU"), other than outstanding Co. RSUs held by non-employee directors of Co., will be converted into restricted stock units settled in shares of SandRidge Common Stock, based on the Exchange Ratio (adjusted in order to reflect the cash portion of the Merger Consideration (as adjusted, the "Compensation Exchange Ratio")), with such as-converted restricted stock units having and being subject to the same terms and conditions applicable to the pre-conversion restricted stock units, except that upon a holder's termination of employment without Cause or for Good Reason (both as defined in Co.'s Change in Control and Severance Plan) within 18 months following the Effective Time, the restricted stock units will immediately vest. Subject to certain exceptions, at the Effective Time, outstanding Co. RSUs granted to non-employee directors will vest in full, and will be canceled in exchange for a right to receive the Merger Consideration. In addition, at the Effective Time, outstanding stock options issued pursuant to Co.'s 2017 Long Term Incentive Plan, whether vested or unvested, will be converted into options to acquire shares of SandRidge Common Stock, based on the Compensation Exchange Ratio, with such as-converted options having and being subject to the same terms and conditions applicable to the pre-conversion options, except that upon a holder's termination of employment without Cause or for Good Reason within 18 months following the Effective Time the options will vest and become exercisable. The transaction is expected to close in the first quarter of 2018.

**BOVIE MEDICAL CORP**

**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Net Sales	27,535,000	27,133,000
Cost & expenses	31,712,000	29,881,000
Operating income	(4,177,000)	(2,748,000)
Other income (expense), net	57,000	(555,000)
Net before taxes	(4,223,000)	(3,428,000)
Income taxes	15,000	...
<b>Net income</b>	<b>(4,238,000)</b>	<b>(3,428,000)</b>
Balance for common	(4,238,000)	(3,428,000)
Earnings common share		
Primary	\$(0.14)	\$(0.13)
Fully Diluted	\$(0.14)	\$(0.13)
Common Shares:		

Full Diluted	30,932,000	27,059,000
Year-end	32,832,095	27,142,218

**BOWL AMERICA INC.**

**Earnings, 3 mos. to (Consol. - \$):**

	10/01/17	10/02/16
Total revenues	5,263,753	5,064,336
Cost & expenses	4,831,294	4,863,754
Deprec., depl. & amort.	236,084	292,694
Operating income	196,375	(92,112)
Net before taxes	300,392	(1,120)
Income taxes	105,200	(400)
<b>Net income</b>	<b>195,192</b>	<b>(720)</b>
Earnings common share		
Primary	\$0.04	...
Fully Diluted	\$0.04	...
Common Shares:		
Full Diluted	5,160,971	5,160,971
Year-end	5,160,971	5,160,971

**Consolidated Balance Sheet Items, as of (\$):**

Assets:	2017
Cash & equivalents	814,258
Inventories	564,214
Current assets	3,835,829
Net property & equip.	19,012,818
Total assets	29,195,680
Liabilities:	
Current liabilities	3,003,617
Stockholders' equity	24,047,885
Net current assets	832,212

**BRIDGEPOINT EDUCATION, INC.**

**Secondary Offering** On Nov. 17, 2017, Co. announced the pricing of the previously announced underwritten secondary offering by an affiliate of Warburg Pincus LLC (the "Selling Stockholder") of 7,562,890 shares of Co.'s common stock. Immediately following completion of the offering, the Selling Stockholder will not beneficially own any of Co.'s outstanding common stock.

**C&J ENERGY SERVICES INC (NEW)**

**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Total revenues	1,146,989,000	727,320,000
Cost & expenses	1,089,520,000	331,167,000
Operating income	(43,240,000)	768,404,000
Other income (expense), net	1,221,000	12,397,000
Net before taxes	(43,295,000)	911,566,000
Income taxes	(8,756,000)	126,522,000
<b>Net income</b>	<b>(34,539,000)</b>	<b>825,921,000</b>
Earnings common share		
Primary	\$(0.57)	\$(6.99)
Fully Diluted	\$(0.57)	\$(6.99)
Common Shares:		
Full Diluted	60,188,000	118,196,000
Year-end	63,255,162	119,581,014

**CABOT CORP.****Annual Report**

**Consolidated Income Statement, Years Ended Sept. 30 (\$000):**

	2017	2016	2015
		(revised)	(revised)
Net sales & other operating revenues	2,717,000	2,411,000	2,871,000
Cost of sales	2,065,000	1,833,000	2,286,000
Gross profit	652,000	578,000	585,000
Selling & administrative expenses	260,000	275,000	282,000
Research & technical expenses	56,000	53,000	58,000
Purification solutions long-lived asset impairment charge	...	...	210,000
Purification solutions goodwill impairment charge	...	...	352,000
Income (loss) from operations	336,000	250,000	(317,000)
Interest & dividend income	9,000	5,000	4,000
Interest expense	53,000	54,000	53,000
Other income (expense)	(4,000)	(7,000)	(11,000)



	2017	2016
Total revenues	21,598,000	19,819,000
Cost & expenses	30,700,000	33,046,000
Operating income	(9,102,000)	(13,227,000)
Interest income	37,000	21,000
Interest expense	797,000	344,000
Other income (expense), net	(4,087,000)	523,000
Net before taxes	(13,949,000)	(13,027,000)
Income taxes	(970,000)	
<b>Net income</b>	<b>(12,979,000)</b>	<b>(13,027,000)</b>
Earnings common share		
Primary	\$(0.65)	\$(0.88)
Fully Diluted	\$(0.65)	\$(0.88)
Common Shares:		
Full Diluted	20,059,000	14,868,000
Year-end	24,252,000	18,870,000

**CANNAE HOLDINGS INC**

**Offering** On Nov. 21, 2017, Co. announced a public offering pursuant to Common Stock, par value \$0.0001 per share. Co. proposed to offer 3,900,000 at a proposed maximum offering price per share of \$18.18, which amounted to a proposed maximum aggregate offering price of \$70,882,500. The amount of registration fee is \$8,824.87.

**CANNAE HOLDINGS INC**

**Spin-Off Completed** On Nov. 20, 2017, Fidelity National Financial, Inc. ("FNF") spun-off of its former wholly-owned subsidiary Co. The Split-Off was accomplished by the redemption (the "Redemption") by FNF of all of the outstanding shares of FNFV Group common stock, par value \$0.0001 per share ("FNFV common stock") for outstanding shares of common stock of Co., par value \$0.0001 per share ("Cannae common stock"), amounting to a redemption on a per share basis of each outstanding share of FNFV common stock for one share of Cannae common stock, as of Nov. 17, 2017. As a result of the Split-Off, Co. is a separate, publicly traded company and its businesses, assets and liabilities consist of those formerly attributed to FNF's FNFV common stock, including Ceridian Holding, LLC ("Ceridian"), American Blue Ribbon Holdings, LLC ("ABRH") and T-System Holdings LLC. All of FNF's core title insurance, real estate, technology and mortgage related businesses, assets and liabilities attributed to FNF's FNFV Group common stock that are not held by Co. remain with FNF.

**CANTABIO PHARMACEUTICALS INC**

**Earnings, 6 mos. to Sep 30(Consol. - \$):**

	2017	2016
Cost & expenses	564,265	554,651
Operating income	(564,265)	(554,651)
Interest expense	187,423	7,864
Gains or losses	54,000	
Foreign currency		3,100
<b>Net income</b>	<b>(697,688)</b>	<b>(559,415)</b>
Earnings common share		
Primary	\$(0.03)	\$(0.02)
Fully Diluted	\$(0.03)	\$(0.02)
Common Shares:		
Full Diluted	27,145,000	26,805,000
Year-end	27,400,000	26,805,270

**CANTEL MEDICAL CORP**

**Annual Meeting Development** On Nov. 15, 2017, 2017, Co. scheduled its annual Meeting of Shareholders for Wednesday, Jan. 3, 2018 at 9:30 a.m., Eastern Standard Time, at Loews Regency New York Hotel, 540 Park Avenue, NY, New York.

**CARDAX INC**

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	496,088	11,160
Cost & expenses	1,682,586	1,423,574
Deprec., depl. & amort.	22,189	22,055
Operating income	(1,208,687)	(1,434,469)
Other income (expense), net	12,598	47,082
Net before taxes	(1,197,035)	(1,387,926)
<b>Net income</b>	<b>(1,197,035)</b>	<b>(1,387,926)</b>
Earnings common share		
Primary	\$(0.01)	\$(0.02)
Fully Diluted	\$(0.01)	\$(0.02)
Common Shares:		
Full Diluted	92,513,317	73,949,386
Year-end	121,345,130	80,972,876

**CARDINAL HEALTH, INC.**

**Interest Sale Development** On Nov. 14, 2017, Co. announced that it has signed a definitive agreement to sell its Cardinal Health China business to Shanghai Pharmaceuticals Holding

Co., Ltd. for \$1,200,000,000. The transaction is expected to close by the end of Co.'s fiscal year, subject to closing conditions and regulatory clearances.

**CARLISLE COMPANIES INC.**

**Earnings, 9 mos. to Sep 30(Consol. - \$000):**

	2017	2016
Net Sales	3,018,100	2,781,900
Cost & expenses	2,619,700	2,456,000
Operating income	377,000	301,800
Interest income	300	1,100
Interest expense	21,700	25,200
Net before taxes	377,000	301,800
Income taxes	126,800	127,500
Income contin. oper.	250,200	174,300
<b>Net income</b>	<b>250,400</b>	<b>173,900</b>
Earnings common share		
Primary	\$3.91	\$2.69
Fully Diluted	\$3.89	\$2.66
Common Shares:		
Full Diluted	63,916	64,879
Year-end	61,763	64,303

**CASTLE (AM) & CO**

**Earnings, 9 mos. to Sep 30(Consol. - \$000):**

	2017	2016
Net Sales	41,725	419,433
Cost & expenses	42,300	453,740
Operating income	(1,077)	(46,805)
Other income (expense), net	1,950	(11,149)
Gains or losses		7,569
Equity earnings		4,177
Net before taxes	(535)	(79,096)
Income taxes	286	1,099
Income contin. oper.	(821)	(84,372)
<b>Net income</b>	<b>(821)</b>	<b>(78,126)</b>
Earnings common share		
Primary	\$(0.41)	\$(2.80)
Fully Diluted	\$(0.41)	\$(2.80)
Common Shares:		
Full Diluted	2,000	27,909
Year-end	3,734	32,639

**CASTLE BRANDS INC.**

**Earnings, 6 mos. to Sep 30(Consol. - \$):**

	2017	2016
Net Sales	41,746,437	36,378,716
Cost & expenses	40,141,855	35,728,747
Deprec., depl. & amort.	391,235	507,097
Operating income	1,213,347	142,872
Other income (expense), net	71,536	22,987
Foreign currency	(32,308)	76,488
Net before taxes	(540,848)	(396,782)
Income taxes	43,748	688,775
<b>Net income</b>	<b>(584,596)</b>	<b>(1,085,557)</b>
Balance for common	(948,078)	(1,466,529)
Earnings common share		
Primary	\$(0.01)	\$(0.01)
Fully Diluted	\$(0.01)	\$(0.01)
Common Shares:		
Full Diluted	163,138,853	160,610,804
Year-end	164,305,883	160,951,277

**CATALENT INC**

**Earnings, 3 mos. to Sep 30(Consol. - \$000):**

	2017	2016
Total revenues	543,900	442,200
Cost & expenses	512,000	417,400
Operating income	31,900	24,800
Interest expense	24,300	22,100
Other income (expense), net	(100)	(200)
Net before taxes	1,900	4,800
Income taxes	1,900	200
<b>Net income</b>	<b>3,800</b>	<b>4,600</b>
Earnings common share		
Primary	\$0.03	\$0.04
Fully Diluted	\$0.03	\$0.04
Common Shares:		
Full Diluted	127,785	126,260
Year-end	132,841	124,748

**Consolidated Balance Sheet Items, as of (\$000):**

	2017
Assets:	
Cash & equivalents	601,400
Inventories	194,000
Current assets	1,330,100

	2017	2016
Net property & equip.	1,025,000	
Total assets	3,784,800	
Liabilities:		
Current liabilities	457,100	
Long-term debt	2,082,900	
Stockholders' equity	1,038,800	
Net current assets	873,000	

**CATO CORP.**

**Earnings, 9 mos. to (Consol. - \$000):**

	10/28/17	10/29/16
Total revenues	636,975	736,122
Net Sales	631,049	729,173
Cost & expenses	598,193	647,506
Operating income	23,793	71,534
Net before taxes	23,793	71,534
Income taxes	(252)	11,513
<b>Net income</b>	<b>24,045</b>	<b>60,021</b>
Earnings common share		
Primary	\$0.93	\$2.17
Fully Diluted	\$0.93	\$2.17
Common Shares:		
Full Diluted	25,150	27,041
Year-end	25,032	26,844

**CBS CORP**

**Acquisition Completed** On Nov. 16, 2017, Co. acquired Network Ten, one of three major commercial broadcast networks in Australia. This transaction adds Network Ten to Co.'s global content and distribution portfolios. In addition to core linear channel TEN, the deal included digital terrestrial television (DTT) channel ELEVEN, which Co. already had a 33% stake in, as well as the DTT channel ONE and Network Ten's rapidly growing digital platform, TENPLAY.

**CBS CORP**

**Acquisition Completed** On Nov. 24, 2017, Co. acquired Ten Network Holdings Ltd (Australia). Terms of the transaction were not disclosed.

**CBS CORP**

**Merger Completed** On Nov. 17, 2017, Entercom Communications Corp. (Entercom) wholly-owned subsidiary, Constitution Merger Sub Corp. (Merger Sub), merged with and into Co.'s wholly-owned subsidiary, CBS Radio Inc. (CBS Radio), with CBS Radio continuing as the surviving corporation and became a wholly-owned subsidiary of Entercom. As the result of the merger, each issued and outstanding share of CBS Radio was converted into the right to receive one share of Entercom's Class A common stock, par value \$0.01 per share (the Common Stock). Entercom issued 101,407,494 shares of Common Stock to the former stockholders of CBS Radio, together with cash in lieu of any fractional shares. Immediately after consummation of the Merger, approximately 72% of the economic interest in Entercom was held by pre-Merger shareholders of CBS Radio common stock and approximately 28% of the economic interest in Entercom was held by pre-Merger shareholders of Entercom.

**CBS CORP**

**Spin-Off Completed** On Nov. 17, 2017, Co. spun-off its radio business into its wholly-owned subsidiary, CBS Radio Inc. (CBS Radio). Under the terms of the Exchange Offer, 5,6796 shares of CBS Radio common stock were exchanged for each share of Co. Class B common stock accepted in the Exchange Offer. Co. accepted 17,854,689 shares of its Class B common stock in exchange for the 101,407,494 shares of CBS Radio common stock owned by Co.

**CCOM GROUP INC**

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Net Sales	68,371,062	65,498,808
Cost & expenses	67,116,271	64,381,722
Operating income	1,254,791	1,117,086
Interest expense	488,618	451,732
Other income (expense), net	155,622	141,257
Net before taxes	921,795	806,611
Income taxes	200,604	352,507
<b>Net income</b>	<b>721,191</b>	<b>454,104</b>
Earnings common share		
Primary	\$0.08	\$0.05
Fully Diluted	\$0.08	\$0.05
Common Shares:		
Full Diluted	9,439,540	9,439,540
Year-end	9,154,928	9,154,928

**CELSIUS HOLDINGS INC**

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	27,023,123	16,508,097
Cost & expenses	29,933,699	18,984,920
Operating income	(2,910,576)	(2,476,823)
Interest expense	122,195	171,250
<b>Net income</b>	<b>(3,032,771)</b>	<b>(2,648,073)</b>
Balance for common	(3,306,514)	(2,924,337)
Earnings common share		
Primary	\$(0.08)	\$(0.08)
Fully Diluted	\$(0.08)	\$(0.08)
Common Shares:		
Full Diluted	43,990,367	38,530,195
Year-end	45,679,093	38,666,451

**CEREBAIN BIOTECH CORP****Earnings, 3 mos. to Sep 30(Consol. - \$):**

	2017	2016
Cost & expenses	359,380	488,111
Operating income	(431,483)	(4,189,967)
Other income (expense), net	(31,668)	(3,664,347)
Net before taxes	(431,483)	(4,189,967)
<b>Net income</b>	<b>(431,483)</b>	<b>(4,189,967)</b>
Earnings common share		
Primary	\$(0.05)	\$(0.59)
Fully Diluted	\$(0.05)	\$(0.59)
Common Shares:		
Full Diluted	8,003,532	7,147,869
Year-end	8,319,347	7,206,347

**Consolidated Balance Sheet Items, as of (\$):**

	2017	2016
Assets:		
Cash & equivalents		1,110
Current assets		139,194
Total assets		139,194
Liabilities:		
Current liabilities		1,914,506
Long-term debt		2,748,227
Stockholders' equity		(4,523,539)
Net current assets		(1,775,312)

**CHEMIO DIAGNOSTICS INC****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	18,027,354	13,613,965
Cost & expenses	22,425,638	18,612,166
Operating income	(4,398,284)	(4,998,201)
Interest income	24,956	9,729
Net before taxes	(4,373,328)	(4,988,472)
Income taxes		5,800,818
<b>Net income</b>	<b>(4,373,328)</b>	<b>(10,789,290)</b>
Earnings common share		
Primary	\$(0.36)	\$(1.06)
Fully Diluted	\$(0.36)	\$(1.06)
Common Shares:		
Full Diluted	12,293,781	10,150,737
Year-end	12,318,570	12,026,847

**CHENIERE ENERGY INC.****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	3,855,000,000	711,000,000
Cost & expenses	2,656,000,000	757,000,000
Operating income	947,000,000	152,000,000
Other income (expense), net	(126,000,000)	331,000,000
Net before taxes	282,000,000	813,000,000
Income taxes	(1,000,000)	2,000,000
<b>Net income</b>	<b>283,000,000</b>	<b>815,000,000</b>
Earnings common share		
Primary	\$(2.24)	\$(3.15)
Fully Diluted	\$(2.24)	\$(3.15)
Common Shares:		
Full Diluted	232,500,000	228,500,000
Year-end	237,800,000	222,950,000

**CHENIERE ENERGY PARTNERS L P****Earnings, 9 mos. to Sep 30(Consol. - \$000):**

	2017	2016
Total revenues	2,786,000	549,000
Cost & expenses	1,931,000	406,000
Operating income	616,000	51,000
Other income (expense), net	(63,000)	(79,000)
<b>Net income</b>	<b>116,000</b>	<b>(257,000)</b>
Earnings common share		
Primary	\$(4.12)	\$(0.56)
Fully Diluted	\$(4.12)	\$(0.56)

	2017	2016
Full Diluted	121,200	57,100
Year-end	348,600	344,700

**CHENIERE ENERGY PARTNERS LP HOLDINGS LLC****Earnings, 9 mos. to Sep 30(Consol. - \$000):**

	2017	2016
Cost & expenses	1,777	1,977
Operating income	(2)	
Other income (expense), net	2	
<b>Net income</b>	<b>13,478</b>	<b>13,276</b>
Earnings common share		
Primary	\$0.06	\$0.06
Fully Diluted	\$0.06	\$0.06
Common Shares:		
Full Diluted	231,700	231,700
Year-end	231,700	231,700

**CHESAPEAKE FINANCIAL SHARES, INC.****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Cost & expenses	33,508,543	29,600,719
Operating income	8,783,882	6,778,790
Net before taxes	8,783,882	6,778,790
Income taxes	1,670,866	1,127,275
<b>Net income</b>	<b>7,113,016</b>	<b>5,651,515</b>
Earnings common share		
Primary	\$1.76	\$1.41
Fully Diluted	\$1.72	\$1.38
Common Shares:		
Year-end	4,060,351	4,022,366

**CHICAGO RIVET & MACHINE CO.****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Net Sales	27,305,591	28,271,399
Cost & expenses	25,430,479	25,479,357
Operating income	1,875,112	2,792,042
Other income (expense), net	68,000	45,403
Net before taxes	1,943,112	2,837,445
Income taxes	634,000	933,000
<b>Net income</b>	<b>1,309,112</b>	<b>1,904,445</b>
Earnings common share		
Primary	\$1.36	\$1.97
Fully Diluted	\$1.36	\$1.97
Common Shares:		
Full Diluted	966,132	966,132
Year-end	966,132	966,132

**CHICO'S FAS INC****Earnings, 9 mos. to (Consol. - \$):**

	10/28/17	10/29/16
Net Sales	1,694,596,000	875,621,000
Cost & expenses	1,578,985,000	756,326,000
Operating income	115,611,000	119,295,000
Net before taxes	114,325,000	117,821,000
Income taxes	41,300,000	40,100,000
<b>Net income</b>	<b>73,025,000</b>	<b>77,721,000</b>
Earnings common share		
Primary	\$0.57	\$0.59
Fully Diluted	\$0.57	\$0.58
Common Shares:		
Full Diluted	125,591,000	129,999,000
Year-end	127,804,649	129,205,515

**CHINA PHARMA HOLDINGS INC****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	9,364,605	10,308,320
Cost & expenses	14,354,046	15,399,100
Operating income	(4,989,441)	(5,090,780)
Interest income	43,296	99,149
Interest expense	411,985	699,932
Net before taxes	(5,358,130)	(5,691,563)
Income taxes	92,106	65,044
<b>Net income</b>	<b>(5,450,236)</b>	<b>(5,756,607)</b>
Earnings common share		
Primary	\$(0.13)	\$(0.13)
Fully Diluted	\$(0.13)	\$(0.13)
Common Shares:		
Full Diluted	43,579,557	43,579,557
Year-end	43,579,557	43,579,557

**CHINA YCT INTERNATIONAL GROUP INC****Earnings, 6 mos. to Sep 30(Consol. - \$):**

	2017	2016
Cost & expenses	23,320,051	16,539,265
Operating income	7,681,598	4,767,714
Interest income	56,302	32,149
Gains or losses	573,092	
Net before taxes	8,310,992	4,799,863
Income taxes	2,077,748	1,228,628
<b>Net income</b>	<b>6,233,244</b>	<b>3,571,235</b>
Earnings common share		
Primary	\$0.20	\$0.12
Fully Diluted	\$0.20	\$0.12
Common Shares:		
Full Diluted	29,789,168	29,759,891
Year-end	29,789,168	29,764,168

**CKX LANDS INC****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	793,099	637,999
Cost & expenses	427,892	505,028
Operating income	364,506	130,984
Net before taxes	396,546	161,733
Income taxes	87,148	37,998
<b>Net income</b>	<b>309,398</b>	<b>123,735</b>
Earnings common share		
Primary	\$0.16	\$0.06
Fully Diluted	\$0.16	\$0.06
Common Shares:		
Full Diluted	1,942,495	1,942,495
Year-end	1,942,495	1,942,495

**COMMAND SECURITY CORP****Earnings, 6 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	93,544,080	78,742,613
Cost & expenses	92,493,031	77,408,898
Operating income	1,051,049	1,333,715
Interest expense	222,408	140,771
Other income (expense), net	(54,900)	(130,000)
Net before taxes	773,741	1,062,944
Income taxes	360,000	465,000
<b>Net income</b>	<b>413,741</b>	<b>597,944</b>
Earnings common share		
Primary	\$0.04	\$0.06
Fully Diluted	\$0.04	\$0.06
Common Shares:		
Full Diluted	10,449,746	10,196,323
Year-end	9,848,186	9,841,484

**CONTANGO OIL & GAS CO. (DE)****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	58,530,000	56,520,000
Cost & expenses	41,056,000	46,910,000
Operating income	(18,578,000)	(41,522,000)
Interest expense	2,822,000	3,045,000
Other income (expense), net	(27,000)	(303,000)
Gains or losses	9,385,000	2,549,000
Net before taxes	(11,668,000)	(40,775,000)
Income taxes	397,000	410,000
<b>Net income</b>	<b>(12,065,000)</b>	<b>(41,185,000)</b>
Earnings common share		
Primary	\$(0.49)	\$(2.02)
Fully Diluted	\$(0.49)	\$(2.02)
Common Shares:		
Full Diluted	24,662,000	20,370,000
Year-end	25,544,705	24,995,692

**CONTINENTAL MATERIALS CORP.****Earnings, 9 mos. to (Consol. - \$000):**

	09/30/17	10/01/16
Net Sales	112,617	114,596
Cost & expenses	108,323	107,656
Deprec., depl. & amort.	1,932	1,897
Operating income	2,362	5,043
Interest income	50	293
Other income (expense), net	44	41
Net before taxes	2,184	5,078
Income taxes	743	1,727
<b>Net income</b>	<b>1,441</b>	<b>3,351</b>
Earnings common share		
Primary	\$0.86	\$2.01
Fully Diluted	\$0.86	\$2.01
Common Shares:		



Accounts receivable, net	428,750	382,581
Recoverable income taxes	5,360	9,706
Finished products	4,369	10,018
Work in process & inventoried costs under long-term contracts	84,131	62,570
Materials & purchased parts	10,163	12,102
Customer advances	(10,948)	(18,328)
Inventories	87,715	66,362
Other current assets	31,141	38,231
Total current assets	621,543	782,651
Long-term contract receivables	17,457	20,926
Long-term capitalized contract costs	56,471	65,382
Land & land improvements	16,139	16,711
Buildings & improvements	52,625	51,113
Machinery & other equipment	75,540	70,547
Software	62,297	51,191
Leasehold improvements	17,007	13,266
Construction & internal-use software development in progress	23,156	8,150
Accumulated depreciation & amortization	133,078	114,662
Property, plant & equipment, net	113,686	96,316
Deferred income taxes	2,206	2,194
Goodwill	415,912	406,946
Purchased intangibles, net	98,495	123,403
Other assets	10,515	6,590
Total assets	1,336,285	1,504,408
Short-term borrowings	55,000	240,000
Trade accounts payable	95,837	81,172
Customer advances	57,477	49,481
Accrued compensation	79,577	73,619
Other current liabilities	78,750	74,071
Income taxes payable	9,838	1,450
Current maturities of long-term debt	...	450
Total current liabilities	376,479	520,243
Senior unsecured notes payable	200,000	200,000
Mortgage note	...	1,012
Less unamortized debt issuance costs	(239)	(271)
Less current portion	...	450
Long-term debt	199,761	200,291
Accrued pension liability	25,375	46,865
Deferred compensation	11,435	10,643
Income taxes payable	7,465	11,855
Deferred income taxes	10,407	3,980
Other non-current liabilities	15,732	20,635
Common stock	37,850	32,756
Retained earnings (accumulated deficit)	794,485	813,035
Accumulated other comprehensive income (loss)	(106,626)	(119,817)
Treasury stock at cost	36,078	36,078
Shareholders' equity attributable to Cubic Corporation	689,631	689,896
Total shareholders' equity	689,631	689,896

□ Reclassified to conform with 2017 presentation

#### CURAEGIS TECHNOLOGIES INC

##### Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	23,000	20,000
Cost & expenses	3,499,000	2,958,000
Operating income	(3,476,000)	(2,938,000)
Other income (expense), net	2,000	1,000
Net before taxes	(3,999,000)	(2,957,000)
Net income	(3,999,000)	(2,957,000)
Balance for common	(4,185,000)	(4,028,000)
Earnings common share		
Primary	\$(0.09)	\$(0.09)

Fully Diluted	\$(0.09)	\$(0.09)
Common Shares:		
Full Diluted	47,768,000	45,901,000
Year-end	48,663,265	46,556,765

#### CYNERGISTEK INC

##### Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	52,950,678	44,004,091
Cost & expenses	47,794,798	42,060,444
Operating income	3,307,437	1,367,883
Interest expense	1,162,289	70,968
Other income (expense), net	1,884	...
Net before taxes	2,147,032	1,296,915
Income taxes	976,899	128,113
Net income	1,170,133	1,168,802
Earnings common share		
Primary	\$0.12	\$0.15
Fully Diluted	\$0.12	\$0.15
Common Shares:		
Full Diluted	9,835,428	8,287,664
Year-end	9,501,760	8,185,741

#### DALRADIAN RESOURCES INC

**Offering** On Nov. 27, 2017, Co. announced the closing of the private placement financing (the "Private Placement") with Orion Mine Finance Fund II LP ("Orion") and Osisko Gold Royalties Ltd ("Osisko") for gross proceeds of C\$78,250,000. Pursuant to the Private Placement previously announced on Oct. 10, 2017, Orion has acquired 34,013,605 common shares of Co. (the "Common Shares") and Osisko has acquired 19,217,687 Common Shares, each at a price of C\$1.47 per Common Share (the "Issue Price"). The Issue Price represents a 7% premium to the closing price of the Common Shares on the Toronto Stock Exchange on Oct. 6, 2017 being the last trading day prior to the announcement of the Private Placement. Co. intends to use the proceeds of the Private Placement for general working capital purposes. Following the closing of the Private Placement, Orion owns approximately 9.6% of Co.'s issued and outstanding common shares and Osisko owns approximately 8.9% of Co.'s issued and outstanding common shares. The subscription agreements entered into with Orion and Osisko contain various covenants and rights, including among other things, a standstill, participation rights in favour of the investors to maintain their pro rata interest in Co. and rights to match other offers for project financing. In addition, Co. has granted Orion the right to designate one nominee to the board of directors of Co. and a period of exclusivity with respect to the negotiation of future project financing. Application has been made for the 53,231,292 Common Shares issued under the Private Placement, which will rank pari passu with the existing common shares in issue, to be admitted to trading on AIM and admission is expected to occur at 8:00 am (UK time) on Nov. 28. Following the Private Placement, Co. has in issue 355,267,888 common shares. This figure may be used by shareholders as the denominator for the calculations by which they will determine if they are required to notify their interest in, or a change to their interest in, Co. under the Financial Conduct Authority's Disclosure Guidance and Transparency Rules. All securities issued in the Private Placement will be subject to a statutory hold period in Canada of four months and one day from closing.

#### DATATRAK INTERNATIONAL INC.

##### Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	5,595,639	6,935,947
Cost & expenses	5,240,485	5,872,926
Deprec., depl. & amort.	480,718	166,494
Operating income	(125,564)	896,527
Interest income	2,039	594
Interest expense	129,440	23,119
Gains or losses	...	(6,025)
Net before taxes	(252,965)	867,977
Income taxes	...	11,389
Net income	(252,965)	856,588
Earnings common share		
Primary	\$(0.14)	\$0.52
Fully Diluted	\$(0.14)	\$0.47
Common Shares:		
Full Diluted	1,837,518	1,825,891
Year-end	2,267,286	1,720,842

#### DCT INDUSTRIAL TRUST INC

##### Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	315,597,000	290,546,000

Cost & expenses	98,070,000	91,271,000
Operating income	92,048,000	79,031,000
Interest expense	49,582,000	47,830,000
Other income (expense), net	43,955,000	46,035,000
Net income	86,261,000	77,307,000
Balance for common	81,892,000	72,872,000
Earnings common share		
Primary	\$0.89	\$0.81
Fully Diluted	\$0.89	\$0.81
Common Shares:		
Full Diluted	92,467,000	89,906,000
Year-end	93,018,193	90,882,190

#### DECISION DIAGNOSTICS CORP

##### Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Net Sales	1,181,074	725,484
Cost & expenses	2,600,300	3,351,233
Operating income	(1,419,226)	(2,625,749)
Other income (expense), net	(34,515)	(1,163,152)
Gains or losses	(176,137)	1,000,000
Net income	(1,800,471)	(2,967,357)
Balance for common	(1,800,471)	(2,967,357)
Earnings common share		
Primary	\$(0.02)	\$(0.04)
Fully Diluted	\$(0.02)	\$(0.04)
Common Shares:		
Full Diluted	92,209,047	66,451,145
Year-end	101,271,675	81,955,005

#### DEEP DOWN INC

##### Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	14,458,000	19,489,000
Cost & expenses	15,112,000	20,193,000
Operating income	(892,000)	(1,017,000)
Other income (expense), net	94,000	...
Gains or losses	574,000	1,070,000
Net before taxes	(178,000)	2,000
Income taxes	15,000	16,000
Net income	(193,000)	(14,000)
Earnings common share		
Primary	\$(0.01)	\$0.00
Fully Diluted	\$(0.01)	\$0.00
Common Shares:		
Full Diluted	15,074,000	15,534,000
Year-end	13,436,243	15,181,642

#### DEEP DOWN INC

**New Accountant** On Nov. 16, 2017, Hein & Associates LLP resigned as Co.'s independent public accounting firm, subsequently, Co. engaged Moss Adams LLP as its new independent public accounting firm.

#### DELUXE CORP

##### Earnings, 9 mos. to Sep 30(Consol. - \$000):

	2017	2016
Total revenues	1,470,666	1,368,860
Cost & expenses	1,237,978	1,092,977
Operating income	232,688	275,883
Other income (expense), net	2,104	1,335
Net before taxes	218,997	261,937
Income taxes	73,551	86,783
Net income	145,446	175,154
Earnings common share		
Primary	\$3.00	\$3.57
Fully Diluted	\$2.98	\$3.55
Common Shares:		
Full Diluted	48,548	49,061
Year-end	48,120	48,586

#### DETREX CORP.

**Merger Development** On Nov. 14, 2017, Co. and Italmatch Chemicals Group ("Italmatch") jointly announced that they have entered into a definitive agreement and plan of merger ("Merger Agreement") pursuant to which a newly formed subsidiary of Italmatch will acquire Co. for \$27.00 a share in cash. Under the terms of the Merger Agreement dated Nov. 10, 2017, each share of Co. common stock outstanding immediately prior to the merger will be converted into and exchanged for the right to receive \$27.00 in cash. The merger consideration represents a 8% premium over the closing bid price of Co.'s common stock as quoted on the website of OTC Markets Group on Nov. 9, 2017, and a 9% premium over the average price for the 30-day period ended Nov. 9, 2017, and a 16% premium over the average price for the 90-day period



ended Nov. 9, 2017. The Boards of Directors of both companies unanimously approved this transaction. The proposed merger is expected to close by Dec. 31, 2017, subject to the approval by the holders of at least two-thirds of the issued and outstanding shares of common stock of Co. and the satisfaction or waiver of customary closing conditions.

#### DGSE COMPANIES, INC.

Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Net Sales	47,549,134	37,844,993
Cost & expenses	46,057,675	39,974,148
Deprec., depl. & amort.	245,048	287,278
Operating income	1,246,411	(2,416,433)
Other income (expense), net	23,239	3,371
Net before taxes	1,120,128	(2,697,741)
Income taxes	31,607	39,960
Income contin. oper.	1,088,521	(2,737,701)
<b>Net income</b>	<b>1,088,521</b>	<b>(2,737,040)</b>
Earnings common share		
Primary	\$0.04	\$(0.22)
Fully Diluted	\$0.04	\$(0.22)
Common Shares:		
Full Diluted	27,394,132	12,327,753
Year-end	26,924,381	12,388,976

#### DIAGNOS INC

**Official Changes** On Nov. 22, 2017, Co. announced the resignation of Dr. Netan Choudhry as Director of Co.

#### DIGITAL POWER CORP

**Annual Meeting Development** On Nov. 17, 2017, 2017, Co. scheduled its annual Meeting of Shareholders for Thursday, Dec. 28, 2017 at 9:00 a.m. PT at Co.'s corporate offices located at 48430 Lakeview Blvd, Fremont, CA94538.

#### DIGITAL POWER CORP

Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	6,670,000	5,603,000
Cost & expenses	10,219,000	5,875,000
Operating income	(3,549,000)	(272,000)
Interest income	(1,367,000)	85,000
Net before taxes	(4,916,000)	(187,000)
Income taxes		(22,000)
<b>Net income</b>	<b>(4,916,000)</b>	<b>(165,000)</b>
Balance for common	(5,054,000)	(165,000)
Earnings common share		
Primary	\$(0.46)	\$(0.02)
Fully Diluted	\$(0.46)	\$(0.02)
Common Shares:		
Full Diluted	10,884,948	6,775,971
Year-end	14,150,154	6,775,971

#### DIGITAL POWER CORP

**Offering** On Nov. 20, 2017, Co. announced a public offering pursuant to Common Stock, Common Stock underlying Convertible Notes and Common Stock underlying Warrants. Co. proposed to offer (i) 363,600 Common Stock at a proposed maximum offering price per share of \$0.575, which amounted to a proposed maximum aggregate offering price of \$209,070; (ii) 2,735,879 Common Stock underlying Convertible Notes at a proposed maximum offering price per share of \$0.575, which amounted to a proposed maximum aggregate offering price of \$1,573,130.43; and (iii) 3,489,676 Common Stock underlying Warrants at a proposed maximum offering price per share of \$0.575, which amounted to a proposed maximum aggregate offering price of \$2,006,563.70. Also on Nov. 20, 2017, Co. announced a public offering pursuant to Common Stock, Common Stock underlying Series D Preferred Stock, Common Stock underlying Series E Preferred Stock and Common Stock underlying Exchange Warrants. Co. proposed to offer (i) 1,275,782 Common Stock at a proposed maximum offering price per share of \$0.575, which amounted to a proposed maximum aggregate offering price of \$733,574.65; (ii) 757,552 Common Stock underlying Series D Preferred Stock at a proposed maximum offering price per share of \$0.575, which amounted to a proposed maximum aggregate offering price of \$435,592.40; (iii) 600,000 Common Stock underlying Warrants at a proposed maximum offering price per share of \$0.575, which amounted to a proposed maximum aggregate offering price of \$345,000; and (iv) 1,000,000 Common Stock underlying Exchange Warrants at a proposed maximum offering price per share of \$0.575, which amounted to a proposed maximum aggregate offering price of \$575,000. On Nov. 20, 2017, Co. announced a public offering pursuant to Common Stock underlying Series C Preferred Stock and Common Stock underlying Warrants. Co. proposed to offer (i) 1,820,008 Common Stock underlying Series C Preferred Stock

at a proposed maximum offering price per share of \$ 0.575, which amounted to a proposed maximum aggregate offering price of \$ 1,046,501.15; and (ii) 1,820,002 Common Stock underlying Warrants at a proposed maximum offering price per share of \$ 0.575, which amounted to a proposed maximum aggregate offering price of \$ 1,046,501.10.

#### DIPLOMAT PHARMACY INC

**Acquisition Development** On Nov. 15, 2017, Co. announced that it has entered into a definitive agreement to acquire Leehar Distributors, LLC, d/b/a LDI Integrated Pharmacy Services (LDI), a full-service pharmacy benefit manager (PBM) based in St. Louis, MO. LDI's service offering includes URAC accredited mail-order and specialty pharmacies, a national network of retail pharmacies, and comprehensive clinical programs, from investment firms Nautic Partners, LLC and Oak HC/FT Partners LLC, and management. Under the terms of the agreement, Co. will pay LDI \$515,000,000 cash and approximately \$80,000,000 in Co. common stock. The cash portion of the acquisition is expected to be funded by Co.'s new \$795,000,000 senior secured credit facility, the proceeds of which will also be used to terminate Co.'s outstanding credit facility. The transaction is expected to close in 30-60 days.

#### DISNEY (WALT) CO. (THE)

##### Annual Report

##### Consolidated Income Statement, Years Ended (\$000):

	09/30/17	10/01/16 (revised)	10/03/15 (revised)
Revenues - services	46,843,000	47,130,000	43,894,000
Revenues - products	8,294,000	8,502,000	8,571,000
Total revenues	55,137,000	55,632,000	52,465,000
Cost of services (exclusive of depreciation & amortization)	25,320,000	24,653,000	23,191,000
Cost of products (exclusive of depreciation & amortization)	4,986,000	5,340,000	5,173,000
Selling, general, administrative & other expenses	8,176,000	8,754,000	8,523,000
Depreciation & amortization	2,782,000	2,527,000	2,354,000
Total costs & expenses	41,264,000	41,274,000	39,241,000
Restructuring & impairment charges	98,000	156,000	53,000
Gain related to the acquisition of BAMTech LLC	255,000	...	...
Settlement of litigation	177,000	...	...
Interest expense	507,000	354,000	265,000
Interest & investment income	122,000	94,000	148,000
Equity in the income of investees	320,000	926,000	814,000
Income (loss) before income taxes	12,611,000	14,018,000	12,825,000
- domestic (including U.S. exports)	1,177,000	850,000	1,043,000
Income (loss) before income taxes	13,788,000	14,868,000	13,868,000
- foreign subsidiaries	3,229,000	3,146,000	4,182,000
Income (loss) before income taxes	360,000	154,000	333,000
- federal	489,000	533,000	525,000
Income (loss) before income taxes	4,078,000	3,833,000	5,040,000
- state	370,000	1,172,000	82,000
Income (loss) before income taxes	4,448,000	4,005,000	5,122,000
- deferred income tax expense (benefit)	5,000	100,000	(52,000)
Income (loss) before income taxes	(31,000)	(27,000)	(54,000)
- foreign	344,000	1,245,000	(24,000)
Income (loss) before income taxes	4,422,000	5,078,000	5,016,000
- deferred income tax expense (benefit)	9,366,000	9,790,000	8,852,000
Income (loss) before income taxes	(386,000)	(399,000)	(470,000)
- attributable to noncontrolling interests	8,980,000	9,391,000	8,382,000
Income (loss) before income taxes	1,568,000	1,629,000	1,694,000
- attributable to The Walt Disney Company (Disney)	1,578,000	1,639,000	1,709,000
Income (loss) before income taxes	1,500,000	1,600,000	1,600,000
- attributable to equivalent shares outstanding - basic	\$5.73	\$5.76	\$4.95
Income (loss) before income taxes	\$5.69	\$5.73	\$4.90
- attributable to equivalent shares outstanding - diluted	\$1.56	\$1.42	\$1.81
Income (loss) before income taxes	199,000	195,000	185,000
- attributable to equivalent shares outstanding - diluted	871,300	890,200	903,854
Income (loss) before income taxes	...	(156,000)	(272,000)

expense (benefit) - state	5,000	100,000	(52,000)
Deferred income tax expense (benefit) - foreign	(31,000)	(27,000)	(54,000)
Total deferred income tax expense (benefit)	344,000	1,245,000	(24,000)
Income taxes	4,422,000	5,078,000	5,016,000
<b>Net income (loss)</b>	<b>9,366,000</b>	<b>9,790,000</b>	<b>8,852,000</b>
Less: net loss (income) attributable to noncontrolling interests	(386,000)	(399,000)	(470,000)
Net income attributable to The Walt Disney Company (Disney)	8,980,000	9,391,000	8,382,000
Weighted average common & common equivalent shares outstanding - basic	1,568,000	1,629,000	1,694,000
Weighted average common & common equivalent shares outstanding - diluted	1,578,000	1,639,000	1,709,000
Year end shares outstanding	1,500,000	1,600,000	1,600,000
Net earnings (loss) per share - basic	\$5.73	\$5.76	\$4.95
Net earnings (loss) per share - diluted	\$5.69	\$5.73	\$4.90
Dividends per share	\$1.56	\$1.42	\$1.81
Total number of employees	199,000	195,000	185,000
Number of common stockholders	871,300	890,200	903,854
Foreign currency translation adjustments	...	(156,000)	(272,000)

As is; Approximately

##### Consolidated Balance Sheet, Years Ended (\$000):

	09/30/17	10/01/16 (revised)
Cash & cash equivalents	4,017,000	4,610,000
Accounts receivable	8,013,000	8,458,000
Other receivables	807,000	760,000
Allowance for doubtful accounts	187,000	153,000
Receivables	8,633,000	9,065,000
Inventories	1,373,000	1,390,000
Television costs & advances	1,278,000	1,208,000
Prepaid expenses	445,000	449,000
Other current assets	143,000	244,000
Total current assets	15,889,000	16,966,000
Film & television costs	7,481,000	6,339,000
Investments	3,202,000	4,280,000
Attractions, buildings & improvements	28,644,000	27,930,000
Leasehold improvements	898,000	830,000
Furniture, fixtures & equipment	18,908,000	16,912,000
Land improvements	5,593,000	4,598,000
Accumulated depreciation	29,037,000	26,849,000
Projects in progress	2,145,000	2,684,000
Land	1,255,000	1,244,000
Parks, resorts & other property, net	28,406,000	27,349,000
Intangible assets, net	6,995,000	6,949,000
Goodwill	31,426,000	27,810,000
Receivables	1,688,000	1,651,000
Prepaid expenses	233,000	229,000
Other non-current assets	469,000	460,000
Total assets	95,789,000	92,033,000
Accounts payable	6,490,000	6,860,000
Payroll & employee benefits	1,819,000	1,747,000
Other accounts payable & accrued liabilities	546,000	523,000
Current portion of		



revenue	36,425	35,366
Supplemental retirement plan obligations	2,928	2,540
Non-current tax liabilities	91,013	68,254
Other liabilities	13,573	12,128
Total liabilities	389,712	331,371
Class A common stock	58	57
Class B common stock	43	44
Additional paid-in capital	61,331	42,032
Retained earnings	2,083,063	1,938,320
Accumulated unrealized gains (loss) on available-for-sale securities, net of tax	(377)	742
Accumulated foreign currency translations gains, net of tax	(7,376)	(10,939)
Accumulated other comprehensive income	(7,753)	(10,197)
Total stockholders' equity - Doly Laboratories, Inc.	2,136,742	1,970,256
Controlling interest	7,100	8,479
Total stockholders' equity	2,143,842	1,978,735

□ Reclassified to conform with 2017 presentation

#### DRONE AVIATION HOLDING CORP

##### Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Net Sales	474,634	1,073,672
Cost & expenses	7,715,816	8,270,242
Operating income	(7,241,182)	(7,196,570)
Interest expense	1,558,389	3,149
Other income (expense), net	1,149,647	86,024
<b>Net income</b>	<b>(7,649,924)</b>	<b>(7,113,695)</b>
Balance for common	(7,649,924)	(7,113,695)
Earnings common share		
Primary	\$(0.86)	\$(1.13)
Fully Diluted	\$(0.86)	\$(1.13)
Common Shares:		
Full Diluted	8,880,168	6,285,681
Year-end	9,182,470	8,807,220

#### DSW INC

##### Earnings, 9 mos. to (Consol. - \$000):

	10/28/17	10/29/16
Net Sales	2,079,819	2,036,827
Cost & expenses	1,988,769	1,885,605
Operating income	90,570	151,222
Other income (expense), net	(2,304)	344
Equity earnings	(543)	(1,237)
Net before taxes	90,570	153,192
Income taxes	35,510	60,420
<b>Net income</b>	<b>55,603</b>	<b>94,009</b>
Earnings common share		
Primary	\$0.69	\$1.15
Fully Diluted	\$0.69	\$1.14
Common Shares:		
Full Diluted	80,699	82,643
Year-end	72,255	

#### DYCOM INDUSTRIES, INC.

**Offering** On Nov. 22, 2017, Co. announced a public offering pursuant to Common Stock, par value \$0.33 $\frac{1}{8}$  per share issuable under the Corporation's 2017 Non-Employee Directors Equity Plan and Common Stock, par value \$0.33 $\frac{1}{8}$  per share issuable under the Corporation's 2012 Long-Term Incentive Plan. Co. proposed to offer (i) 140,000 Common Stock, par value \$0.33 $\frac{1}{8}$  per share issuable under the Corporation's 2017 Non-Employee Directors Equity Plan at a proposed maximum offering price per share of \$85.52, which amounted to a proposed maximum aggregate offering price of \$11,972,800. The amount of registration fee is \$1,490.61; (ii) 865,000 Common Stock, par value \$0.33 $\frac{1}{8}$  per share issuable under the Corporation's 2012 Long-Term Incentive Plan at a proposed maximum offering price per share of \$85.52, which amounted to a proposed maximum aggregate offering price of \$73,974,800. The amount of registration fee is \$9,209.86.

#### EARTHSTONE ENERGY INC

**Annual Meeting Development** On Nov. 22, 2017, 2017, Co. scheduled its annual Meeting of Shareholders for Thursday, Dec. 21, 2017 at 10:00 a.m., Central Standard Time, at 1400 Woodloch Forest Drive, Suite 300, The Woodlands, TX 77380.

#### EARTHSTONE ENERGY INC

##### Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	72,402,000	27,117,000
Cost & expenses	106,124,000	28,450,000
Operating income	(61,980,000)	(17,585,000)
Other income (expense), net	(560,000)	(70,000)
Gains or losses	4,137,000	(2,517,000)
Net before taxes	(60,276,000)	(21,106,000)
Income taxes	(10,046,000)	387,000
<b>Net income</b>	<b>(50,230,000)</b>	<b>(21,493,000)</b>
Earnings common share		
Primary	\$(0.66)	\$(1.23)
Fully Diluted	\$(0.66)	\$(1.23)
Common Shares:		
Full Diluted	22,638,977	17,433,079
Year-end	59,059,587	22,289,177

#### EARTHSTONE ENERGY INC

**Stock Interest Change Development** On Nov. 15, 2017, Janus Henderson Group Plc. ("Janus") reduced its holdings in Co. by 54.4%. Janus owned 85,093 shares of Co.'s stock after selling 101,719 shares. Janus owned approximately 0.37% of Co. worth \$852,000. Also on Nov. 15, 2017, Columbia Pacific Advisors LLC acquired a new position in shares of Co. worth approximately \$1,337,000. Also on Nov. 15, 2017, Brandywine Global Investment Management LLC acquired a new position in shares of Co. worth about \$122,000. Also on Nov. 15, 2017, Dimensional Fund Advisors LP ("Dimensional") boosted its holdings in shares of Co. by 8.5%. Dimensional owned 35,607 shares of Co.'s stock worth \$356,000 after buying an additional 2,787 shares. Also on Nov. 15, 2017, Virtu KCG Holdings LLC acquired a new position in shares of Co. worth about \$120,000. Also on Nov. 15, 2017, Citadel Advisors LLC acquired a new stake in shares of Co. worth approximately \$105,000.

#### EARTHSTONE ENERGY INC

**Stock Interest Change Development** On Nov. 16, 2017, Bank of New York Mellon Corp. ("Bank Mellon") increased its stake in shares of Co. by 153.2% in the 2nd quarter. Bank Mellon owned 42,661 shares of Co.'s stock after buying an additional 25,813 shares during the quarter. As the result, Bank Mellon owned 0.19% of Co. worth \$427,000 at the end of the most recent reporting period. Also on Nov. 16, 2017, Northpointe Capital LLC ("Northpointe") raised its position in Co. by 25.3% in the 2nd quarter. As the result, Northpointe owned 696,861 shares of Co.'s stock valued at \$6,976,000 after purchasing an additional 140,858 shares during the last quarter.

#### EDGEWELL PERSONAL CARE CO

##### Annual Report

##### Consolidated Income Statement, Years Ended Sept. 30 (\$000):

	2017	2016	□2015
Net sales	2,298,400	2,362,000	2,421,200
Cost of products sold	1,167,800	1,202,100	1,237,400
Gross profit	1,130,600	1,159,900	1,183,800
Selling, general & administrative expense	390,000	412,700	571,600
Advertising & sales promotion expense	318,300	336,700	367,100
Research & development expense	67,600	71,900	71,000
Impairment charge - Venezuela	319,000	6,500	318,200
deconsolidation charge	...	...	79,300
Spin restructuring charge	...	...	28,300
Restructuring charges	29,600	37,000	26,700
Industrial sale charges	...	200	32,700
Interest expense associated with debt	69,200	71,800	99,800
Cost of early debt retirements	...	...	59,600

Other (income) expense, net	(10,200)	3,200	(11,800)
Earnings before income taxes - United States	(230,500)	53,300	(589,300)
Earnings before income taxes - foreign	177,600	166,600	130,600
Earnings (loss) from continuing operations before income taxes	(52,900)	219,900	(458,700)
Current income taxes - federal	500	2,600	12,000
Current income taxes - state	200	3,000	(1,000)
Current income taxes - foreign	27,000	24,400	45,300
Total current income taxes	27,700	30,000	56,300
Deferred income taxes (benefit) - federal	(79,300)	4,600	(194,800)
Deferred income taxes (benefit) - state	(3,800)	2,500	500
Deferred income taxes (benefit) - foreign	(3,200)	4,100	(24,600)
Total deferred income taxes (benefit)	(86,300)	11,200	(218,900)
Income tax provision (benefit)	(58,600)	41,200	(162,600)
Earnings (loss) from continuing operations	5,700	178,700	(296,100)
Earnings (loss) from discontinued operations	...	...	20,800
<b>Net earnings (loss)</b>	<b>5,700</b>	<b>178,700</b>	<b>(275,300)</b>
Weighted average shares outstanding-basic	57,300	59,200	62,000
Weighted average shares outstanding-diluted	57,500	59,700	62,000
Year end shares outstanding	56,018	57,914	60,176
Earnings (loss) per share-continuing operations-basic	\$0.10	\$3.02	\$(4.78)
Earnings (loss) per share from discontinued operations - basic	...	...	\$0.34
Net earnings (loss) per share-basic	\$0.10	\$3.02	\$(4.44)
Earnings (loss) per share-continuing operations-diluted	\$0.10	\$2.99	\$(4.78)
Earnings (loss) per share from discontinued operations - diluted	...	...	\$0.34
Net earnings (loss) per share-diluted	\$0.10	\$2.99	\$(4.44)
Total number of employees	□□6,000	□□6,000	□□6,000
Number of common stockholders	□□8,051	□□8,381	□□9,414
Foreign currency translation adjustments	...	1,000	(111,400)

□ Reclassified to conform with 2016 presentation; □ As is; □ Approximately; □ As of October 31, 2017; □ As of October 31, 2016; □ As of November 19, 2015

##### Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):

	2017	2016
Cash & cash equivalents	502,900	738,900

Trade receivables, gross	228,400	265,600	equity	1,741,700	1,829,000	Other deductions	72,000	114,000	98,000
Less allowance for doubtful accounts	4,300	4,900				Interest expense	201,000	215,000	198,000
Trade receivables, net	224,100	260,700	<b>ELECTROMED, INC.</b>			Interest income	36,000	27,000	23,000
Raw materials & supplies	50,600	50,800	<b>Earnings, 3 mos. to Sep 30(Consol. - \$):</b>			Interest income (expense), net	(165,000)	(188,000)	(175,000)
Work in process	60,900	43,900		2017	2016	Earnings (loss)			
Finished products	222,000	214,500	Total revenues	6,381,778	5,545,363	before income taxes			
Inventories	333,500	309,200	Cost & expenses	6,219,459	5,256,484	- United States	1,350,000	1,312,000	2,688,000
Miscellaneous receivables	16,900	29,100	Operating income	162,319	288,879	Earnings (loss)			
Prepaid expenses	55,600	49,000	Net before taxes	158,120	272,172	before income taxes			
Value added tax collectible from customers	25,200	22,400	Income taxes	37,000	81,000	- non-U.S.	985,000	1,004,000	1,119,000
Income tax receivable	24,700	39,300	<b>Net income</b>	121,120	191,172	Earnings (loss)			
Other current assets	3,300	3,400	Earnings common share			from continuing operations before income taxes	2,335,000	2,316,000	3,807,000
Total current assets	1,186,200	1,452,000	Primary	\$0.01	\$0.02	Current income tax provisions (credits)-federal	351,000	394,000	831,000
Land	19,300	27,800	Fully Diluted	\$0.01	\$0.02	Current income tax provisions (credits)-state & local	40,000	11,000	86,000
Buildings	139,100	146,000	Common Shares:			Current income tax provisions (credits)-non U.S.	311,000	305,000	398,000
Machinery & equipment	947,400	913,700	Full Diluted	8,614,633	8,452,780	Deferred income tax provisions (credits)-federal	7,000	2,000	12,000
Capitalized software costs	42,300	38,400	Year-end	8,260,167	8,217,112	Deferred income tax provisions (credits)-state & local	4,000	4,000	(1,000)
Construction in progress	49,700	36,200	<b>Consolidated Balance Sheet Items, as of (\$):</b>			Deferred income tax provisions (credits)-non U.S.	(53,000)	(19,000)	(59,000)
Property, plant & equipment, gross	1,197,800	1,162,100	Assets:		2017	Income taxes	660,000	697,000	1,267,000
Less accumulated depreciation	744,400	676,000	Cash & equivalents	5,786,992		Earnings (loss) from continuing operations	1,675,000	1,619,000	2,540,000
Property, plant & equipment, net	453,400	486,100	Inventories	2,454,000		Discontinued operations, net of tax	(125,000)	(45,000)	(193,000)
Goodwill, net	1,445,900	1,420,300	Current assets	18,677,584		<b>Net earnings (loss)</b>	1,550,000	1,664,000	2,733,000
Other intangible assets, net	1,071,700	1,385,100	Net property & equip.	3,262,474		Less: noncontrolling interests in earnings of subsidiaries	(32,000)	(29,000)	(23,000)
Other assets	31,600	28,000	Total assets	23,205,700		Net earnings common stockholders	1,518,000	1,635,000	2,710,000
Total assets	4,188,800	4,771,500	Liabilities:			Weighted average shares outstanding			
Current maturities of long-term debt	...	281,800	Current liabilities	2,741,595		- basic	642,100	644,000	673,300
Notes payable	19,400	18,500	Long-term debt	1,085,203		- diluted	643,400	646,800	676,500
Accounts payable	223,600	196,500	Stockholders' equity	19,379,055		Year end shares outstanding	641,692	642,796	654,609
Accrued advertising, sales promotion & allowances	32,200	46,800	Net current assets	15,935,989		Earnings (loss) per share - continuing operations - basic	\$2.54	\$2.46	\$3.72
Accrued trade allowances	24,600	30,100	<b>ELY GOLD ROYALTIES INC</b>			Earnings (loss) per share - discontinued operations - basic	\$(0.19)	\$0.07	\$0.29
Accrued salaries, vacations & incentive compensation	40,600	56,000	<b>New Name</b> On Nov. 22, 2017, Co. changed its name from Ely Gold & Minerals Inc. to Ely Gold Royalties Inc.			Net earnings (loss) per share - basic	\$2.35	\$2.53	\$4.01
Income taxes payable	18,300	19,700	<b>ELY GOLD ROYALTIES INC</b>			Earnings (loss) per share - continuing operations - diluted	\$2.54	\$2.45	\$3.71
Returns reserve	53,300	49,900	<b>Stock Trading Status</b> Co.'s Class A common stock is trading on Venture Exchange (TVX), Symbol: ELY.			Earnings (loss) per share - discontinued operations - diluted	\$(0.19)	\$0.07	\$0.28
Restructuring reserve	3,000	21,900	<b>EMAGIN CORP</b>			Net earnings (loss) per share - diluted	\$2.35	\$2.52	\$3.99
Value added tax payable	5,800	25,000	<b>Earnings, 9 mos. to Sep 30(Consol. - \$):</b>			Dividends per common share	\$1.92	\$1.90	\$1.88
Deferred compensation	13,800	26,100	Total revenues	15,609,000	16,839,000	Total number of employees	76,500	103,500	...
Other current liabilities	89,800	95,900	Cost & expenses	22,632,000	21,399,000	Number of common stockholders	19,066	19,724	...
Total current liabilities	524,400	868,200	Operating income	(7,023,000)	(4,560,000)				
Senior notes	1,095,700	1,094,700	Interest expense	249,000	28,000				
U.S. revolving credit facility	245,000	265,000	Other income (expense), net	11,000	8,000				
Netherlands credit facility	...	281,800	Net before taxes	(7,261,000)	(4,580,000)				
Term loan	184,700	184,500	Income taxes	...	1,000				
Long-term debt, including current maturities	1,525,400	1,826,000	<b>Net income</b>	(7,261,000)	(4,581,000)				
Less current portion	...	281,800	Earnings common share						
Long-term debt	1,525,400	1,544,200	Primary	\$(0.22)	\$(0.15)				
Deferred income tax liabilities	181,800	255,300	Fully Diluted	\$(0.22)	\$(0.15)				
Pensions & other retirement benefits	109,400	154,900	Common Shares:						
Deferred compensation	47,300	58,600	Full Diluted	33,214,262	29,689,458				
Other noncurrent liabilities	58,800	61,300	Year-end	34,972,589	31,622,664				
Total liabilities	2,447,100	2,942,500	<b>EMERSON ELECTRIC CO.</b>						
Common stock	700	700	<b>Acquisition Development</b> On Nov. 16, 2017, Co. announced that its Chairman and Chief Executive Officer, David N. Farr, has sent a letter to Rockwell Automation President and CEO, Blake D. Moret, proposing to acquire all outstanding shares of Rockwell for \$225 per share, consisting of \$135 per share in cash and \$90 per share in Co.'s shares. The total enterprise value of the transaction is approximately \$29,000,000,000.						
Additional paid-in capital	1,623,400	1,642,500	<b>EMERSON ELECTRIC CO.</b>						
Retained earnings	952,900	946,000	<b>Annual Report</b>						
Common stock in treasury, at cost	703,900	563,000	<b>Consolidated Income Statement, Years Ended Sept. 30 (\$000):</b>						
Foreign currency translation adjustments	(29,000)	(68,100)		2017	2016	2015			
Pension/postretirement activity	(101,300)	(126,300)	Net sales	15,264,000	14,522,000	16,249,000			
Hedging activity	(1,100)	(2,800)	Cost of sales	8,860,000	8,260,000	9,241,000			
Accumulated other comprehensive income (loss)	(131,400)	(197,200)	Selling, general & administrative expenses	3,618,000	3,464,000	3,735,000			
Total shareholders' equity	1,741,700	1,829,000	Gains on divestitures of businesses	...	...	1,039,000			
			Amortization of intangibles (intellectual property & customer relationships)	136,000	84,000	94,000			
			Restructuring costs	78,000	96,000	138,000			

Foreign currency translation adjustments ..... (188) (794)

☐ Restated to reflect the discontinued operations of network power systems business and power generation, motors and drives business; ☐ Net of tax - Discontinued operations: \$671,000,000; ☐ Net of tax - Discontinued operations: \$269,000,000; ☐ Net of tax - Discontinued operations: \$161,000,000; ☐ As is; ☐ Approximately

**Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):**

	2017	☐2016 (revised)
Cash & equivalents	3,062,000	3,182,000
Receivables, gross	3,163,000	2,793,000
Less allowance	91,000	92,000
Receivables, net	3,072,000	2,701,000
Finished products	560,000	382,000
Raw materials & work in process	1,136,000	826,000
Inventories	1,696,000	1,208,000
Other current assets	349,000	669,000
Current assets held-for-sale	73,000	2,200,000
Total current assets	8,252,000	9,960,000
Land	295,000	210,000
Buildings	2,043,000	1,867,000
Machinery & equipment	5,175,000	4,932,000
Construction in progress	360,000	318,000
Property, plant & equipment, at cost	7,873,000	7,327,000
Less: accumulated depreciation	4,552,000	4,396,000
Property, plant & equipment, net	3,321,000	2,931,000
Goodwill	5,316,000	3,909,000
Other intangible assets	1,890,000	902,000
Other assets	634,000	200,000
Noncurrent assets held-for-sale	176,000	3,830,000
Total assets	19,589,000	21,732,000
Current maturities of long-term debt	270,000	267,000
Commercial paper	592,000	2,317,000
Short-term borrowings & current maturities of long-term debt	862,000	2,584,000
Accounts payable	1,776,000	1,517,000
Accrued expenses	2,286,000	2,126,000
Income taxes	65,000	180,000
Current liabilities held-for-sale	56,000	1,601,000
Total current liabilities	5,045,000	8,008,000
Notes	4,000,000	4,250,000
Other long-term debt	64,000	68,000
Long-term debt before current maturities	4,064,000	4,318,000
Less: current maturities	270,000	267,000
Long-term debt	3,794,000	4,051,000
Pension & postretirement liabilities	664,000	844,000
Deferred income taxes	425,000	210,000
Asbestos litigation	340,000	...
Pension liabilities	...	844,000
Postretirement liabilities, excluding current portion	...	193,000
Other liabilities	546,000	482,000
Noncurrent liabilities held-for-sale	5,000	326,000
Common stock	477,000	477,000
Additional paid-in capital	297,000	205,000
Retained earnings (accumulated deficit)	21,995,000	21,716,000
Foreign currency translation	(369,000)	(812,000)
Pension & postretirement	(662,000)	(1,162,000)
Cash flow hedges	12,000	(25,000)
Accumulated other comprehensive income (loss)	(1,019,000)	(1,999,000)
Less cost of common stock		

in treasury ..... 13,032,000 12,831,000  
Common stockholders' equity ..... 8,718,000 7,568,000  
Noncontrolling interests in subsidiaries ..... 52,000 50,000  
Total equity ..... 8,770,000 7,618,000

☐ Reclassified to conform with 2017 presentation

**EMERSON ELECTRIC CO.**

**Offering** On Nov. 20, 2017, Co. announced a public offering pursuant to Common Stock, par value \$0.50 per share. Co. proposed to offer 1,000,000 shares at a proposed maximum offering price per share of \$58.61, which amounted to a proposed maximum aggregate offering price of \$58,610,000. The amount of registration fee is \$7,296,95.

**EMERSON RADIO CORP.**

**Earnings, 6 mos. to Sep 30(Consol. - \$000):**

	2017	2016
Total revenues	6,723	12,663
Cost & expenses	8,954	12,420
Operating income	(2,231)	243
Net before taxes	(1,997)	351
Income taxes	(65)	240
Net income	(1,932)	111
Earnings common share		
Primary	\$(0.07)	\$.....
Fully Diluted	\$(0.07)	\$.....
Common Shares:		
Full Diluted	26,640	27,130
Year-end	24,573	27,130

**ENERGIZER HOLDINGS INC (NEW)**

**Annual Report**

**Consolidated Income Statement, Years Ended Sept. 30**

	2017	2016 (revised)	☐2015 (revised)
Net sales	1,755,700	1,634,200	1,631,600
Cost of products sold	944,400	921,800	875,400
Gross profit	811,300	712,400	756,200
Selling, general & administrative expense	349,600	352,600	426,300
Advertising & sales promotion expense	116,100	102,400	132,300
Research & development expense	22,000	26,600	24,900
Amortization of intangibles assets	11,200	2,800	...
Venezuela deconsolidation charge	...	...	65,200
Spin restructuring	(3,800)	5,800	39,100
Restructuring	...	2,500	9,600
Gain (loss) on sale of real estate	16,900	...	...
Interest expense	53,100	54,300	77,900
Other financing items, net	(6,700)	300	18,400
Earnings (loss) before income taxes - United States	96,400	40,200	(144,500)
Earnings (loss) before income taxes - foreign	176,900	125,500	143,800
Earnings (loss) before income taxes	273,300	165,700	(700)
Current federal income taxes	39,400	9,500	(20,600)
Current state income taxes	4,200	3,000	(1,400)
Current foreign income taxes	32,600	21,300	32,400
Current income taxes	76,200	33,800	10,400
Deferred federal income taxes	(7,400)	5,500	(3,500)
Deferred state income taxes	(200)	(2,400)	(200)
Deferred foreign income taxes	3,200	1,100	(3,400)

Deferred income taxes ..... (4,400) 4,200 (7,100)  
Income taxes ..... 71,800 38,000 3,300  
Net earnings (loss) .. 201,500 127,700 (4,000)

Weighted average shares outstanding - basic ..... 61,700 61,900 62,200  
Weighted average shares outstanding - diluted ..... 62,600 62,500 62,200  
Year end shares outstanding ..... 60,709 61,673 62,195  
Net earnings (loss) per share - basic ..... \$3.27 \$2.06 \$(0.06)  
Net earnings (loss) per share - diluted ..... \$3.22 \$2.04 \$(0.06)  
Dividends per common share ..... \$1.10 \$1.00 \$0.25  
Total number of employees ..... ☐☐4,400 ☐☐4,800 ☐☐5,100  
Number of stockholders ..... ☐☐8,613 ☐☐9,240 ☐☐9,480  
Foreign currency translation adjustments ..... 6,300 10,200 ...

☐ Reclassified to conform with 2016 presentation; ☐ As is; ☐ Approximately

**Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):**

	2017	☐2016 (revised)
Cash & cash equivalents	378,000	287,300
Trade receivables, gross	236,000	197,800
Less: allowances for returns & doubtful accounts	5,800	6,900
Trade receivables, net	230,200	190,900
Raw materials & supplies	36,600	46,100
Work in process	84,800	72,000
Finished goods	195,700	171,100
Inventories	317,100	289,200
Miscellaneous receivables	13,700	27,700
Prepaid expenses	52,700	70,000
Value added tax collectible from customers	23,400	22,900
Other current assets	5,100	1,500
Total current assets	1,020,200	889,500
Land	4,600	9,800
Buildings	122,400	138,200
Machinery & equipment	697,900	771,900
Construction in progress	19,400	16,600
Property, plant & equipment, gross	844,300	936,500
Less: accumulated depreciation	667,800	734,800
Property, plant & equipment, net	176,500	201,700
Goodwill	230,000	229,700
Other intangible assets, net	223,800	234,700
Long term deferred tax asset	47,700	63,700
Other assets	125,400	112,200
Total assets	1,823,600	1,731,500
Current maturities of long-term debt	4,000	4,000
Notes payable	104,100	57,400
Accounts payable	219,300	217,000
Accrued advertising, sales promotion & allowances	21,800	16,900
Accrued trade allowances	51,100	54,000
Accrued salaries, vacations & incentive compensation	54,400	59,300
Spin restructuring reserve	...	4,000
Income taxes payable	21,600	15,000
Other current liabilities	105,700	105,500
Total current liabilities	582,000	533,100
Senior notes	600,000	600,000
Senior secured term loan	392,000	396,000

Less: current portion	4,000	4,000
Less: unamortized debt discount & debt issuance fees	(9,500)	(10,300)
Long-term debt	978,500	981,700
Pensions & other retirement benefits	87,700	139,400
Deferred compensation	41,000	47,600
Other non-current liabilities	49,300	59,700
Total liabilities	1,738,500	1,761,500
Common stock	600	600
Additional paid-in capital	196,700	194,600
Retained earnings	198,700	70,900
Common stock in treasury, at cost	72,100	30,000
Foreign currency translation adjustments	(93,100)	(99,400)
Pension liability	(139,400)	(159,900)
Hedging activity	(4,500)	(700)
Interest rate swap	(1,800)	(6,100)
Accumulated other comprehensive income (loss)	(238,800)	(266,100)
Total shareholders' equity (deficit)	85,100	(30,000)

Reclassified to conform with 2017 presentation

#### ENERGY & TECHNOLOGY CORP

Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	2,112,773	1,286,190
Cost & expenses	2,883,834	1,915,421
Operating income	(853,637)	(712,206)
Interest expense	139,509	298,203
Other income (expense), net	167,213	
Gains or losses	(1,514)	(2,182)
Net before taxes	(827,447)	(1,012,591)
Net income	(827,447)	(1,012,591)
Earnings common share		
Common Shares:		
Full Diluted	165,560,766	165,560,766
Year-end	165,560,766	165,560,766

#### ENERGY TRANSFER PARTNERS LP (NEW)

**Secondary Offering** On Nov. 13, 2017, Co. announced it has priced an underwritten public offering of 950,000 of its 6.250% Series A Fixed-to-Floating Rate Cumulative Redeemable Perpetual Preferred Units (the "Series A Preferred Units") at a price of \$1,000 per unit, and 550,000 of its 6.625% Series B Fixed-to-Floating Rate Cumulative Redeemable Perpetual Preferred Units (the "Series B Preferred Units," and together with the Series A Preferred Units, the "Preferred Units") at a price of \$1,000 per unit.

#### ENERJEX RESOURCES INC

Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	1,102,001	1,625,433
Cost & expenses	2,305,193	11,022,712
Operating income	(1,508,876)	(9,709,601)
Other income (expense), net	531,846	2,312,261
Gains or losses	11,500,124	(2,449,855)
Net income	9,614,452	(10,849,132)
Balance for common	6,767,128	(12,979,736)
Earnings common share		
Primary	\$0.72	\$(1.54)
Fully Diluted	\$0.72	\$(1.54)
Common Shares:		
Full Diluted	15,151,107	8,423,936
Year-end	10,321,397	8,423,936

#### ENERJEX RESOURCES INC

**Offering** On Nov. 22, 2017, Co. announced a public offering pursuant to (a) Series A Preferred Stock, par value \$0.001 per share; and (b) Common Stock issuable upon conversion of Series A Preferred Stock, par value \$0.001 per share. Co. proposed to offer (i) 241,599 Series A Preferred Stock, par value \$0.001 per share Series A Preferred Stock, par value \$0.001 per share at a proposed maximum offering price per security of \$ 1.70, which amounted to a proposed maximum aggregate offering price of \$4,879,255.00. The amount of registration fee is \$51.13, and (ii) 22,496,190 Common Stock issuable upon conversion of Series A

Preferred Stock, par value \$0.001 per share at a proposed maximum offering price per security of \$0.3001, which amounted to a proposed maximum aggregate offering price of \$6,751,107. The amount of registration fee is \$840.51.

#### ENLINK MIDSTREAM LLC

Earnings, 9 mos. to Sep 30(Consol. - \$000):

	2017	2016
Total revenues	3,983,400	3,027,500
Cost & expenses	3,378,800	3,368,200
Deprec., depl. & amort.	407,100	373,000
Operating income	197,500	(713,700)
Interest expense	142,200	138,900
Other income (expense), net	14,500	(400)
Net before taxes	69,800	(853,000)
Income taxes	9,300	6,000
Net income	60,500	(859,000)
Earnings common share		
Primary	\$0.06	\$(2.54)
Fully Diluted	\$0.06	\$(2.54)
Common Shares:		
Full Diluted	181,700	179,600
Year-end	180,587	180,049

#### ENPRO INDUSTRIES INC

**Offering** On Oct. 5, 2017, Co. announced a public offering pursuant to 5.875% Senior Notes due 2022. Co. proposed to offer \$150,000,000 at a proposed maximum offering price per unit of 100%, which amounted to a proposed maximum aggregate offering price of \$150,000,000. The amount of registration fee is \$18,675.

#### ENSERVO CORP

Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	26,623,167	17,948,622
Cost & expenses	27,116,024	20,673,358
Operating income	(5,362,117)	(7,693,229)
Other income (expense), net	(221,734)	12,204
Gains or losses		233,473
Net before taxes	(7,393,171)	(8,874,052)
Income taxes	(2,407,023)	(3,060,008)
Net income	(4,986,148)	(5,814,044)
Earnings common share		
Primary	\$(0.10)	\$(0.15)
Fully Diluted	\$(0.10)	\$(0.15)
Common Shares:		
Full Diluted	51,067,660	38,129,994
Year-end	51,067,660	38,130,160

#### ENSYNC INC

**Amendment of Annual Meeting Development** On Nov. 15, 2017, Co.'s Annual Meeting has been adjourned to 10:00 a.m. local time on Tuesday, Dec. 19, 2017, at Co.'s corporate headquarters at N93 W14475 Whittaker Way, Menomonee Falls, WI 53051 to allow additional time for the shareholders to vote on the proposals set forth in Co.'s proxy statement filed with the SEC.

#### ENSYNC INC

Earnings, 3 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	2,362,048	7,656,561
Cost & expenses	6,265,528	12,257,647
Operating income	(4,000,872)	(4,755,443)
Interest income	7,133	11,358
Other income (expense), net	19,973	32,087
Net before taxes	(3,985,024)	(4,724,995)
Net income	(3,985,024)	(4,724,995)
Balance for common	(3,975,071)	(4,718,167)
Earnings common share		
Primary	\$(0.07)	\$(0.10)
Fully Diluted	\$(0.07)	\$(0.10)
Common Shares:		
Full Diluted	55,550,492	47,753,604
Year-end	55,604,327	47,824,821

Consolidated Balance Sheet Items, as of (\$):

	2017	2016
Assets:		
Cash & equivalents	9,103,979	
Inventories	2,342,562	
Current assets	13,031,370	
Net property & equip.	2,902,104	
Total assets	18,771,287	
Liabilities:		
Current liabilities	3,301,198	
Long-term debt	331,827	
Stockholders' equity	13,522,627	
Net current assets	9,730,172	

#### ENTERCOM COMMUNICATIONS CORP

**Merger Completed** On Nov. 17, 2017, Co.'s wholly-owned subsidiary, Constitution Merger Sub Corp. ("Merger Sub"), merged with and into CBS Corp.'s wholly-owned subsidiary, CBS Radio Inc. ("CBS Radio"), with CBS Radio continuing as the surviving corporation and became a wholly-owned subsidiary of Co. As the result of the merger, each issued and outstanding share of CBS Radio was converted into the right to receive one share of Co.'s Class A common stock, par value \$0.01 per share (the "Common Stock"). Co. issued 101,407,494 shares of Common Stock to the former stockholders of CBS Radio, together with cash in lieu of any fractional shares. Immediately after consummation of the Merger, approximately 72% of the economic interest in Co. was held by pre-Merger shareholders of CBS Radio common stock and approximately 28% of the economic interest in Co. was held by pre-Merger shareholders of Co.

#### ENVIROSTAR INC

Earnings, 3 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	26,273,000	9,472,000
Cost & expenses	25,290,000	8,906,000
Operating income	983,000	566,000
Net before taxes	917,000	566,000
Income taxes	354,000	214,000
Net income	563,000	352,000
Earnings common share		
Primary	\$0.05	\$0.05
Fully Diluted	\$0.05	\$0.05
Common Shares:		
Full Diluted	10,849,000	7,034,000
Year-end	10,467,713	7,033,732

Consolidated Balance Sheet Items, as of (\$):

	2017	2016
Assets:		
Cash & equivalents	414,000	
Inventories	9,231,000	
Current assets	22,400,000	
Net property & equip.	1,180,000	
Total assets	55,595,000	
Liabilities:		
Current liabilities	18,290,000	
Long-term debt	4,161,000	
Stockholders' equity	33,144,000	
Net current assets	4,110,000	

#### ENZO BIOCHEM, INC.

**Annual Meeting Development** On Nov. 22, 2017, 2017, Co. scheduled its annual Meeting of Shareholders for Jan. 5, 2018, at 9:00 a.m., New York City time, at The Yale Club of New York City, 50 Vanderbilt Avenue, New York, NY 10017.

#### ERIN ENERGY CORP

Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Cost & expenses	153,535,000	95,415,000
Operating income	(124,255,000)	(77,309,000)
Other income (expense), net	15,000	
Foreign currency	5,027,000	14,610,000
Net before taxes	(140,050,000)	(79,116,000)
Net income	(140,050,000)	(79,116,000)
Earnings common share		
Primary	\$(0.65)	\$(0.37)
Fully Diluted	\$(0.65)	\$(0.37)
Common Shares:		
Full Diluted	213,293,000	212,220,000
Year-end	214,954,229	212,596,387

#### ESCO TECHNOLOGIES, INC.

**Annual Meeting Development** On Nov. 22, 2017, 2017, Co. scheduled its annual Meeting of Shareholders for Friday, Feb. 2, 2018, at 9:30 a.m. Central Time at the headquarters of ETS-Lindgren Inc., a subsidiary of Co., located at 1301 Arrow Point Drive, Cedar Park, TX 78613.

#### ESPEY MANUFACTURING & ELECTRONICS CORP.

Earnings, 3 mos. to Sep 30(Consol. - \$):

	2017	2016
Net Sales	7,496,423	6,068,684
Cost & expenses	6,914,089	5,497,603
Operating income	582,334	571,081
Other income (expense), net	10,172	7,002
Net before taxes	623,730	590,104
Income taxes	180,966	169,279
Net income	442,764	420,825
Earnings common share		
Primary	\$0.19	\$0.18
Fully Diluted	\$0.19	\$0.18



Currency translation adjustment	(222,629)	(268,845)
Accumulated other comprehensive income (loss)	(266,878)	(348,857)
Total Esterline Technologies Corp shareholders' equity (deficit)	1,836,607	1,600,557
Noncontrolling interests	10,521	10,574
Total shareholders' equity (deficit)	1,847,128	1,611,131

□ Reclassified to conform with 2017 presentation

#### EVER-GLORY INTERNATIONAL GROUP, INC.

Earnings, 9 mos. to Sep 30 (Consol. - \$):

	2017	2016
Net Sales	285,148,000	282,295,000
Cost & expenses	277,846,000	277,228,000
Operating income	7,302,000	5,067,000
Other income (expense), net	3,088,000	939,000
Net before taxes	10,092,000	5,349,000
Income taxes	3,573,000	2,385,000
<b>Net income</b>	<b>6,519,000</b>	<b>2,964,000</b>
Earnings common share		
Primary	\$0.47	\$0.23
Fully Diluted	\$0.47	\$0.23
Common Shares:		
Full Diluted	14,791,778	14,787,044
Year-end	14,792,836	14,787,940

#### EVOLUTION PETROLEUM CORP

Earnings, 3 mos. to Sep 30 (Consol. - \$):

	2017	2016
Total revenues	8,537,871	7,593,940
Cost & expenses	4,482,869	3,592,908
Operating income	2,536,459	2,727,593
Interest expense	20,455	20,345
Other income (expense), net	14,850	(1,297)
Net before taxes	2,530,854	2,705,951
Income taxes	390,322	889,176
<b>Net income</b>	<b>2,140,532</b>	<b>1,816,775</b>
Balance for common	2,140,532	563,345
Earnings common share		
Primary	\$0.06	\$0.02
Fully Diluted	\$0.06	\$0.02
Common Shares:		
Full Diluted	33,147,508	33,007,599
Year-end	33,066,717	33,045,515

Consolidated Balance Sheet Items, as of (\$):

	2017
Assets:	
Cash & equivalents	24,129,161
Current assets	27,447,820
Net property & equip.	60,938,375
Total assets	88,664,121
Liabilities:	
Current liabilities	3,037,463
Stockholders' equity	68,505,647
Net current assets	24,410,357

#### EVOLUTION PETROLEUM CORP

**New Accountant** On Nov. 16, 2017, Hein & Associates LLP resigned as Co.'s independent public accounting firm, subsequently, Co. engaged Moss Adams LLP as its new independent public accounting firm.

#### EVOQUA WATER TECHNOLOGIES CORP

Annual Report

Consolidated Income Statement, Years Ended Sept. 30

(\$000):

	□2016	□2015	□2014
Revenue from product sales	587,087	533,649	414,884
Revenue from services	550,109	527,317	376,270
Revenues	1,137,196	1,060,966	791,154
Cost of product sales	407,354	385,243	366,107
Cost of services	396,777	383,306	334,138
Cost of revenue	804,131	768,549	700,245
Gross profit	333,065	292,417	90,909
Research & development	22,897	25,909	18,829

Sales & marketing expenses	135,208	124,429	90,947	Income tax receivable	4,457	8,837
General & administrative expenses	144,771	147,663	104,122	Machinery & equipment	264,302	216,282
Other operating income	10,079	1,237	307	Land & buildings	79,423	79,637
Other operating expenses	3,113	1,163	829	Construction in progress	27,388	20,664
Interest expense	42,518	34,060	23,994	Property, plant & equipment, gross	371,113	316,583
Equity in income of non-consolidated affiliate	...	1,424	1,283	Less: Accumulated depreciation	114,348	80,755
Income (loss) before provision for income taxes	(5,363)	(38,146)	(146,222)	Property, plant & equipment, net	256,765	235,828
Current state income taxes	678	(131)	...	Investment in affiliate	...	6,873
Current rest of world income taxes	2,143	2,782	3,951	Goodwill	267,643	113,583
Current income taxes	2,821	2,651	3,951	Intangible assets, net	323,478	180,137
Deferred federal income taxes	(18,638)	34,789	(31,744)	Deferred income taxes	...	7
Deferred state income taxes	(1,402)	6,243	(5,789)	Other non-current assets	30,009	21,970
Deferred rest of world income taxes	(1,175)	4,222	(14,883)	Total assets	1,296,231	1,039,871
Deferred income taxes	(21,215)	45,254	(52,416)	Total current liabilities	284,862	249,359
Income tax (expense) benefit	(18,394)	47,905	(48,465)	Accounts payable	102,379	78,305
<b>Net income (loss)</b>	<b>13,031</b>	<b>(86,051)</b>	<b>(97,757)</b>	Current portion of debt	30,024	5,050
Net income attributable to non-controlling interest	(1,392)	...	...	Billings in excess of costs incurred	22,175	35,616
Net (loss) income attributable to EWT Holdings I Corp.	11,639	(86,051)	(97,757)	Product warranties	16,860	23,005
Weighted average units outstanding - basic	104,254	101,763	100,964	Salaries, wages & other benefits	44,776	50,472
Weighted average units outstanding - diluted	106,161	101,763	100,964	Severance payments	13,217	1,814
Year end units outstanding	104,495	101,742	101,621	Taxes, other than income	10,643	10,199
Net earnings (loss) per share - basic	\$0.11	\$(0.85)	\$(0.97)	Obligation under capital leases	6,231	6,601
Net earnings (loss) per share - diluted	\$0.11	\$(0.85)	\$(0.97)	Insurance liabilities	5,484	5,620
Total number of employees	□200	...	...	Provisions for litigation	3,894	3,571
				Pension payable	1,255	1,232
				Third party commissions	9,155	8,771
				Other accrued expenses & other liabilities	16,652	12,535
				Accrued expenses & other liabilities	111,307	100,815
				Income tax payable	2,117	4,833
				Deferred income taxes	...	1,735
				Total non-current liabilities	807,434	616,152
				First lien term facility	491,113	496,163
				Second lien term facility	75,000	75,000
				Incremental first lien facility	184,075	...
				Revolving credit facility	18,000	...
				Notes payable	11,223	...
				Less unamortized discount & lender fees	(21,255)	(19,081)
				Total net debt	758,156	552,082
				Less current portion	30,024	5,050
				Long-term debt	728,132	547,032
				Product warranties	6,449	8,753
				Other non-current liabilities	64,290	56,640
				Deferred tax liabilities, net	8,563	3,727
				Total liabilities	1,092,296	865,511
				Common stock	1,045	1,018
				Treasury stock	1,133	410
				Additional paid-in capital	381,223	362,073
				Retained earnings (accumulated deficit)	(172,169)	(183,808)
				Accumulated other comprehensive income (loss)	(10,671)	(4,513)
				Total EWT Holdings I Corp. equity	198,295	174,360
				Non-controlling interests	5,640	...
				Total shareholders' equity	203,935	174,360

□ Successor; □ January 16, 2014 through September 30, 2014.

□ As of July 28, 2017; □ As is; □ Approximately

Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):

	2016	2015
Total current assets	418,336	481,473
Cash & cash equivalents	50,362	169,027
Accounts receivable, gross	189,573	162,063
Allowance for doubtful accounts	4,784	1,572
Receivables, net	184,789	160,491
Raw materials & supplies	60,363	47,100
Work in process	17,039	12,838
Finished goods & products held for resale	39,961	33,834
Costs of unbilled projects	5,825	5,861
Advance payment from customers	(655)	(1,919)
Reserves for excess & obsolete	10,141	5,103
Inventories, net	112,392	92,611
Cost & earnings in excess of billings on uncompleted contracts	50,081	34,823
Prepaid & other current assets	16,255	13,797
Deferred income taxes	...	1,887

#### FARMACIAS BENAVIDES SAB DE CV

Annual Report

Consolidated Income Statement, Years Ended Dec. 31

(Mex\$000):

	2016	□2015	□2014
Sale of goods	13,243,834	12,569,876	11,344,858
Rendering of services	159,434	181,727	128,319
Total revenues	13,403,268	12,751,603	11,473,177
Cost of sales	(10,015,476)	(9,582,843)	(8,523,965)
Gross margin	3,387,792	3,168,760	2,949,212





proximately; <sup>¶</sup> As of October 1, 2017; <sup>§</sup> As of October 1, 2016;  
<sup>□</sup> As of October 1, 2015

**Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):**

	2017	2016 (revised)
Fixed maturities securities, available-for-sale, at fair value	21,154,000	19,411,000
Equity securities, available-for-sale, at fair value	773,000	683,000
Derivative investments	413,000	276,000
Commercial mortgage loans	547,000	595,000
Other invested assets	185,000	60,000
Related party loans	71,000	71,000
Cash & cash equivalents	885,000	864,000
Accrued investment income	231,000	214,000
Reinsurance recoverable	3,375,000	3,464,000
Intangibles, net	1,129,000	1,026,000
Other assets	202,000	371,000
Total assets	28,965,000	27,035,000
Contractholder funds	20,792,000	19,251,000
Future policy benefits	3,412,000	3,467,000
Funds withheld for reinsurance liabilities	1,083,000	1,172,000
Liability for policy & contract claims	67,000	55,000
Debt	300,000	300,000
Revolving credit facility	105,000	100,000
Deferred tax liability, net	62,000	10,000
Amounts payable for investment purchases	173,000	106,000
Retained asset account	194,000	221,000
Option collateral liabilities	276,000	118,000
Remittances & items not allocated	39,000	77,000
Amounts payable to reinsurers	36,000	36,000
Accrued expenses	55,000	60,000
Deferred reinsurance revenue	23,000	25,000
Other liabilities	101,000	103,000
Total liabilities	26,718,000	25,101,000
Common stock	1,000	1,000
Additional paid-in capital	716,000	714,000
Retained earnings	1,000,000	792,000
Accumulated other comprehensive income	543,000	439,000
Treasury stock, at cost	13,000	12,000
Total shareholder's equity	2,247,000	1,934,000

<sup>¶</sup> Amortized cost - fixed maturities securities, available-for-sale: \$20,063,000,000; <sup>§</sup> Amortized cost - fixed maturities securities, available-for-sale: \$18,521,000,000; <sup>□</sup> Amortized cost - equity securities, available-for-sale: \$733,000,000; <sup>□</sup> Amortized cost - equity securities, available-for-sale: \$640,000,000

**FIRST HARTFORD CORP**

**Annual Meeting Development** On Nov. 16, 2017, 2017 Co. scheduled its annual Meeting of Shareholders for Wednesday, Jan. 17, 2018 at 10:00 a.m. local time, The Hartford Club Ū 46 Prospect Street, Hartford, CT.

**FLEXIBLE SOLUTIONS INTERNATIONAL INC**

**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Net Sales	12,655,460	12,162,852
Cost & expenses	11,050,423	9,762,453
Operating income	1,605,037	2,400,399
Interest income	323	2,161
Other income (expense), net	(51,346)	.....
Gains or losses	1,938,286	6,848
Net before taxes	3,492,300	2,409,408
<b>Net income</b>	<b>3,247,366</b>	<b>1,388,995</b>
Earnings common share		
Primary	\$0.28	\$0.12
Fully Diluted	\$0.28	\$0.12
Common Shares:		
Full Diluted	11,715,934	11,616,265

Year-end .....11,507,991 11,451,991  
**FLOOR & DECOR HOLDINGS INC**  
**Secondary Offering** On Nov. 15, 2017, Co. announced the pricing of an underwritten public offering of 6,500,000 shares of common stock by certain stockholders of Co., including funds affiliated with Ares Management, L.P. and Freeman Spogli Management Co., L.P. and certain directors of Co. (collectively, the "Selling Stockholders"), at a public offering price of \$36.00 per share. In addition, the Selling Stockholders have granted to the underwriters a 30-day option to purchase up to 975,000 additional shares of common stock. Co.y is not selling any shares in this offering and will not receive any proceeds from the sale of the shares by the Selling Stockholders or the exercise of the underwriters' option to purchase additional shares of common stock.

**FLOTEK INDUSTRIES INC**

**New Accountant** On Nov. 16, 2017, Hein & Associates LLP resigned as Co.'s independent public accounting firm, subsequently, Co. engaged Moss Adams LLP as its new independent public accounting firm.

**FRANKLIN COVEY CO**

**Annual Report**

**Consolidated Income Statement, Years Ended Aug. 31 (\$000):**

	2017	2016 (revised)	2015 (revised)
Net sales - training & consulting services	177,816	189,661	198,695
Net sales - products	3,881	6,009	6,885
Net sales - leasing	3,559	4,385	4,361
Net sales	185,256	200,055	209,941
Cost of sales - training & consulting services	56,557	59,158	66,370
Cost of sales - products	3,990	3,206	3,306
Cost of sales - leasing	2,042	2,537	2,176
Total cost of sales	62,589	64,901	71,852
Gross profit	122,667	135,154	138,089
Selling, general, & administrative expenses	121,148	113,589	108,802
Impaired assets	.....	.....	1,302
Contract termination costs	1,500	.....	.....
Restructuring costs	1,482	776	587
Depreciation expenses	3,879	3,677	4,142
Amortization	3,538	3,263	3,727
Income (loss) from operations	(8,880)	13,849	19,529
Interest income	379	325	383
Interest expense	2,408	2,263	2,137
Discount on related-party receivables	.....	.....	363
Income (loss) before income taxes - United States	(10,126)	9,328	15,073
Income before income taxes - foreign	(783)	2,583	2,339
Income (loss) before income taxes	(10,909)	11,911	17,412
Current provision (benefit) for income taxes - federal	(69)	380	220
Current provision (benefit) for income taxes - state	71	197	208
Current provision for income taxes - foreign	2,320	2,553	2,691
Total current provision (benefit) for income taxes	2,322	3,130	3,119
Deferred provision (benefit) for income taxes -			

federal	1,227	1,584	3,239
Deferred provision (benefit) for income taxes - state	17	(70)	138
Deferred provision (benefit) for income taxes - foreign	(468)	(50)	(200)
Benefit of foreign tax credit carryforward	(6,964)	.....	.....
Change in valuation allowance - deferred	129	301	.....
Total deferred provision (benefit) for income taxes	(6,059)	1,765	3,177
Income tax provision (benefit)	(3,737)	4,895	6,296
<b>Net income (loss)</b>	<b>(7,172)</b>	<b>7,016</b>	<b>11,116</b>
Weighted average shares outstanding - basic	13,819	14,944	16,742
Weighted average shares outstanding - diluted	13,819	15,076	16,923
Year end shares outstanding	13,642	13,724	16,147
Net income (loss) per share - basic	\$(0.52)	\$0.47	\$0.66
Net income (loss) per share - diluted	\$(0.52)	\$0.47	\$0.66
Total number of employees	850	870	810
Number of common stockholders	557	556	608
Foreign currency translation adjustments	(555)	1,030	(1,259)

<sup>¶</sup> As is; <sup>§</sup> Approximately; <sup>□</sup> As of October 31, 2017; <sup>□</sup> As of October 31, 2016; <sup>□</sup> As of October 31, 2015

**Consolidated Balance Sheet, Years Ended Aug. 31 (\$000):**

	2017	2016 (revised)
Cash & cash equivalents	8,924	10,456
Accounts receivables, gross	68,653	67,539
Less: allowance for doubtful accounts	2,310	1,579
Accounts receivable, net	66,343	65,960
Receivable from related party	1,020	1,933
Finished goods	3,306	5,002
Raw materials	47	40
Inventories	3,353	5,042
Income taxes receivable	259	.....
Prepaid expenses	3,569	2,949
Other current assets	8,367	3,401
Total current assets	91,835	89,741
Land & improvements	1,312	1,312
Buildings	30,044	32,201
Machinery & equipment	2,119	2,279
Computer hardware & software	22,647	18,552
Furniture, fixtures & leasehold improvements	8,319	9,292
Property & equipment, gross	64,441	63,636
Less accumulated depreciation	44,711	47,553
Property & equipment, net	19,730	16,083
Intangible assets, net	57,294	50,196
Goodwill	24,220	19,903
Long-term receivable from related party	727	1,235
Other long-term assets	16,925	13,713
Total assets	210,731	190,871
Current portion of financing obligation	1,868	1,662
Current portion of term		

note payable	6,250	3,750
Accounts payable	9,119	10,376
Deferred revenue	40,772	20,847
Accrued compensation	10,611	8,810
Other accrued liabilities	12,006	8,612
Accrued liabilities	22,617	17,422
Total current liabilities	80,626	54,057
Line of credit	4,377	...
Financing obligation	22,943	24,605
Less current portion	1,868	1,662
Term note payable, less current portion	12,813	10,313
Other liabilities	5,742	3,173
Deferred income tax liabilities	1,033	6,670
Total liabilities	125,666	97,156
Common stock	1,353	1,353
Additional paid-in capital	212,484	211,203
Retained earnings (accumulated deficit)	69,456	76,628
Accumulated other comprehensive income (loss)	667	1,222
Treasury stock at cost	198,895	196,691
Total shareholders' equity	85,065	93,715

□ Reclassified to conform with 2017 presentation

#### FRIEDMAN INDUSTRIES, INC.

Earnings, 6 mos. to Sep 30(Consol. - \$):

	2017	2016
Net Sales	49,160,979	40,711,270
Cost & expenses	48,483,305	44,018,548
Operating income	686,424	(3,277,778)
Net before taxes	686,424	(3,277,778)
Income taxes	205,765	(1,212,677)
<b>Net income</b>	<b>480,659</b>	<b>(2,065,101)</b>
Earnings common share		
Primary	\$0.07	\$(0.30)
Fully Diluted	\$0.07	\$(0.30)
Common Shares:		
Full Diluted	7,009,444	6,799,444
Year-end	7,009,444	6,799,444

#### FRIEDMAN INDUSTRIES, INC.

**New Accountant** On Nov. 16, 2017, Hein & Associates LLP resigned as Co.'s independent public accounting firm, subsequently, Co. engaged Moss Adams LLP as its new independent public accounting firm.

#### GARDNER DENVER HOLDINGS INC

Earnings, 9 mos. to Sep 30(Consol. - \$000):

	2017	2016
Total revenues	1,710,400	1,361,600
Cost & expenses	1,591,800	1,205,000
Operating income	31,000	65,800
Interest expense	115,400	128,700
Other income (expense), net	(81,900)	2,600
Net before taxes	(166,300)	(60,300)
Income taxes	(41,200)	(33,300)
<b>Net income</b>	<b>(125,100)</b>	<b>(27,000)</b>
Earnings common share		
Primary	\$(0.71)	\$(0.18)
Fully Diluted	\$(0.71)	\$(0.18)
Common Shares:		
Full Diluted	175,700	148,800
Year-end	196,011	...

#### GARDNER DENVER HOLDINGS INC

**Secondary Offering** On Nov. 15, 2017, Co. announced underwritten secondary offering by certain stockholders (the "Selling Stockholders"), including investment funds affiliated with Kohlberg Kravis Roberts & Co. L.P. ("KKR"), of 22,000,000 shares of common stock of Co. pursuant to a registration statement filed by Co. with the U.S. Securities and Exchange Commission (the "SEC"), at the public offering price of \$27.25 per share. KKR has granted the underwriters a 30-day option to purchase up to an additional 3,300,000 shares. No shares are being sold by Co. The Selling Stockholders will receive all of the proceeds from this offering. The offering is expected to close on Nov. 20, 2017, subject to customary closing conditions.

#### GASTAR EXPLORATION INC (NEW)

Earnings, 9 mos. to Sep 30(Consol. - \$000):

	2017	2016
Total revenues	56,647	39,967
Cost & expenses	31,911	73,199
Operating income	7,974	(57,775)
Interest expense	29,744	26,739
Other income (expense), net	(12,006)	(2)
Net before taxes	(33,776)	(84,516)
<b>Net income</b>	<b>(33,776)</b>	<b>(84,516)</b>
Balance for common	(44,631)	(95,371)
Earnings common share		
Primary	\$(0.23)	\$(0.92)
Fully Diluted	\$(0.23)	\$(0.92)
Common Shares:		
Full Diluted	190,746	104,125
Year-end	218,947	131,725

#### GELTECH SOLUTIONS INC

Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Net Sales	954,100	920,741
Cost & expenses	3,381,863	3,737,950
Operating income	(2,427,763)	(2,817,209)
Interest income	9	8
Interest expense	636,839	516,281
Other income (expense), net	(227,251)	(72,765)
Gains or losses	(72,765)	(72,765)
<b>Net income</b>	<b>(3,064,593)</b>	<b>(3,633,498)</b>
Earnings common share		
Primary	\$(0.05)	\$(0.07)
Fully Diluted	\$(0.05)	\$(0.07)
Common Shares:		
Full Diluted	58,816,056	50,562,616
Year-end	70,520,807	52,305,513

#### GENERAC HOLDINGS INC

Earnings, 9 mos. to Sep 30(Consol. - \$000):

	2017	2016
Net Sales	1,184,443	1,027,032
Cost & expenses	1,005,898	874,121
Operating income	156,991	127,386
Other income (expense), net	(3,105)	(430)
Invest. income	57	36
Net before taxes	121,590	90,321
Income taxes	42,946	33,154
<b>Net income</b>	<b>78,644</b>	<b>57,167</b>
Earnings common share		
Primary	\$1.27	\$0.87
Fully Diluted	\$1.26	\$0.87
Common Shares:		
Full Diluted	62,703	65,992
Year-end	70,612	63,837

#### GENERAL MOLY INC

Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Cost & expenses	5,329,000	5,396,000
Operating income	(5,329,000)	(5,396,000)
Net before taxes	(6,048,000)	(6,161,000)
<b>Net income</b>	<b>(6,048,000)</b>	<b>(6,161,000)</b>
Earnings common share		
Primary	\$(0.05)	\$(0.06)
Fully Diluted	\$(0.05)	\$(0.06)
Common Shares:		
Full Diluted	111,141,000	110,498,000
Year-end	111,167,877	110,568,319

#### GENON ENERGY INC

**Bankruptcy Proceedings** On Nov. 6, 2017, Co. filed with the U.S. Bankruptcy Court a monthly operating report for Sept. 2017. For the month, the consolidated Debtors reported net income of \$10,500,000 on \$151,700,000 in total operating revenues and paid \$11,900,000 in total reorganization expenses; \$1,800,000 in general and administrative expenses and total operating costs and expenses of \$123,800,000. Co. also reported \$137,100,000 in cash disbursements and \$147,300,000 in cash receipts. Cash at the beginning of Sept. 2017 was \$559,900,000 and \$570,100,000 at month's end, with net operating cash flow of \$19,200,000.

#### GIGAMON INC

**Special Meeting of Stockholders** On Nov. 24, 2017, Co. scheduled its Special Meeting of Stockholders on Dec. 22, 2017, at 9:00 am, Pacific time, at Co.'s principal executive offices at 3300 Olcott Street, Santa Clara, CA 95054.

#### GIGGLES N' HUGS INC

Earnings, 9 mos. to (Consol. - \$):

	10/01/17	09/25/16
Net Sales	1,890,505	2,338,755
Cost & expenses	3,074,002	3,148,814
Operating income	(1,183,497)	(810,059)
Other income (expense), net	(51,843)	(205,128)
Gains or losses	...	220,082
Net before taxes	(1,312,259)	(1,219,457)
Income taxes	2,650	(616)
<b>Net income</b>	<b>(1,314,909)</b>	<b>(1,218,841)</b>
Earnings common share		
Primary	\$(0.01)	\$(0.03)
Fully Diluted	\$(0.01)	\$(0.03)
Common Shares:		
Full Diluted	125,101,775	43,661,733
Year-end	144,777,251	47,772,769

#### GLOBALSTAR INC

Earnings, 9 mos. to Sep 30(Consol. - \$000):

	2017	2016
Total revenues	83,233	72,466
Cost & expenses	63,754	61,513
Operating income	(38,505)	(46,872)
Other income (expense), net	(1,143)	51,905
Net before taxes	(66,280)	(21,987)
Income taxes	209	(6,562)
<b>Net income</b>	<b>(66,489)</b>	<b>(15,425)</b>
Earnings common share		
Primary	\$(0.06)	\$(0.01)
Fully Diluted	\$(0.06)	\$(0.01)
Common Shares:		
Full Diluted	1,137,854	1,056,993
Year-end	1,185,774	1,080,332

#### GLOWPOINT INC

Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	11,417,000	14,950,000
Cost & expenses	12,496,000	15,219,000
Operating income	(2,449,000)	(1,778,000)
Other income (expense), net	9,045,000	...
Net before taxes	5,616,000	(2,913,000)
Income taxes	(230,000)	108,000
<b>Net income</b>	<b>5,846,000</b>	<b>(3,021,000)</b>
Balance for common	5,837,000	(3,030,000)
Earnings common share		
Primary	\$0.16	\$(0.09)
Fully Diluted	\$0.15	\$(0.09)
Common Shares:		
Full Diluted	38,078,000	35,480,000
Year-end	36,130,000	35,855,000

#### GODADDY INC

Earnings, 9 mos. to Sep 30(Consol. - \$000):

	2017	2016
Total revenues	1,629,700	1,362,000
Cost & expenses	1,438,700	1,208,200
Operating income	43,900	32,200
Other income (expense), net	36,100	(10,200)
Net before taxes	20,800	(21,000)
Income taxes	(6,600)	100
Income contin. oper.	27,400	(21,100)
Income discont. oper.	17,600	...
<b>Net income</b>	<b>45,000</b>	<b>(21,100)</b>
Earnings common share		
Primary	\$0.43	\$(0.19)
Fully Diluted	\$0.25	\$(0.19)
Common Shares:		
Full Diluted	177,009	77,170
Year-end	166,211	165,334

#### GOLDEN MINERALS CO

Earnings, 9 mos. to Sep 30(Consol. - \$000):

	2017	2016
Total revenues	5,107	4,768
Cost & expenses	6,519	8,633
Operating income	(1,868)	(5,182)
Other income (expense), net	...	(5,252)
Foreign currency	(20)	(63)
Net before taxes	(1,851)	(11,000)
Income taxes	...	(26)
<b>Net income</b>	<b>(1,851)</b>	<b>(10,974)</b>
Earnings common share		
Primary	\$(0.02)	\$(0.14)
Common Shares:		
Year-end	92,005	88,920

**GOLDFIELD CORP.****Earnings, 9 mos. to Sep 30(Consol. – \$):**

	2017	2016
Total revenues	84,340,960	98,669,619
Cost & expenses	70,400,489	77,000,447
Operating income	8,554,107	16,997,094
Interest income	23,509	25,369
Interest expense	474,512	457,313
Other income (expense), net	45,277	42,363
Net before taxes	8,148,381	16,607,513
Income taxes	3,018,861	6,089,367
Income contin. oper.	5,129,520	10,518,146
<b>Net income</b>	<b>5,026,033</b>	<b>10,410,139</b>
Earnings common share		
Primary	\$0.20	\$0.41
Fully Diluted	\$0.20	\$0.41
Common Shares:		
Full Diluted	25,451,354	25,451,354
Year-end	25,451,354	25,451,354

**GOLDMINING INC**

**Acquisition Completed** On Nov. 21, 2017, Co. acquired all the shares of a wholly-owned subsidiary of Lupaka Gold Corp. ("Lupaka"), which holds a 100% interest of the Crucero Gold Project (the "Project") located in Southeastern Peru (the "Project"), for 3,500,000 common shares of Co. plus C\$750,000 in cash. The Project is comprised of three mining and five exploration concessions with an aggregate area of 4,600 hectares. The three mining concessions are held indirectly by a subsidiary of Co. through a 30-year assignment from a third party running until 2038 and are subject to certain net smelter return royalties of 1-5%, based on monthly gold prices.

**GOODRICH PETROLEUM CORP****Earnings, 9 mos. to Sep 30(Consol. – \$):**

	2017	2016
Total revenues	35,097,000	19,827,000
Cost & expenses	27,122,000	23,501,000
Operating income	(918,000)	(11,672,000)
Other income (expense), net	496,000	(15,144,000)
Net before taxes	(6,219,000)	(37,948,000)
<b>Net income</b>	<b>(6,219,000)</b>	<b>(37,948,000)</b>
Balance for common	(6,219,000)	(49,185,000)
Earnings common share		
Primary	\$(0.64)	\$(0.64)
Fully Diluted	\$(0.64)	\$(0.64)
Common Shares:		
Full Diluted	9,765,000	77,125,000
Year-end	10,538,513	79,828,190

**GOODRICH PETROLEUM CORP**

**New Accountant** On Nov. 16, 2017, Hein & Associates LLP resigned as Co.'s independent public accounting firm, subsequently, Co. engaged Moss Adams LLP as its new independent public accounting firm.

**GOSSAN RESOURCES LTD.**

**Options Granted** On Nov. 24, 2017, Co. announced that it has awarded 830,000 incentive stock options to officers, directors, employees and consultants of Co. This grant of options is in compliance with the terms of Co.'s Stock Option Plan and remains subject to the acceptance of the TSX Venture Exchange. Five officers and directors were granted a total of 800,000 stock options exercisable at C\$0.08 per share with expiries of June 25, 2019 for 340,000 options; Mar. 18, 2021 for 100,000 options; and Mar. 29, 2022 for 360,000 options. Employees and consultants were granted a total of 30,000 stock options exercisable at C\$0.08 per share with an expiry of Mar. 29, 2022. This grant of options was awarded after the close on Nov. 23, 2017.

**GP STRATEGIES CORP.**

**Co. Repurchasing Certain Securities** On Nov. 22, 2017, Co. announced that its Board of Directors has authorized a \$10,000,000 increase in funding for its share repurchase program, which has approximately \$3,600,000 remaining, bringing the total authorization to approximately \$13,600,000. Co.'s share repurchase program allows management, at its discretion, to repurchase shares from time to time in the open market subject to market conditions and other factors.

**GRAFTECH INTERNATIONAL LTD.****Earnings, 9 mos. to Sep 30(Consol. – \$000):**

	2017	2016
Net Sales	358,298	322,530
Cost & expenses	371,283	392,214
Operating income	(12,985)	(69,684)
Interest income	320	169
Other income (expense), net	(3,610)	1,528

Net before taxes	(39,515)	(87,847)
Income taxes	3,249	(7,675)
Income contin. oper.	(42,764)	(80,172)
<b>Net income</b>	<b>(47,646)</b>	<b>(187,740)</b>

## Earnings common share

Common Shares:		
Year-end	0	0

**GRAN TIERRA ENERGY INC****Earnings, 9 mos. to Sep 30(Consol. – \$000):**

	2017	2016
Cost & expenses	134,424	599,502
Operating income	59,280	(504,444)
Interest income	954	1,928
Gains or losses	(9,076)	11,712
Net before taxes	59,280	(492,732)
Income taxes	50,186	(154,522)
<b>Net income</b>	<b>9,094</b>	<b>(338,210)</b>
Earnings common share		
Primary	\$0.02	\$(1.11)
Fully Diluted	\$0.02	\$(1.11)
Common Shares:		
Full Diluted	397,451	304,099
Year-end	394,771	355,672

**GRANITE CITY FOOD & BREWERY LTD****Earnings, 9 mos. to (Consol. – \$):**

	09/26/17	09/27/16
Net Sales	107,372,495	114,170,594
Cost & expenses	103,542,988	107,020,656
Deprec., depl. & amort.	6,038,607	5,444,177
Operating income	(2,209,100)	1,705,761
Net before taxes	(5,379,158)	(1,390,171)
Income taxes	49,442	60,614
<b>Net income</b>	<b>(5,428,600)</b>	<b>(1,450,785)</b>
Earnings common share		
Primary	\$(0.38)	\$(0.10)
Common Shares:		
Year-end	14,360,981	14,360,981

**GREENBRIER COMPANIES INC (THE)**

**Annual Meeting Development** On Nov. 14, 2017, Co. announced that its Annual Meeting of Stockholders will be held on Jan. 5, 2018 at 2:00 p.m. Pacific Time, at the Benson Hotel, 309 SW Broadway, Portland, OR.

**GRIFFON CORP.****Annual Report****Consolidated Income Statement, Years Ended Sept. 30 (\$000):**

	2017	2016	2015
Revenues	1,524,997	1,477,035	1,483,291
Cost of goods & services	1,116,881	1,076,342	1,090,944
Gross profit	408,116	400,693	392,347
Selling, general & administrative expenses	339,089	318,353	325,435
Income (loss) from operations	69,027	82,340	66,912
Interest expense	51,513	49,943	47,776
Interest income	64	66	261
Other income (expense), net	(880)	(250)	(331)
Total other income (expense)	(52,329)	(50,127)	(47,846)
Income (loss) before income taxes - domestic	(1,339)	23,163	6,184
Income (loss) before income taxes - non-U.S.	18,037	9,050	12,882
Income (loss) before taxes	16,698	32,213	19,066
Current provision (benefit) for income taxes	(3,426)	6,388	3,098
Deferred provision (benefit) for income taxes	2,341	6,044	3,674
Provision for income taxes	(1,085)	12,432	6,772
Income (loss) from continuing			

operations	17,783	19,781	12,294
Income (loss) from discontinued businesses	22,276	20,952	34,570
Benefit (provision) for income taxes	(25,147)	(10,723)	(12,575)
Income (loss) from discontinued operations	(2,871)	10,229	21,995
<b>Net income (loss)</b>	<b>14,912</b>	<b>30,010</b>	<b>34,289</b>
Weighted average shares outstanding-basic	41,005	41,074	44,608
Weighted average shares outstanding-diluted	43,011	44,109	46,939
Year end shares outstanding	47,106	45,169	...
Income (loss) per share from continuing operations-basic	\$0.43	\$0.48	\$0.28
Income (loss) per share from discontinued operations-basic	\$(0.07)	\$0.25	\$0.49
Net income (loss) per share-basic	\$0.36	\$0.73	\$0.77
Income (loss) per share from continuing operations-diluted	\$0.41	\$0.45	\$0.26
Income (loss) per share from discontinued operations-diluted	\$(0.07)	\$0.23	\$0.47
Net income (loss) per share-diluted	\$0.35	\$0.68	\$0.73
Total number of employees	4,700	...	...
Number of common stockholders	8,000	...	...

Restated to reflect the discontinued operations of Clopay Plastic Products Company, Inc. ("PPC"); As is; Approximately; Straight-line; As of October 31, 2017

**Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):**

	2017	2016
Cash & cash equivalents	47,681	72,553
Accounts receivable, gross	214,195	189,031
Less allowance for doubtful accounts	5,966	4,692
Accounts receivable, net	208,229	184,339
Contract costs & recognized income not yet billed, net	131,662	126,961
Raw materials & supplies	67,990	59,207
Work in process	78,846	69,164
Finished goods	152,601	132,946
Inventories, net	299,437	261,317
Prepaid & other current assets	40,067	23,429
Assets of discontinued operations held for sale	370,724	112,139
Assets of discontinued operations not held for sale	329	219
Total current assets	1,098,129	780,957
Land, buildings & building improvements	71,764	65,615
Machinery & equipment	462,173	444,250
Leasehold improvements	43,040	37,414
Property, plant & equipment, gross	576,977	547,279
Less accumulated depreciation & amortization	344,842	310,374
Property, plant & equipment, net	232,135	236,905
Goodwill	319,139	306,163
Intangible assets, net	205,127	197,949

Other assets	16,051	7,569	Cost & expenses	48,401	38,432	operations before			
Assets of discontinued operations held for sale	...	250,585	Operating income	73	875	income taxes -			
Assets of discontinued operations not held for sale	2,960	1,968	Other income (expense), net	(4)	112	domestic	(173,157)	(49,636)	675,425
Total assets	1,873,541	1,782,096	Gains or losses	226	(346)	Income (loss) from			
Notes payable & current portion of long-term debt	11,078	13,932	Net before taxes	355	693	continuing			
Accounts payable	183,951	148,130	Income taxes	399	275	operations before			
Accrued compensation	37,692	38,551	<b>Net income</b>	(44)	418	income taxes -			
Accrued interest	3,671	4,011	Earnings common share			foreign	(11,441)	(23,031)	(13,546)
Accrued warranties & rebates	6,236	6,322	Primary	\$	\$0.02	Income (loss) from			
Accrued insurance	12,216	13,131	Fully Diluted	\$	\$0.02	continuing			
Accrued rent, utilities & freight	2,149	1,525	Common Shares:			operations before			
Accrued income & other taxes	6,291	10,687	Full Diluted	19,205	18,288	income taxes	(184,598)	(72,667)	661,879
Accrued marketing & advertising	1,859	1,961	Year-end	19,378	18,683	Current income tax			
Other accrued liabilities	13,144	7,871	<b>HC2 HOLDINGS INC</b>			provision (benefit)			
Liabilities of discontinued operations held for sale	84,450	70,458	<b>Earnings, 9 mos. to Sep 30 (Consol. - \$000):</b>			- federal	(36,260)	(86,010)	84,229
Liabilities of discontinued operations not held for sale	8,342	1,684	2017	2016		Current income tax			
Total current liabilities	371,079	318,263	Total revenues	1,175,629	1,104,121	provision (benefit)			
Senior notes	714,603	713,754	Cost & expenses	1,152,699	1,092,376	- foreign	4,108	9,987	14,864
Revolver	142,265	(2,425)	Deprec., depl. & amort.	22,588	18,163	Current income tax			
Convertible debt	...	98,604	Operating income	342	(6,418)	provision (benefit)			
Real estate mortgages	23,322	24,862	Interest expense	39,410	31,614	- state	(472)	(3,742)	10,881
Employee Stock Ownership Plan (ESOP) loan	42,365	34,150	Other income (expense), net	10,556	(1,067)	Total current			
Capital lease - real estate	5,207	6,316	Net before taxes	(28,512)	(39,099)	income tax			
Foreign line of credit	9,371	9,259	Income taxes	16,167	(3,649)	provision (benefit)	(32,624)	(79,765)	109,974
Foreign term loan	35,835	22,349	<b>Net income</b>	(44,679)	(35,450)	Deferred income tax			
Other long-term debt	6,190	4,009	Balance for common	(40,453)	(38,146)	provision (benefit)			
Less current portion of long-term debt	11,078	13,932	Earnings common share			- federal	(14,953)	58,136	165,491
Other liabilities	132,537	123,163	Primary	\$(0.95)	\$(1.07)	Deferred income tax			
Liabilities of discontinued operations held for sale	...	31,071	Fully Diluted	\$(0.95)	\$(1.07)	provision (benefit)			
Liabilities of discontinued operations not held for sale	3,037	1,706	Common Shares:			- foreign	(7,827)	408	(34,410)
Total liabilities	1,474,733	1,371,149	Full Diluted	42,555	35,808	Deferred income tax			
Common stock	20,166	19,992	Year-end	43,016	38,031	provision (benefit)			
Capital in excess of par value	487,077	529,980	<b>HELMERICH &amp; PAYNE, INC.</b>			- state	(1,331)	1,544	350
Retained earnings (accumulated deficit)	480,347	475,760	<b>Annual Report</b>			Total deferred			
Treasury shares, at cost	489,225	501,866	<b>Consolidated Income Statement, Years Ended Sept. 30</b>			income tax			
Foreign currency translation adjustment	(32,227)	(42,894)	<b>(\$000):</b>			provision (benefit)	(24,111)	60,088	131,431
Pension & other defined benefit plans	(28,140)	(37,343)	2017	2016	2015	Income tax	(56,735)	(19,677)	241,405
Cash flow hedge	(114)	(1,004)	(revised)	(revised)	(revised)	Income (loss) from			
Accumulated other comprehensive income (loss)	(60,481)	(81,241)	Operating revenues			continuing			
Deferred compensation	39,076	31,678	- drilling - U.S. land	1,439,523	1,242,462	operations	(127,863)	(52,990)	420,474
Total shareholders' equity	398,808	410,947	Operating revenues - drilling - offshore	136,263	138,601	Income (loss) from discontinued operations before income taxes	3,285	2,360	(124)
			Operating revenues - drilling - international land	212,972	229,894	Income tax provision (benefit) - discontinued operations	(3,634)	(6,198)	77
			Other operating revenues	15,983	13,275	Income (loss) from discontinued operations	(349)	(3,838)	(47)
			Total operating revenues	1,804,741	1,624,232	<b>Net income (loss)</b>	(128,212)	(56,828)	420,427
			Operating costs, excluding depreciation	1,249,317	898,805	Weighted average shares outstanding			
			Depreciation & amortization	585,543	598,587	- basic	108,500	107,996	107,754
			Asset impairment charge	...	6,250	Weighted average shares outstanding - diluted	108,500	107,996	108,570
			Research & development	12,047	10,269	Year end shares outstanding	108,604	108,078	107,768
			General & administrative	151,002	146,183	Income (loss) per share from continuing operations - basic	\$(1.20)	\$(0.50)	\$3.88
			Income from asset sales	20,627	9,896	Income (loss) per share from discontinued operations - basic	...	\$(0.04)	...
			Total operating costs & expenses	1,977,282	1,650,198	Net income (loss) per share - basic	\$(1.20)	\$(0.54)	\$3.88
			Operating income (loss) from continuing operations	(172,541)	(25,966)	Income (loss) per share from discontinued operations - diluted	\$(1.20)	\$(0.50)	\$3.85
			Interest & dividend income	5,915	3,166	Income (loss) per share from discontinued operations - diluted	...	\$(0.04)	...
			Interest expense	19,747	22,913	Net income (loss) per share - diluted	\$(1.20)	\$(0.54)	\$3.85
			Gain (loss) on investment securities	...	(25,989)	Dividends paid per			
			Other income (expense)	1,775	(965)				
			Total other income (expense)	(12,057)	(46,701)				
			Income (loss) from continuing						

□ Restated to reflect the discontinued operations of Clopay Plastic Products Company, Inc. ("PPC"); □ Net of progress payments - Contract costs & recognized income not yet billed: \$4,407,000; □ Net of progress payments - Contract costs & recognized income not yet billed: \$8,001,000

#### GROUP TEN METALS INC

**Acquisition Completed** On Nov. 15, 2017, Co. acquired a 100% interest in an additional 17 square kilometers of mining rights at its Stillwater West PGE-Ni-Cu project (the "Project") in south central Montana, USA. Terms of the transaction were not disclosed.

#### GSE SYSTEMS, INC.

**Earnings, 9 mos. to Sep 30 (Consol. - \$000):**

	2017	2016
Total revenues	48,876	39,820



Less: accumulated depreciation - land & land improvements	3,300	3,100	Net revenue	1,590,200	1,538,400	1,596,800	Cash & cash equivalents	66,000	52,000
Buildings & building equipment	196,100	186,900	Cost of goods sold	998,900	967,800	1,026,400	Trade receivables, gross	227,700	226,000
Less: accumulated depreciation - buildings & building equipment	84,700	91,900	Gross profit	591,300	570,600	570,400	Less allowance for doubtful accounts	21,600	21,000
Machinery & equipment	402,600	380,600	Operating expenses	344,400	346,500	330,600	Trade receivables, net	206,100	205,000
Less: accumulated depreciation - machinery & equipment	265,100	239,200	Amortization expense	29,200	33,000	28,100	Unbilled receivables from long-term manufacturing contracts	125,200	125,800
Equipment leased to others	362,500	372,800	Pension settlement charge	...	...	17,700	Raw materials & components	52,600	51,400
Less: accumulated depreciation - equipment leased to others	271,100	277,600	Interest expense	25,200	25,300	23,800	Work in process	55,400	54,000
Less accumulated depreciation	624,200	611,800	Equity in net income (loss) of affiliates	(400)	300	(2,100)	Finished goods	43,600	47,700
Property, plant & equipment, net	355,400	350,000	Foreign currency exchange gains (losses)	(1,400)	300	(4,400)	Inventories, net	151,600	153,100
Goodwill	1,759,600	1,584,400	Other income (expense), net	(2,400)	(2,300)	(1,400)	Deferred income taxes	...	23,900
Other intangible assets & software, net	1,144,000	1,143,300	Income before income taxes - domestic	108,200	99,300	110,000	Prepaid expenses	28,200	18,200
Deferred income taxes	40,900	43,100	Income before income taxes - foreign	80,100	64,800	52,300	Other current assets	16,500	22,300
Other assets	62,600	59,500	Income before income taxes	188,300	164,100	162,300	Total current assets	593,600	600,300
Total assets	4,528,700	4,262,400	Current income tax expense - federal	500	28,900	35,000	Land & land improvements	15,900	17,300
Trade accounts payable	167,900	136,000	Current income tax expense - state	(400)	5,100	4,400	Less accumulated depreciation - land & land improvements	3,500	3,600
Short-term borrowings	188,900	210,100	Current income tax expense - foreign	22,700	18,000	10,200	Buildings & building equipment	110,500	105,600
Accrued compensation	126,900	127,000	Total current income tax expense	22,800	52,000	49,600	Less accumulated depreciation - buildings & building equipment	68,000	64,300
Accruals product warranties	25,500	27,500	Deferred income tax expense (benefit) - federal	32,000	3,200	(500)	Machinery & equipment	335,800	329,000
Accrued rebates	39,700	40,800	Deferred income tax expense (benefit) - state	5,000	(700)	1,600	Less accumulated depreciation - machinery & equipment	240,300	231,500
Other current liabilities	109,800	120,900	Deferred income tax expense (benefit) - foreign	...	...	...	Property, plant & equipment, cost	462,200	451,900
Total current liabilities	658,700	662,300	Deferred income tax expense (benefit) - consolidated net income (loss)	128,400	116,800	113,200	Less accumulated depreciation - property, plant & equipment	311,800	299,400
Revolving credit facilities	90,000	235,800	Less: net income attributable to non-controlling interest	(2,200)	(4,000)	(1,800)	Property, plant & equipment, net	150,400	152,500
Current portion of long-term debt	109,800	73,200	Net income (loss) attributable to Hillenbrand Inc.	126,200	112,800	111,400	Intangible assets, net	523,900	541,500
Senior secured term loan	1,266,700	1,372,300	Weighted average shares outstanding - basic	63,600	63,300	63,200	Goodwill, net	647,500	634,300
Senior unsecured notes	715,700	419,100	Weighted average shares outstanding - diluted	64,000	63,800	63,900	Other assets	41,100	31,100
Unsecured debentures	43,200	43,300	Year end shares outstanding	63,100	63,000	62,900	Total assets	1,956,500	1,959,700
Securitization program	79,100	...	Income per common share - basic	\$1.99	\$1.78	\$1.76	Trade accounts payable	158,000	135,700
Other long-term debt	4,800	4,800	Income per common share - diluted	\$1.97	\$1.77	\$1.74	Liabilities from long-term manufacturing contracts & advances	132,300	78,600
Less short-term borrowings	188,900	210,100	Dividends per common share	\$0.82	\$0.81	\$0.80	Current portion of long-term debt	18,800	13,800
Long-term debt	2,120,400	1,938,400	Total number of employees	6,000	6,100	6,000	Accrued compensation	66,900	57,300
Accrued pension & postretirement benefits	78,100	99,000	Number of common stockholders	1,900	2,000	2,200	Deferred income taxes	...	22,800
Deferred income taxes	266,200	287,800	Foreign currency translation adjustments	24,900	(9,800)	(47,600)	Other current liabilities	135,700	125,500
Other long-term liabilities	39,700	39,000					Total current liabilities	511,700	433,700
Total liabilities	3,163,100	3,026,500					Revolving credit facility	68,000	198,500
Common stock	4,400	4,400					Term loan	148,500	162,000
Additional paid-in capital	584,400	575,900					Senior unsecured notes	148,900	148,500
Retained earnings	1,676,200	1,589,700					Series A notes	99,700	99,600
Derivative instruments & hedges	4,300	(3,100)					Other unsecured debt	600	300
Foreign currency translation adjustment	(81,300)	(115,200)					Less: current portion	18,800	13,800
Change in pension & postretirement defined benefit plans	(33,000)	(50,800)					Long-term debt, less current portion	446,900	595,100
Accumulated other comprehensive income (loss)	(110,000)	(169,100)					Accrued pension & postretirement healthcare	129,600	232,700
Treasury stock, common shares at cost	796,800	773,700					Deferred income taxes	75,700	22,600
Total shareholders' equity attributable to common shareholders	1,358,200	1,227,200					Casket pricing obligation	3,100	3,900
Noncontrolling interests	7,400	8,700					Rabbi trust liability	4,300	4,000
Total shareholders' equity	1,365,600	1,235,900					Self-insurance loss reserves	14,300	13,300
							Other long-term liabilities	11,800	13,700
							Less current portion	(6,800)	(5,500)
							Total other long-term liabilities	26,700	29,400
							Total liabilities	1,190,600	1,313,500
							Additional paid-in-capital	349,900	348,700
							Retained earnings (accumulated deficit)	507,100	433,300
							Treasury stock, at cost	24,400	19,900
							Cumulative foreign currency translation adjustments	(36,900)	(61,600)
							Pension & postretirement, net of tax	(45,300)	(67,500)
							Net unrealized gain		

## HILLENBRAND INC

## Annual Report

## Consolidated Income Statement, Years Ended Sept. 30

(\$000):

2017	2016	2015
	(revised)	(revised)

As is, <sup>□</sup> Approximately; <sup>□</sup> As of November 10, 2017; <sup>□</sup> As of November 11, 2016; <sup>□</sup> As of November 11, 2015

## Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):

2017	2016
	(revised)

						2017	2016
(loss) on derivative instruments	1,000	(700)	Total homebuilding cost of sales	11,042,800	9,502,600	8,535,700	
Accumulated other comprehensive income (loss)	(81,200)	(129,800)	Homebuilding: gross profit - home sales	2,725,400	2,380,100	2,075,800	Homebuilding: cash & cash equivalents
Hillenbrand Inc. shareholders' equity	751,400	632,300	Homebuilding: gross profit - land or lot sales & other sales	13,500	10,500	7,800	Homebuilding: restricted cash
Non-controlling interests	14,500	13,900	Homebuilding: gross profit (loss) - inventory & land option charges	(40,200)	(31,400)	(60,300)	Homebuilding: inventories - construction in progress & finished homes
Total shareholders' equity	765,900	646,200	Homebuilding: selling, general & administrative expense	1,220,400	1,100,300	1,003,000	Homebuilding: inventories - residential land & lots - developed & under development
			Homebuilding: goodwill impairment expense	...	7,200	9,800	Homebuilding: inventories - land held for development
			Homebuilding: other income (expense)	11,000	12,700	7,800	Homebuilding: inventories - land held for sale
			Homebuilding: pre-tax income (loss)	1,489,300	1,264,400	1,018,300	Homebuilding: inventories - land held for sale
			Financial services: revenues, net of recourse & reinsurance expense	349,500	295,600	265,000	Homebuilding: deferred income taxes
			Financial services: general & administrative expense	251,200	220,000	183,000	Homebuilding: property & equipment, gross
			Financial services: interest & other income	14,500	13,500	23,100	Homebuilding: accumulated depreciation
			Financial services & other pre-tax income (loss)	112,800	89,100	105,100	Homebuilding: property & equipment, net
			Income (loss) before income taxes	1,602,100	1,353,500	1,123,400	Homebuilding: earnest money & refundable deposits
			Current tax expense (benefit): federal	425,600	376,000	356,400	Homebuilding: insurance receivables
			Current tax expense (benefit): state	27,300	15,900	13,200	Homebuilding: accounts & notes receivable
			Total current tax expense (benefit)	452,900	391,900	369,600	Homebuilding: prepaid assets
			Deferred tax expense (benefit): federal	87,900	47,600	(3,100)	Homebuilding: rental properties
			Deferred tax expense (benefit): state	22,900	27,700	6,200	Homebuilding: other assets
			Total deferred tax expense (benefit)	110,800	75,300	3,100	Homebuilding: goodwill
			Income tax expense (benefit)	563,700	467,200	372,700	Financial services: cash & cash equivalents
			Net income (loss)	1,038,400	886,300	750,700	Financial services: mortgage loans held for sale
			Weighted average shares outstanding - basic	374,300	371,000	366,300	Financial services: property & equipment, net
			Weighted average shares outstanding - diluted	378,900	375,100	369,800	Financial services: other assets
			Year end shares outstanding	374,986	372,923	368,647	Total assets
			Net income (loss) per common share - basic	\$2.77	\$2.39	\$2.05	Homebuilding: accounts payable
			Net income (loss) per common share - diluted	\$2.74	\$2.36	\$2.03	Homebuilding: reserves for legal claims
			Cash dividends declared per common share	\$0.40	\$0.32	\$0.25	Homebuilding: employee compensation & related liabilities
			Total number of employees	7,735	6,976	6,230	Homebuilding: warranty liability
			Number of common stockholders	373	409	419	Homebuilding: accrued interest
							Homebuilding: federal & state income tax liabilities
							Homebuilding: inventory related accruals
							Homebuilding: homebuyer deposits
							Homebuilding: accrued property taxes
							Homebuilding: other liabilities
							Homebuilding: unsecured senior notes
							Homebuilding: other secured notes payable
							Financial services: accounts payable & other liabilities
							Financial services - mortgage repurchase

□ Reclassified to conform with 2017 presentation

#### HOLLYFRONTIER CORP

Earnings, 9 mos. to Sep 30 (Consol. - \$000):

	2017	2016
Cost & expenses	9,416,147	7,523,378
Operating income	538,241	(212,179)
Interest income	2,069	1,380
Interest expense	85,534	45,888
Other income (expense), net	(1,237)	1,737
Gains or losses	44,062	...
Net before taxes	497,601	(254,950)
Income taxes	173,593	6,459
Net income	324,008	(261,409)
Earnings common share		
Primary	\$1.60	\$(1.78)
Fully Diluted	\$1.60	\$(1.78)
Common Shares:		
Full Diluted	176,616	176,157
Year-end	177,276	176,522

#### HOME DEPOT INC

Earnings, 9 mos. to (Consol. - \$000):

	10/29/17	10/30/16
Net Sales	77,021,000	72,388,000
Cost & expenses	64,182,000	60,577,000
Operating income	11,492,000	10,500,000
Interest expense	788,000	726,000
Net before taxes	10,755,000	9,799,000
Income taxes	3,904,000	3,586,000
Net income	6,851,000	6,213,000
Earnings common share		
Primary	\$5.79	\$5.03
Fully Diluted	\$5.76	\$5.00
Common Shares:		
Full Diluted	1,190,000	1,242,000
Year-end	1,168,000	1,220,000

#### HOME DEPOT INC

Offering On Nov. 24, 2017, Co. announced a public offering pursuant to Common Stock, \$0.05 par value, Co. proposed to offer 2,600,000 at a proposed maximum offering price per unit of \$166.91, which amounted to a proposed maximum aggregate offering price of \$433,966,000. The amount of registration fee is \$0.

#### HORMEL FOODS CORP.

Acquisition Completed On Nov. 27, 2017, Co. acquired Columbus Manufacturing, Inc., an authentic, premium deli meat and salami company, from Chicago-based Arbor Investments, for approximately \$850,000,000.

#### HORTON (DR) INC

##### Annual Report

Consolidated Income Statement, Years Ended Sept. 30 (\$000):

	2017	2016	2015 (revised)
Homebuilding: revenues - home sales	13,653,200	11,783,100	10,469,400
Homebuilding: revenues - land/lot sales & other revenues	88,300	78,700	89,600
Total homebuilding revenues	13,741,500	11,861,800	10,559,000
Homebuilding: cost of home sales	10,927,800	9,403,000	8,393,600
Homebuilding: cost of land/lot sales & other sales	74,800	68,200	81,800
Homebuilding: inventory & land option charges	40,200	31,400	60,300

□ Reclassified to conform with 2016 presentation; □ As is; □

Approximately; □ As of November 8, 2017; □ As of November 9, 2016; □ As of November 10, 2015

#### Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):





**HYPERDYNAMICS CORP.**

**Resignation of Accountant** On Nov. 15, 2017, Hein & Associates LLP resigned as Co.'s independent public accounting firm.

**I-MINERALS INC.**

**Annual Meeting Development** On Nov. 20, 2017, 2017, Co. scheduled its annual Meeting of Shareholders for Dec. 18, 2017, commencing at 1:00 p.m. (Pacific Standard Time), at Suite 704, 595 Howe Street, Vancouver, British Columbia, Canada.

**IBIO, INC.**

**Annual Meeting Development** On Nov. 15, 2017, 2017, Co. scheduled its annual Meeting of Shareholders for Tuesday, Dec. 19, 2017, at 10:00 a.m. local time at the Omni Berkshire Place Hotel, 21 East 52nd Street, NY, New York.

**IBIO, INC.**

**Earnings, 3 mos. to Sep 30**(Consol. - \$):

	2017	2016
Total revenues	122,000	135,000
Cost & expenses	3,483,000	3,289,000
Operating income	(3,361,000)	(3,154,000)
Interest income	5,000	13,000
Interest expense	480,000	483,000
Other income (expense), net	9,000	12,000
<b>Net income</b>	<b>(3,827,000)</b>	<b>(3,612,000)</b>
Earnings common share		
Primary	\$(0.04)	\$(0.03)
Fully Diluted	\$(0.04)	\$(0.03)
Common Shares:		
Full Diluted	91,853,000	89,109,000
Year-end	92,818,510	89,109,410

**Consolidated Balance Sheet Items, as of (\$):**

	2017
Assets:	
Cash & equivalents	5,916,000
Current assets	6,382,000
Net property & equip.	25,268,000
Total assets	33,433,000
Liabilities:	
Current liabilities	2,106,000
Long-term debt	25,034,000
Stockholders' equity	6,293,000
Net current assets	4,276,000

**IEG HOLDINGS CORP**

**Earnings, 9 mos. to Sep 30**(Consol. - \$):

	2017	2016
Total revenues	1,288,694	1,627,879
Cost & expenses	3,759,266	4,818,020
Operating income	(2,475,067)	(3,197,186)
Other income (expense), net	(623,920)	11,426
Gains or losses	(153,514)	
<b>Net income</b>	<b>(3,252,501)</b>	<b>(3,185,760)</b>
Balance for common	(3,252,501)	(3,218,995)
Earnings common share		
Primary	\$(0.30)	\$(0.44)
Fully Diluted	\$(0.30)	\$(0.44)
Common Shares:		
Full Diluted	10,901,436	7,332,923
Year-end	12,335,293	9,672,723

**IMMUNOCELLULAR THERAPEUTICS LTD**

**Earnings, 9 mos. to Sep 30**(Consol. - \$):

	2017	2016
Cost & expenses	20,721,753	16,792,720
Operating income	(20,721,753)	(16,792,720)
Interest income	4,284	18,831
Interest expense	882,683	889,146
Other income (expense), net	7,719,440	1,902,294
Net before taxes	(13,880,712)	(15,760,741)
<b>Net income</b>	<b>(13,880,712)</b>	<b>(15,760,741)</b>
Balance for common	(16,059,023)	(15,760,741)
Earnings common share		
Primary	\$(2.13)	\$(6.19)
Fully Diluted	\$(2.13)	\$(6.19)
Common Shares:		
Full Diluted	7,524,037	2,547,609
Year-end	23,788,510	3,444,895

**INFRAIR INC**

**Merger Completed** On Nov. 9, 2017, Co.'s wholly-owned subsidiary, Sharyland Distribution & Transmission Services, L.L.C. ("SDTS"), through its newly formed wholly-owned subsidiary, SDTS AssetCo, merged with and into Oncor Electric Delivery Company LLC ("Oncor"), with Oncor continuing as the surviving corporation (the "SDTS Merger") following the SDTS

Merger, Oncor's newly formed wholly-owned subsidiary, Oncor AssetCo LLC, merged with and into SDTS, with SDTS continuing as the surviving corporation (the "Oncor Merger" and, together with the SDTS Merger, the "SDTS Merger Transactions"). As the result, Oncor acquired SDTS's retail distribution assets, (y) SDTS's transmission assets in Brady and Celeste, Texas and (z) certain related liabilities (collectively, the "SDTS Asset Package") and SDTS acquired certain transmission assets located in west and central Texas and certain related liabilities (collectively, the "Oncor Asset Package"). As the result SDTS exchanged \$401,000,000 of net assets (the "Transferred SDTS Assets") for \$383,000,000 of transmission assets (the "Acquired Transmission Assets") and \$18,000,000 in cash from Oncor, subject to customary adjustments to be made following the closing of the Asset Exchange Transaction.

**INFUSYSTEM HOLDINGS INC**

**Earnings, 9 mos. to Sep 30**(Consol. - \$000):

	2017	2016
Total revenues	52,184	53,643
Cost & expenses	50,503	49,423
Operating income	(2,501)	1,428
Interest expense	1,015	1,016
Other income (expense), net	(111)	21
Net before taxes	(3,627)	433
Income taxes	(914)	183
<b>Net income</b>	<b>(2,713)</b>	<b>250</b>
Earnings common share		
Primary	\$(0.12)	\$0.01
Fully Diluted	\$(0.12)	\$0.01
Common Shares:		
Full Diluted	22,726	23,060
Year-end	22,781	22,636

**INSPIREMD INC**

**Earnings, 9 mos. to Sep 30**(Consol. - \$):

	2017	2016
Total revenues	1,927,000	1,572,000
Cost & expenses	8,710,000	7,126,000
Operating income	(6,783,000)	(5,554,000)
Net before taxes	(6,938,000)	(6,192,000)
Income taxes	1,000	1,000
<b>Net income</b>	<b>(6,939,000)</b>	<b>(6,193,000)</b>
Earnings common share		
Primary	\$(0.87)	\$(5.98)
Fully Diluted	\$(0.87)	\$(5.98)
Common Shares:		
Full Diluted	8,711,755	1,034,943
Year-end	7,465,889	

**INSPYR THERAPEUTICS INC**

**Earnings, 9 mos. to Sep 30**(Consol. - \$):

	2017	2016
Cost & expenses	2,604,000	2,625,000
Operating income	(2,604,000)	(2,625,000)
Other income (expense), net	3,442,000	421,000
Net before taxes	(5,641,000)	(2,201,000)
<b>Net income</b>	<b>(5,641,000)</b>	<b>(2,201,000)</b>
Earnings common share		
Primary	\$(1.77)	\$(1.58)
Fully Diluted	\$(1.77)	\$(1.58)
Common Shares:		
Full Diluted	3,183,289	1,392,079
Year-end	9,075,589	1,392,079

**INTEGRITY APPLICATIONS INC**

**Earnings, 9 mos. to Sep 30**(Consol. - \$):

	2017	2016
Total revenues	125,881	482,177
Cost & expenses	8,021,937	4,816,337
Operating income	(7,896,056)	(4,334,160)
Other income (expense), net	273,360	110,471
Foreign currency	(30,154)	(33,329)
<b>Net income</b>	<b>(7,672,666)</b>	<b>(4,270,506)</b>
Balance for common	(8,671,416)	(4,813,168)
Earnings common share		
Primary	\$(1.40)	\$(0.84)
Fully Diluted	\$(1.40)	\$(0.84)
Common Shares:		
Full Diluted	6,207,844	5,746,838
Year-end	6,521,993	5,912,702

**INTELLICHECK INC**

**Earnings, 9 mos. to Sep 30**(Consol. - \$):

	2017	2016
Total revenues	2,630,684	3,105,330
Cost & expenses	5,754,176	7,763,489
Operating income	(3,123,492)	(4,658,159)
<b>Net income</b>	<b>(3,110,155)</b>	<b>(4,645,529)</b>
Earnings common share		
Primary	\$(0.27)	\$(0.48)
Fully Diluted	\$(0.27)	\$(0.48)
Common Shares:		
Full Diluted	11,565,340	9,680,010
Year-end	14,981,633	10,548,983

**INTELLIGENT SYSTEMS CORP.**

**Earnings, 9 mos. to Sep 30**(Consol. - \$000):

	2017	2016
Total revenues	6,756	5,844
Cost & expenses	7,455	6,395
Operating income	(699)	(551)
Other income (expense), net	1,842	(606)
Net before taxes	1,143	(1,157)
Income taxes	20	(3)
<b>Net income</b>	<b>1,123</b>	<b>(1,154)</b>
Earnings common share		
Primary	\$0.13	\$(0.12)
Fully Diluted	\$0.13	\$(0.12)
Common Shares:		
Full Diluted	8,883	8,731
Year-end	8,778	8,731

**INTERLINK ELECTRONICS INC**

**Earnings, 9 mos. to Sep 30**(Consol. - \$000):

	2017	2016
Total revenues	8,797	9,105
Cost & expenses	6,895	6,636
Operating income	1,902	2,469
Other income (expense), net	13	35
Net before taxes	1,915	2,504
Income taxes	650	535
<b>Net income</b>	<b>1,265</b>	<b>1,969</b>
Earnings common share		
Primary	\$0.17	\$0.27
Fully Diluted	\$0.17	\$0.27
Common Shares:		
Full Diluted	7,418	7,406
Year-end	7,336	7,328

**INTERNATIONAL ISOTOPES INC**

**Earnings, 9 mos. to Sep 30**(Consol. - \$):

	2017	2016
Cost & expenses	7,218,602	5,897,236
Operating income	(1,697,869)	(1,095,564)
Interest income	2,011	274
Interest expense	391,524	348,426
Other income (expense), net	(887,678)	78,183
<b>Net income</b>	<b>(2,975,060)</b>	<b>(1,365,533)</b>
Earnings common share		
Full Diluted	406,375,721	402,892,423
Year-end	406,755,371	404,987,914

**INTEST CORP.**

**Earnings, 9 mos. to Sep 30**(Consol. - \$000):

	2017	2016
Total revenues	47,420	29,955
Cost & expenses	39,266	27,424
Operating income	8,154	2,531
Other income (expense), net	195	63
Net before taxes	8,349	2,594
Income taxes	2,808	937
<b>Net income</b>	<b>5,541</b>	<b>1,657</b>
Earnings common share		
Primary	\$0.54	\$0.16
Fully Diluted	\$0.54	\$0.16
Common Shares:		
Full Diluted	10,327	10,345
Year-end	10,411	10,393

**INTRALINKS HOLDINGS INC**

**Stock Interest Change Development** On Nov. 14, 2017, Impala Private Holdings II, LLC ("Impala"), an affiliate of Siris Capital Group, LLC ("Siris"), acquired all the issued and outstanding shares of Co., a wholly-owned subsidiary of Synchronoss Technologies, Inc. ("Synchronoss"), for approximately \$991,000,000 in cash, subject to post-closing adjustments for

changes in cash, debt and working capital. If, in the future, Impala receives net cash proceeds in excess of \$440,000,000 from any sale of equity or assets of Co., or a dividend or distribution in respect of the shares of Co., then Impala is required to pay Synchronoss up to an additional \$25,000,000 in cash or publicly traded securities. Immediately following the consummation of Co.'s Transaction, Synchronoss paid to Impala \$5,000,000 as partial reimbursement of the out-of-pocket fees and expenses incurred by Impala, Siris and their respective affiliates in connection with the execution of the Share Purchase Agreement and Co.'s Transaction. As the result, Co. would operate as an independent, privately owned portfolio company of investment funds affiliated with Siris. Also as of Nov. 14, 2017, investment funds affiliated with Siris owned 5,994,667 shares of Synchronoss' common stock, par value \$0.0001 per share (the "Common Stock"), or approximately 12.6% of the issued and outstanding Common Stock as of such date.

#### INVITAE CORP

**Merger Completed** On Nov. 14, 2017, Co.'s wholly-owned subsidiary, Coronado Merger Sub, Inc. ("Merger Sub"), merged with and into CombiMatrix Corp. ("CombiMatrix"), with CombiMatrix continuing as the surviving corporation and became a wholly-owned subsidiary of Co. As the result of the merger, Co. issued shares of its common stock to (i) CombiMatrix's common stockholders, at an exchange ratio of 0.8692 of a share of Co. common stock (the "Merger Exchange Ratio") for each share of CombiMatrix common stock outstanding immediately prior to the Merger, (ii) CombiMatrix's Series F preferred stockholders, at the Merger Exchange Ratio for each share of CombiMatrix common stock underlying Series F preferred stock outstanding immediately prior to the Merger, (iii) holders of outstanding and unexercised in-the-money CombiMatrix stock options, which were fully accelerated to the extent of any applicable vesting period and converted into the right to receive the number of shares of Co. common stock equal to the Merger Exchange Ratio multiplied by the number of shares of CombiMatrix common stock issuable upon exercise of such option, minus the number of shares of Co. common stock determined by dividing the aggregate exercise price for such option by \$9.491 (the "Co. Trailing Average Share Value"), and (iv) holders of outstanding and unsettled CombiMatrix restricted stock units ("RSUs"), which were fully accelerated to the extent of any applicable vesting period and converted into the right to receive a number of shares of Co. common stock determined by multiplying the number of shares of CombiMatrix common stock that were subject to such RSU by the Merger Exchange Ratio. No fractional shares were issued in connection with the Merger and Co. will pay cash in lieu of any such fractional shares. The Merger Exchange Ratio was determined through arm's-length negotiations between Co. and CombiMatrix. In addition, at the closing of the Merger, (a) all outstanding and unexercised out-of-the money CombiMatrix stock options were cancelled and terminated without the right to receive any consideration, (b) all CombiMatrix Series D Warrants and Series F Warrants outstanding and unexercised immediately prior to the closing of the Merger were assumed by Co. and converted into warrants to purchase the number of shares of Co. common stock determined by multiplying the number of shares of CombiMatrix common stock subject to such warrants by the Merger Exchange Ratio, and with the exercise price adjusted by dividing the per share exercise price of the CombiMatrix common stock subject to such warrants by the Merger Exchange Ratio, and (c) certain entitlements under CombiMatrix's executive compensation transaction bonus plan (the "Transaction Bonus Plan") were paid in shares of Co. common stock or RSUs to be settled in shares of Co. common stock. All outstanding and unexercised CombiMatrix Series A, Series B, Series C, Series E, and PIPE warrants were repurchased by CombiMatrix prior to closing pursuant to that certain CombiMatrix Common Stock Purchase Warrants Repurchase Agreement dated July 11, 2016. As the result, Co. issued an aggregate of 2,726,324 shares of its common stock and 214,976 RSUs in connection with the Merger (including shares and RSUs issued pursuant to the Transaction Bonus Plan). Immediately after the Merger, (i) there were approximately 52,900,000 shares of Co. common stock outstanding, (ii) the former CombiMatrix securityholders and executives owned approximately 8.6% of the fully-diluted common stock of the combined company, and (iii) Co. securityholders, whose shares of Co. capital stock remained outstanding after the Merger, owned approximately 91.4% of the fully-diluted common stock of the combined company.

#### INVO BIOSCIENCE, INC.

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	200,790	41,384
Cost & expenses	644,202	1,881,503

Operating income	(443,412)	(1,840,119)
Other income (expense), net	(40,869)	
Net before taxes	(500,695)	(1,847,843)
<b>Net income</b>	(500,695)	(1,847,843)
<b>Earnings common share</b>		
Primary	\$	\$(0.01)
Fully Diluted	\$	\$(0.01)
<b>Common Shares:</b>		
Full Diluted	141,103,908	138,713,059
Year-end	141,681,268	140,596,646

#### IOVANCE BIOTHERAPEUTICS INC

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Cost & expenses	66,806,000	37,717,000
Operating income	(66,806,000)	(37,717,000)
<b>Net income</b>	(66,210,000)	(37,206,000)
Balance for common	(66,210,000)	(86,660,000)
<b>Earnings common share</b>		
Primary	\$(1.06)	\$(1.64)
Fully Diluted	\$(1.06)	\$(1.64)
<b>Common Shares:</b>		
Full Diluted	62,697,000	52,963,000
Year-end	71,954,843	61,948,389

#### IRON MOUNTAIN INC (NEW)

**Acquisition Development** On Nov. 16, 2017, Co. announced it has entered into an agreement to acquire the China records and information management operations of Santa Fe Group A/S. The acquisition includes five facilities in four locations in China: Beijing, Shanghai, Dalian, and Guangzhou. Serving 700 customers, with storage volumes that include one million cubic feet of records and 51,000 data protection assets, expanding Co.'s current operational footprint to 11 facilities in China. The transaction is expected to close later this year. Terms of the transaction were not disclosed.

#### ISHARES GOLD TRUST

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Cost & expenses	15,513,955	15,028,608
<b>Net income</b>	(15,513,955)	(15,028,608)
<b>Earnings common share</b>		
Primary	\$(0.02)	\$(0.02)
<b>Common Shares:</b>		
Year-end	764,450,000	756,250,000

#### ISHARES GOLD TRUST

**Offering** On Nov. 22, 2017, Co. announced a public offering pursuant to iShares. Co. proposed to offer 248,000,000 at a proposed maximum offering price per unit of \$12.325, which amounted to a proposed maximum aggregate offering price of \$3,056,600,000. The amount of registration fee is \$380,546.70.

#### ISORAY, INC.

**Earnings, 3 mos. to Sep 30(Consol. - \$):**

	2017	2016
Cost & expenses	2,763,000	2,656,000
Operating income	(1,552,000)	(1,575,000)
Other income (expense), net		47,000
<b>Net income</b>	(1,546,000)	(1,498,000)
Balance for common	(1,549,000)	(1,501,000)
<b>Earnings common share</b>		
Primary	\$(0.03)	\$(0.03)
Fully Diluted	\$(0.03)	\$(0.03)
<b>Common Shares:</b>		
Full Diluted	55,017,000	55,011,000
Year-end	55,017,419	55,010,619

**Consolidated Balance Sheet Items, as of (\$):**

	2017	2016
<b>Assets:</b>		
Cash & equivalents	7,256,000	
Inventories	453,000	
Current assets	8,866,000	
Net property & equip.	1,090,000	
Total assets	10,781,000	
<b>Liabilities:</b>		
Current liabilities	1,067,000	
Stockholders' equity	9,146,000	
Net current assets	7,799,000	

#### ISSUER DIRECT CORP

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	9,229,000	9,284,000
Cost & expenses	7,331,000	7,053,000
Deprec., depl. & amort.	310,000	780,000

Operating income	1,588,000	1,451,000
Other income (expense), net	(24,000)	78,000
Net before taxes	1,564,000	1,529,000
Income taxes	438,000	484,000
<b>Net income</b>	1,126,000	1,045,000
<b>Earnings common share</b>		
Primary	\$0.38	\$0.37
Fully Diluted	\$0.37	\$0.36
<b>Common Shares:</b>		
Full Diluted	3,013,000	2,898,973
Year-end	2,955,759	2,847,444

#### J ALEXANDER'S HOLDINGS INC

**Earnings, 9 mos. to (Consol. - \$000):**

	10/01/17	10/02/16
Net Sales	171,917	162,259
Cost & expenses	161,855	149,323
Operating income	2,617	6,300
Interest expense	625	514
Other income (expense), net	94	74
Net before taxes	2,086	5,860
Income taxes	(242)	1,210
Income discont. oper.	(334)	(328)
<b>Net income</b>	1,994	4,322
<b>Earnings common share</b>		
Primary	\$0.14	\$0.29
Fully Diluted	\$0.13	\$0.29
<b>Common Shares:</b>		
Full Diluted	14,792	14,869
Year-end	14,695	14,700

#### JACOBS ENGINEERING GROUP, INC.

##### Annual Report

**Consolidated Income Statement, Years Ended (\$000):**

	09/29/17	09/30/16	10/02/15
Revenues	10,022,788	10,964,157	12,114,832
Direct costs of contracts	8,250,536	9,196,326	10,146,494
Selling, general & administrative expenses	1,379,983	1,429,233	1,522,811
Operating profit	392,269	338,598	445,527
Interest income	8,748	7,848	7,262
Interest expense	12,035	15,260	19,503
Gain (loss) on disposal of business & investments	10,880	(41,410)	(2,909)
Miscellaneous income (expense), net	(6,645)	(3,053)	(240)
Total other income (expense), net	948	(51,875)	(15,390)
<b>Earnings (loss) before taxes - United States earnings</b>	232,342	206,159	283,504
<b>Earnings (loss) before taxes - foreign earnings</b>	160,875	80,564	146,633
<b>Earnings (loss) before taxes</b>	393,217	286,723	430,137
Current income tax expense (benefit) - federal	29,297	36,020	72,840
Current income tax expense (benefit) - state	8,535	11,336	16,248
Current income tax expense (benefit) - foreign	31,347	52,259	43,344
Total current income tax expense (benefit)	69,179	99,615	132,432
Deferred income tax expense (benefit) - federal	29,390	6,439	13,337
Deferred income tax expense (benefit) - state	3,407	485	2,295
Deferred income tax expense (benefit) - foreign	3,866	(34,331)	(46,809)

Total deferred income tax expense (benefit)	36,663	(27,407)	(31,177)
Income tax expense (benefit)	105,842	72,208	101,255
<b>Net earnings (loss) of the Group</b>	<b>287,375</b>	<b>214,515</b>	<b>328,882</b>
Net earnings (loss) attributable to non-controlling interests	6,352	(4,052)	(25,911)
Net earnings attributable to Jacobs	293,727	210,463	302,971
Weighted average shares outstanding - basic	119,370	120,133	125,007
Weighted average shares outstanding - diluted	120,147	121,483	126,110
Year end shares outstanding	120,386	120,951	123,153
Net earnings (loss) per share - basic	\$2.43	\$1.75	\$2.42
Net earnings (loss) per share - diluted	\$2.42	\$1.73	\$2.40
Number of full time employees	44,800	43,800	49,900
Employees on a project basis	9,900	11,100	14,100
Total number of employees	54,700	54,900	64,000
Number of common stockholders	989	1,073	1,116
Foreign currency translation adjustments	...	(46,515)	(136,168)

□ Reclassified to conform with 2016 presentation; □ As is; □ Approximately; □ As of November 10, 2017; □ As of November 18, 2016; □ As of November 20, 2015

#### Consolidated Balance Sheet, Years Ended (\$000):

	09/29/17	09/30/16 (revised)
Cash & cash equivalents	774,151	655,716
Receivables	2,102,543	2,115,663
Prepaid expenses & other current assets	119,486	93,091
Total current assets	2,996,180	2,864,470
Land	17,197	16,680
Buildings	93,313	91,194
Equipment	627,609	531,539
Leasehold improvements	220,295	221,437
Construction in progress	21,300	36,764
Property, equipment & improvements, gross	979,714	897,614
Less: accumulated depreciation & amortization	629,803	577,941
Property, equipment & improvements, net	349,911	319,673
Goodwill	3,009,826	3,079,628
Intangibles, net	332,920	336,922
Deferred income taxes	368,700	413,563
Cash surrender value of life insurance policies	130,411	122,364
Investments	145,069	178,256
Notes receivable	17,839	18,303
Other miscellaneous non-current assets	30,003	26,843
Total assets	7,380,859	7,360,022
Notes payable	3,071	2,421
Accounts payable	683,605	522,427
Accrued payroll & related liabilities	572,946	561,652
Project-related accruals	71,815	102,400
Non project-related accruals	68,025	87,813
Insurance liabilities	67,546	54,984
Sales & other similar taxes	32,163	37,029
Deferred rent	60,593	69,059
Dividends payable	18,180	...

Other accrued liabilities	48,419	25,441
Accrued liabilities	939,687	938,378
Billings in excess of costs	299,864	319,460
Total current liabilities	1,926,227	1,782,686
Long-term debt	235,000	385,330
Liabilities relating to defined benefit pension & early retirement plans	254,483	402,955
Liabilities relating to nonqualified deferred compensation arrangements	114,616	123,926
Deferred income taxes	177,765	156,494
Other miscellaneous deferred liabilities	185,417	178,449
Other deferred liabilities	732,281	861,824
Common stock	120,386	120,951
Additional paid-in capital	1,239,782	1,168,272
Retained earnings	3,721,698	3,586,647
Foreign currency translation adjustment	(386,131)	(245,603)
Adjustments relating to defined benefit pension plans	(265,578)	(364,625)
Other accumulated other comprehensive income (loss)	(1,805)	(366)
Accumulated other comprehensive income (loss)	(653,514)	(610,594)
Total Jacobs stockholders' equity	4,428,352	4,265,276
Non-controlling interests	58,999	64,906
Total Group stockholders' equity	4,487,351	4,330,182

#### JELD-WEN HOLDING INC

**Secondary Offering** On Nov. 15, 2017, Co. announced the pricing of a public offering of 12,500,000 shares of its common stock by Onex Partners Manager LP and its affiliates (collectively, "Onex") and certain of Co.'s other existing stockholders (collectively with Onex, the "Selling Stockholders") at a public offering price of \$33.75 per share. Onex has also granted the underwriters a 30-day option to purchase up to 1,875,000 additional shares of common stock. The offering is expected to close on Nov. 20, 2017, subject to the satisfaction of customary closing conditions.

#### K92 MINING INC

**Wrts. Exercise Terms** On Nov. 21, 2017, Co. announced that it has received warrant exercises with a value in excess of C\$2,000,000 during the past 10 days.

#### KATAHDIN BANKSHARES CORP

**Earnings, 9 mos. to Sep 30 (Consol. - \$000):**

	2017	2016
Cost & expenses	17,826	16,847
Operating income	4,986	5,283
Income taxes	1,624	1,728
<b>Net income</b>	<b>3,362</b>	<b>3,555</b>
Balance for common	2,706	2,899
Earnings common share		
Primary	\$0.80	\$0.85
Fully Diluted	\$0.80	\$0.85
Common Shares:		
Full Diluted	3,380	3,404
Year-end	3,404	3,404

#### KEY ENERGY SERVICES INC (DE)

**Earnings, 9 mos. to Sep 30 (Consol. - \$000):**

	2017	2016
Total revenues	319,885	308,506
Cost & expenses	336,666	445,692
Operating income	(80,106)	(242,261)
Interest income	534	371
Interest expense	23,672	64,061
Other income (expense), net	3,715	1,406
Foreign currency	29	(1,112)
Net before taxes	(99,500)	(305,657)
Income taxes	(1,238)	(489)
<b>Net income</b>	<b>(98,262)</b>	<b>(305,168)</b>
Earnings common share		
Primary	\$(4.89)	\$(1.90)
Fully Diluted	\$(4.89)	\$(1.90)

Common Shares:		
Full Diluted	20,101	160,626
Year-end	20,110	160,783

#### LA QUINTA HOLDINGS INC

**Earnings, 9 mos. to Sep 30 (Consol. - \$000):**

	2017	2016
Total revenues	766,351	783,638
Cost & expenses	540,079	616,415
Deprec., depl. & amort.	110,390	110,973
Operating income	115,882	56,250
Interest income	655	...
Other income (expense), net	741	2,288
Net before taxes	55,694	(2,481)
<b>Net income</b>	<b>30,909</b>	<b>(1,122)</b>
Earnings common share		
Primary	\$0.27	\$(0.01)
Fully Diluted	\$0.26	\$(0.01)
Common Shares:		
Full Diluted	116,560	118,886
Year-end	117,460	116,792

#### LADENBURG THALMANN FINANCIAL SERVICES INC

**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Total revenues	924,136,000	809,894,000
Cost & expenses	895,996,000	799,077,000
Operating income	1,326,000	(14,872,000)
Other income (expense), net	86,000	(178,000)
Net before taxes	1,326,000	(14,872,000)
Income taxes	278,000	8,060,000
<b>Net income</b>	<b>1,048,000</b>	<b>(22,932,000)</b>
Balance for common	(22,973,000)	(45,413,000)
Earnings common share		
Primary	\$(0.12)	\$(0.25)
Fully Diluted	\$(0.12)	\$(0.25)
Common Shares:		
Full Diluted	192,498,380	181,023,737
Year-end	196,342,490	183,639,584

#### LIBBEY INC.

**Earnings, 9 mos. to Sep 30 (Consol. - \$000):**

	2017	2016
Total revenues	560,328	589,565
Net Sales	557,847	587,582
Cost & expenses	627,474	550,646
Operating income	(67,146)	38,919
Other income (expense), net	(596)	1,411
Foreign currency	(1,687)	(376)
Net before taxes	(84,552)	24,325
Income taxes	1,665	12,003
<b>Net income</b>	<b>(86,217)</b>	<b>12,322</b>
Earnings common share		
Primary	\$(3.92)	\$0.56
Fully Diluted	\$(3.92)	\$0.56
Common Shares:		
Full Diluted	22,015	22,026
Year-end	22,016	21,843

#### LIFEAPPS BRANDS INC

**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Total revenues	3,093	11,168
Cost & expenses	171,865	179,841
Deprec., depl. & amort.	675	9,574
Operating income	(169,447)	(178,247)
Net before taxes	(169,447)	(178,247)
<b>Net income</b>	<b>(169,447)</b>	<b>(178,247)</b>
Earnings common share		
Primary	\$(0.01)	\$(0.01)
Fully Diluted	\$(0.01)	\$(0.01)
Common Shares:		
Full Diluted	25,311,186	20,500,239
Year-end	25,311,186	20,515,731

#### LIGHTWAVE LOGIC INC

**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Cost & expenses	4,032,323	3,041,967
Operating income	(4,032,323)	(3,041,967)
Other income (expense), net	(128,557)	(260,142)
<b>Net income</b>	<b>(4,160,693)</b>	<b>(3,301,918)</b>
Earnings common share		
Primary	\$(0.06)	\$(0.05)

Fully Diluted	\$(0.06)	\$(0.05)
Common Shares:		
Full Diluted	70,128,995	65,816,072
Year-end	72,194,057	67,048,161

**LILIS ENERGY INC****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Cost & expenses	41,161,000	10,834,000
Operating income	(31,328,000)	(9,816,000)
Interest expense	11,084,000	4,220,000
Other income (expense), net	4,273,000	(8,587,000)
<b>Net income</b>	<b>(38,139,000)</b>	<b>(22,623,000)</b>
Balance for common	(42,896,000)	(31,746,000)
Earnings common share		
Primary	\$(1.06)	\$(2.59)
Fully Diluted	\$(1.06)	\$(2.59)
Common Shares:		
Full Diluted	40,596,281	12,280,013
Year-end	50,763,137	19,013,602

**LIQTECH INTERNATIONAL INC****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Net Sales	8,350,758	11,338,817
Cost & expenses	11,752,977	13,423,522
Operating income	(3,402,219)	(2,084,705)
Interest expense	23,308	23,843
Gains or losses	(28,056)	
Foreign currency	(54,600)	(26,219)
Net before taxes	(3,505,090)	(2,134,767)
Income taxes		2,885,932
<b>Net income</b>	<b>(3,505,090)</b>	<b>(5,020,699)</b>
Earnings common share		
Primary	\$(0.09)	\$(0.12)
Fully Diluted	\$(0.09)	\$(0.12)
Common Shares:		
Full Diluted	40,604,129	39,532,035
Year-end	44,229,264	39,532,035

**LITHIUM AMERICAS CORP (NEW)**

**Official Changes** On Nov. 27, 2017, Co. announced that Ms. Jean M. Fraser has been appointed to Co.'s Board of Directors.

**LOMIKO METALS INC**

**Legal Entity Identifier** On Nov. 22, 2017, Co. announced that it has been assigned the Legal Entity Identifier ("LEI") number 529900GJP51V4HR9MN94 through registration with WM Datenservice. The European Union has adopted regulations that require use of the LEI as a barcode equivalent aimed at reducing risks to investors. LEI is an internationally standardized and globally valid identifier for participants in the financial market and serve to unambiguously identify business partners (eg companies, banks or investment funds) and to fulfill certain reporting obligations to supervisory authorities.

**LOMIKO METALS INC**

**Private Placement** On Nov. 17, 2017, Co. announced that it has received conditional approval from the TSX Venture Exchange and now will close a 2nd tranche and issue: (1) 5,330,143 units at C\$0.14 for gross proceeds of C\$746,220.02. Each unit will be comprised of one common share and one share purchase warrant, with each whole warrant exercisable into one common share at a price of C\$0.20 for a period of two years from closing; and (2) 645,000 units at C\$0.16 for gross proceeds of C\$103,200. Each unit will be comprised of one flow-through common share and one-half share purchase warrant, with each whole warrant exercisable into one common share at a price of C\$0.26 for a period of two years from closing. All securities will be subject to a four-month plus one day hold period from the closing. The issuance of the securities is subject to the final approval of the TSX-V.

**LUBY'S, INC.****Annual Report****Consolidated Income Statement, Years Ended (\$000):**

	08/30/17	08/31/16	08/26/15
		(revised)	(revised)
Restaurant sales	350,818	378,111	370,192
Culinary contract services	17,943	16,695	16,401
Franchise revenue	6,723	7,250	6,961
Vending revenue	547	583	531
Total sales	376,031	402,639	394,085
Cost of food	98,714	106,980	107,052
Payroll & related costs	125,997	132,960	127,691
Other operating			

expenses	61,924	60,961	63,133
Occupancy costs	21,787	22,374	21,084
Opening costs	492	787	2,743
Cost of culinary contract services	15,774	14,955	14,786
Cost of franchise operations	1,733	1,877	1,668
Depreciation & amortization	20,438	21,889	21,407
Selling, general & administrative expenses	37,878	42,422	38,759
Provision for asset impairments & restaurant closings, net	10,567	1,442	636
Net gain (loss) on disposition of property & equipment	1,804	684	3,994
Total costs & expenses	393,500	405,963	394,965
Income (loss) from operations	(17,469)	(3,324)	(880)
Interest income	8	4	4
Interest expense	2,443	2,247	2,337
Other income, net	(454)	186	521
Income (loss) before income taxes & discontinued operations	(20,358)	(5,381)	(2,692)
Current federal & state income tax expense	329	128	523
Current foreign income tax expense	84	82	63
Deferred income tax expense (benefit)	2,025	4,665	(1,662)
Provision (benefit) for income taxes	2,438	4,875	(1,076)
Income (loss) from continuing operations	(22,796)	(10,256)	(1,616)
Income (loss) from discontinued operations, net of income taxes	(466)	(90)	(458)
<b>Net income (loss)</b>	<b>(23,262)</b>	<b>(10,346)</b>	<b>(2,074)</b>
Weighted average shares outstanding - basic	29,476	29,226	28,974
Weighted average shares outstanding - diluted	29,476	29,226	28,974
Year end shares outstanding	29,124	28,940	28,635
Income (loss) per share from continuing operations - basic	\$(0.77)	\$(0.35)	\$(0.06)
Income (loss) per share from discontinued operations - basic	\$(0.02)	\$0.00	\$(0.01)
Net income (loss) per share - basic	\$(0.79)	\$(0.35)	\$(0.07)
Income (loss) per share from continuing operations - diluted	\$(0.77)	\$(0.35)	\$(0.06)
Income (loss) per share from discontinued operations - diluted	\$(0.02)	\$0.00	\$(0.01)
Net income (loss) per share - diluted	\$(0.79)	\$(0.35)	\$(0.07)
Total number of employees	7,320	7,988	8,352
Number of common stockholders	2,028	2,144	2,201

tinued operations; <sup>□</sup> Reclassified to conform with 2017 presentation; <sup>□</sup> As is; <sup>□</sup> As of November 7, 2017; <sup>□</sup> As of November 9, 2016; <sup>□</sup> As of November 3, 2015

**Consolidated Balance Sheet, Years Ended (\$000):**

	08/30/17	08/31/16
		(revised)
Cash & cash equivalents	1,096	1,339
Trade & other receivables	5,966	5,161
Franchise royalties & marketing & advertising receivables	687	839
Trade receivables, unbilled	1,633	...
Less allowance for doubtful accounts	275	81
Trade accounts & other receivables, net	8,011	5,919
Food & supply inventories	4,453	4,596
Prepaid expenses	3,431	3,147
Assets related to discontinued operations	...	1
Deferred income taxes	...	540
Total current assets	16,991	15,542
Property held for sale	3,372	5,522
Assets related to discontinued operations	2,755	3,192
Land	60,414	61,940
Restaurant equipment & furnishings	73,411	75,764
Buildings	153,041	157,006
Leasehold & leasehold improvements	26,953	25,973
Office furniture & equipment	3,684	3,277
Construction in progress	35	145
Property & equipment, gross	317,538	324,105
Less accumulated depreciation & amortization	144,724	130,887
Property & equipment, net	172,814	193,218
Intangible assets, net	19,640	21,074
Goodwill	1,068	1,605
Deferred income taxes	7,254	8,738
Other assets	2,563	3,334
Total assets	226,457	252,225
Accounts payable	15,937	17,539
Liabilities related to discontinued operations	367	412
Salaries, compensated absences, incentives & bonuses	5,339	4,184
Operating expenses	1,041	1,118
Unredeemed gift cards & certificates	7,298	6,269
Taxes, other than income	9,423	7,882
Accrued claims & insurance	1,505	1,577
Income taxes, legal & other accrued expenses & other liabilities	3,470	2,722
Total current liabilities	44,380	41,703
Credit facility debt	30,698	37,000
Liabilities related to discontinued operations	16	17
Workers' compensation & general liability insurance reserve	923	986
Capital lease	109	44
Deferred rent & unfavorable leases	5,297	5,565
Deferred compensation	426	895
Fair value derivative - interest rate swap	266	...
Other long-term liabilities	290	262
Total liabilities	82,405	86,472
Common stock	9,480	9,421
Paid-in capital	31,850	30,348
Retained earnings	107,497	130,759
Less cost of treasury		

<sup>□</sup> Restated to reflect one property of Luby's Cafeterias as discon-

stock .....	4,775	4,775
Total shareholders' equity .....	144,052	165,753

**MAJESCO****Earnings, 6 mos. to Sep 30**(Consol. - \$000):

	2017	2016
Total revenues .....	58,269	63,600
Cost & expenses .....	61,634	63,764
Operating income .....	(3,365)	(164)
Interest income .....	13	18
Other income (expense), net .....	(44)	14
Net before taxes .....	(3,663)	(474)
Income taxes .....	(1,297)	(141)
<b>Net income</b> .....	<b>(2,366)</b>	<b>(333)</b>
Earnings common share		
Primary .....	\$(0.06)	\$(0.01)
Fully Diluted .....	\$(0.06)	\$(0.01)
Common Shares:		
Full Diluted .....	36,519	36,463
Year-end .....	36,537	36,486

**MARLIN GOLD MINING LTD**

**Annual Meeting Development** On Nov. 16, 2017, Co. announced that its Annual and Special Meeting of Shareholders will be held on Dec. 14, 2017.

**MARLIN GOLD MINING LTD**

**Spin-Off Development** On Nov. 16, 2017, Co. announce that it has received an interim order from the Supreme Court of British Columbia dated Nov. 15, 2017, authorizing Co. to convene an annual and special meeting (the "Meeting") of its shareholders (the "Shareholders") on Dec. 14, 2017, for the purpose of, among other things, considering and approving an arrangement under the Business Corporations Act (British Columbia) (the "Arrangement"). The Arrangement involves, among other things, the distribution of common shares (the "SpinCo Shares") of Sailfish Royalty Corp. ("SpinCo"), currently a wholly owned subsidiary of Co., to the Shareholders on the basis of one SpinCo Share for every five common shares of Co. (each, a "Marlin Share"). Prior to or concurrently with the Arrangement, Co. will transfer approximately US\$7,000,000 in cash to SpinCo to fund the acquisition of the TZ Royalty and provide working capital for SpinCo, and Co. and SpinCo will enter into an unsecured term credit facility in the principal amount of up to US\$14,000,000 bearing interest at a rate of 8% per annum in order for SpinCo to make the remaining up-front payment under the SA Gold Stream. On completion of the Arrangement, among other things: (i) Shareholders at the effective time of the Arrangement will own 89.42% of the outstanding shares of SpinCo and the vendors of the TZ Royalty will own an aggregate of 10.58% of the outstanding shares of SpinCo, assuming the concurrent completion of the acquisition of the TZ Royalty; (ii) each Shareholder will receive, in exchange for each five Marlin Shares held immediately prior to the Arrangement, one SpinCo Share and five new common shares of Co. (each, a "New Co. Share"); and (iii) holders of options of Co. ("Co. Options") will receive, in exchange for each five Co. Options held immediately prior to the Arrangement, one option to acquire a SpinCo Share and five options to acquire New Co. Shares, in each case at the exercise price determined in accordance with the applicable formula set out in the management information circular to be distributed by Co. to Shareholders ("Co. Circular"). Completion of the Arrangement is subject to customary conditions, including, among others, receipt of the required regulatory approvals, including the approval of the TSX-V, approval of the Supreme Court of British Columbia and, subject to the court's approval, approval of the Arrangement at the Meeting by (i) a special resolution passed by at least two-thirds of the votes cast by Shareholders present in person or represented by proxy at the Meeting, which holders are entitled to one vote for each Co. Share; and (ii) a simple majority of the votes cast by Shareholders present in person or represented by proxy at the Meeting, excluding the votes cast by such Shareholders as are required to be excluded pursuant to Multilateral Instrument 61-101 Ū Protection of Minority Shareholders in Special Transactions. Wexford Spectrum Trading Limited and Wexford Catalyst Trading Limited, which together hold approximately 85.0% of the issued and outstanding Co. Shares, have indicated their support for the Arrangement, however their votes will be excluded from the "majority of the minority" vote. On Nov. 8, 2017, SpinCo received conditional approval to list the SpinCo Shares on the TSX Venture Exchange (the "TSX-V"). Listing on the TSX-V will be subject to SpinCo meeting all of the listing requirements and conditions and receiving the final approval of the TSX-V.

**MASTECH DIGITAL INC****Earnings, 9 mos. to Sep 30**(Consol. - \$000):

	2017	2016
Total revenues .....	107,414	99,606
Cost & expenses .....	105,814	96,213
Operating income .....	1,600	3,393
Other income (expense), net .....	11	(24)
Net before taxes .....	963	3,016
Income taxes .....	202	1,136
<b>Net income</b> .....	<b>761</b>	<b>1,880</b>
Earnings common share		
Primary .....	\$0.16	\$0.43
Fully Diluted .....	\$0.16	\$0.42
Common Shares:		
Full Diluted .....	4,835	4,469
Year-end .....	5,455	4,427

**MATINAS BIOPHARMA HOLDINGS INC****Earnings, 9 mos. to Sep 30**(Consol. - \$):

	2017	2016
Cost & expenses .....	11,976,606	5,692,828
Operating income .....	(11,871,825)	(5,692,828)
Other income (expense), net .....	13,354	(14,103)
<b>Net income</b> .....	<b>(11,858,471)</b>	<b>(5,706,931)</b>
Balance for common .....	(29,208,170)	(10,100,740)
Earnings common share		
Primary .....	\$(0.33)	\$(0.18)
Fully Diluted .....	\$(0.33)	\$(0.18)
Common Shares:		
Full Diluted .....	89,468,153	57,505,788
Year-end .....	92,950,096	57,919,709

**MAXIMUS INC.****Annual Report****Consolidated Income Statement, Years Ended Sept. 30**

(\$000):

	2017	2016	2015
		(revised)	(revised)
Revenue .....	2,450,961	2,403,360	2,099,821
Cost of revenue .....	1,839,056	1,841,169	1,587,104
Gross profit .....	611,905	562,191	512,717
Selling, general & administrative expenses .....	284,510	268,259	238,792
Amortization of intangible assets .....	12,208	13,377	9,348
Restructuring costs .....	2,242	...	...
Acquisition-related expense .....	83	832	4,745
Gain on sale of business .....	650	6,880	...
Operating income (loss) .....	313,512	286,603	259,832
Interest expense .....	2,162	4,134	1,398
Other income, net .....	2,885	3,499	1,385
Income (loss) before income taxes - United States .....	257,910	238,871	232,359
Income (loss) before income taxes - foreign .....	56,325	47,097	27,460
Income (loss) before income taxes .....	314,235	285,968	259,819
Current provision for income taxes - federal .....	70,476	69,025	74,050
Current provision for income taxes - state & local .....	15,594	15,595	15,332
Current provision for income taxes - foreign .....	11,221	15,536	9,581
Total current provision for income taxes .....	97,291	100,156	98,963
Deferred provision (benefit) for income taxes - federal .....	5,490	7,778	2,233
Deferred provision (benefit) for income taxes - state & local .....	643	902	403
Deferred provision (benefit) for income taxes -			

foreign .....	(1,371)	(3,028)	(1,829)
Total deferred provision (benefit) for income taxes .....	4,762	5,652	807
Provision (benefit) for income taxes .....	102,053	105,808	99,770
<b>Net income (loss)</b> .....	<b>212,182</b>	<b>180,160</b>	<b>160,049</b>
Loss (income) attributable to noncontrolling interests .....	(2,756)	(1,798)	(2,277)
Net income attributable to MAXIMUS Inc. ....	209,426	178,362	157,772
Weighted average shares outstanding - basic .....	65,632	65,822	66,682
Weighted average shares outstanding - diluted .....	65,632	66,229	67,275
Year end shares outstanding .....	65,137	65,223	65,437
Net income (loss) per share - basic .....	\$3.19	\$2.71	\$2.37
Net income (loss) per share - diluted .....	\$3.17	\$2.69	\$2.35
Dividends per share ..	\$0.18	\$0.18	\$0.18
Total number of employees .....	20,400	18,800	17,000
Number of common stockholders .....	43	51	61
Number of beneficiary stockholders .....	29,500	30,800	28,000
Foreign currency translation adjustments .....	...	(13,828)	(22,570)

As is; <sup>2</sup> Approximately; <sup>3</sup> As of October 1, 2017; <sup>4</sup> As of October 31, 2016; <sup>5</sup> As of October 30, 2015

**Consolidated Balance Sheet, Years Ended Sept. 30**

(\$000):

	2017	2016
		(revised)
Cash & cash equivalents .....	166,252	66,199
Accounts receivable - billed & billable, net .....	394,338	444,357
Accounts receivable - unbilled .....	36,475	36,433
Income taxes receivable .....	4,528	17,273
Prepaid expenses & other current assets .....	55,649	56,718
Total current assets .....	657,242	620,980
Land .....	1,738	1,738
Building & improvements .....	11,799	11,726
Office furniture & equipment .....	207,140	261,752
Leasehold improvements .....	53,531	52,493
Property & equipment, gross .....	274,208	327,709
Less: accumulated depreciation & amortization .....	172,557	196,140
Property & equipment, net .....	101,651	131,569
Capitalized software development costs, gross .....	88,627	80,646
Less accumulated amortization .....	61,879	50,507
Capitalized software, net .....	26,748	30,139
Goodwill .....	402,976	397,558
Intangible assets, net .....	98,769	109,027
Deferred contract costs, net .....	16,298	18,182
Deferred compensation plan assets .....	28,548	23,307
Deferred income taxes .....	7,691	8,644
Other assets .....	10,739	9,413
Total assets .....	1,350,662	1,348,819
Accounts payable & accrued liabilities .....	122,083	150,711
Accrued compensation & benefits .....	105,667	96,480
Deferred revenue .....	71,722	73,692

Income taxes payable	4,703	7,979
Long-term debt, current portion	141	277
Other current liabilities	11,950	11,617
Total current liabilities	316,266	340,756
Deferred revenue, less current portion	28,182	40,007
Deferred income taxes	20,106	16,813
Long-term debt	527	165,338
Deferred compensation plan liabilities, less current portion	30,707	24,012
Other liabilities	9,106	8,753
Total liabilities	404,894	595,679
Common stock	475,592	461,679
Accumulated other comprehensive income (loss)	(27,619)	(36,169)
Retained earnings (accumulated deficit)	492,112	323,571
Total Maximus Inc. shareholders' equity	940,085	749,081
Non-controlling interests	5,683	4,059
Total Maximus Inc. equity	945,768	753,140

Reclassified to conform with 2017 presentation

#### MEDLEY MANAGEMENT INC

Earnings, 9 mos. to Sep 30 (Consol. - \$000):

	2017	2016
Total revenues	47,092	57,777
Cost & expenses	25,968	46,837
Operating income	16,188	3,543
Other income (expense), net	4,195	(804)
Net before taxes	16,188	3,543
Income taxes	1,493	291
Net income	14,695	3,252
Earnings common share		
Primary	\$0.18	\$(0.05)
Fully Diluted	\$0.18	\$(0.05)
Common Shares:		
Full Diluted	5,578	5,802
Year-end	5,476	5,809

#### MELBANA ENERGY LTD

##### Annual Report

Consolidated Income Statement, Years Ended Jun. 30 (A\$):

	2017	2016	2015
Sales revenue	...	...	330,689
Interest income	70,796	96,562	206,668
Total income	70,796	96,562	537,357
Production costs	...	...	(382,480)
Net administration costs	(1,672,180)	(1,980,008)	(5,368,934)
Exploration expenditure written-off or down	(454,849)	(10,774,401)	(5,141,972)
Gain (loss) on available-for-sale financial assets	...	...	(42,509)
Merger & takeover-related costs	...	...	(970,090)
Merger break fee	...	...	400,000
Exchange gains (losses) on revaluation of foreign currency bank accounts	(33,120)	30,105	...
Foreign currency gain on closure of foreign operation	...	2,264,862	...
Foreign exchange gains/(losses)	...	...	965,529
Profit (loss) before income tax	(2,089,353)	(10,362,880)	(10,003,099)
Income tax expense	(31,584)	(43,225)	(39,124)
Net profit (loss) for the period	(2,120,937)	(10,406,105)	(10,042,223)
Weighted average shares outstanding - basic	803,629,702	796,624,968	750,488,387

Weighted average shares outstanding - diluted	832,257,500	808,367,986	750,488,387
Year end shares outstanding	953,243,886	891,204,960	750,488,387
Net earnings (loss) per share - basic	A\$(0.00)	A\$(0.01)	A\$(0.01)
Net earnings (loss) per share - diluted	A\$(0.00)	A\$(0.01)	A\$(0.01)
Number of full time employees	4	3	3
Number of part time employees	5	6	6
Number of ordinary stockholders	6,974	...	7,385

As of October 2, 2017; As of September 21, 2015

Consolidated Balance Sheet, Years Ended Jun. 30 (A\$):

	2017	2016
Cash & cash equivalents	2,605,011	4,135,989
Goods & services tax refund	10,446	12,094
Interest receivable	4,376	7,223
Other receivables	18,918	164,335
Other receivables	33,740	183,652
Total current assets	2,638,751	4,319,641
Property, plant & equipment, at cost	595,508	661,660
Less accumulated depreciation	(522,852)	(555,348)
Property, plant & equipment	72,656	106,312
Exploration & evaluation costs	3,817,191	1,764,514
Total non-current assets	3,889,847	1,870,826
Total assets	6,528,598	6,190,467
Trade & other payables	311,550	219,622
Provisions	311,630	205,085
Total current liabilities	623,180	424,707
Provisions	125,934	162,019
Total non-current liabilities	125,934	162,019
Total liabilities	749,114	586,726
Net assets	5,779,484	5,603,741
Ordinary shares	265,934,973	263,822,525
Contributed equity	265,934,973	263,822,525
Reserves	334,225	464,603
Retained earnings (accumulated losses)	(260,489,714)	(258,683,387)
Total equity	5,779,484	5,603,741

#### MEREDITH CORP

**Merger Development** On Nov. 26, 2017, Co., Gotham Merger Sub, Inc., a wholly-owned subsidiary of Co. ("Purchaser") and Time Inc. ("Time"), entered into an Agreement and Plan of Merger (the "Merger Agreement"). Pursuant to the Merger Agreement, and upon the terms and subject to the conditions thereof, Purchaser has agreed to commence a cash tender offer to acquire all of the shares of Time's common stock (the "Offer") for a purchase price of \$18.50 per share, net to the seller, in cash (the "Offer Consideration"), without interest, less any required withholding taxes. The consummation of the Offer will be conditioned on (i) a majority of all shares of Time's outstanding common stock having been validly tendered into (and not withdrawn from) the Offer prior to the scheduled expiration of the Offer, (ii) expiration or termination of the applicable waiting period under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 in the United States, (iii) the absence of any legal restraint, (iv) subject to certain materiality exceptions, the truth and accuracy of certain representations and warranties of Time contained in the Merger Agreement, and (v) other customary conditions. The Offer is not subject to a financing condition. Following the consummation of the Offer, subject to customary conditions, Purchaser will be merged with and into Time (the "Merger") and Time will become a wholly owned subsidiary of Co., pursuant to the procedure provided for under Section 251(h) of the Delaware General Corporation Law without any additional stockholder approvals. In the Merger, each outstanding share of Time's common stock (other than (i) shares owned by Co., Time or Purchaser, (ii) shares with respect to which appraisal rights are properly exercised under Delaware law, and (iii) shares validly tendered and not validly withdrawn in accordance with the terms of the Offer) will be converted into the right

to receive an amount in cash equal to the Offer Consideration (the "Merger Consideration"), without interest, less any required withholding taxes. The Merger Agreement provides that stock options, restricted stock units and certain performance stock units that are outstanding immediately prior to the Effective Time but unvested after giving effect to the transaction will be assumed by Co. and will be subject to the same terms and conditions, including applicable vesting conditions, as applied to each such equity-based award immediately prior to the Effective Time, provided that the number of shares subject to such equity-based awards (and the exercise price in the case of stock options) will be adjusted based on the Exchange Ratio. The transaction has been unanimously approved by the Boards of Directors of Co. and Time, and is expected to close during the first quarter of calendar 2018.

#### MERITAGE HOSPITALITY GROUP INC

Earnings, 9 mos. to (Consol. - \$):

	10/01/17	10/02/16
Cost & expenses	208,503,605	161,720,054
Deprec., depl. & amort.	6,057,694	4,139,952
Operating income	12,777,279	8,511,538
Interest expense	3,753,833	2,277,196
Other income (expense), net	2,359,567	300,644
Net before taxes	11,383,013	6,534,986
Income taxes	3,906,814	1,964,289
Net income	7,476,199	4,570,697
Earnings common share		
Common Shares:		
Year-end	6,119,741	5,895,554

#### MERITOR INC

##### Annual Report

Consolidated Income Statement, Years Ended Sept. 30

(A\$):

	2017	2016	2015
		(revised)	(revised)
Sales	3,347,000	3,199,000	3,505,000
Cost of sales	2,863,000	2,763,000	3,043,000
Gross margin	484,000	436,000	462,000
Gain (loss) on sale of receivables	...	...	(5,000)
Short- & long-term variable compensation	...	...	27,000
Asbestos-related liability remeasurement	...	...	(2,000)
All other selling, general & administrative expenses	...	...	213,000
Pension settlement losses	...	...	59,000
Restructuring costs	6,000	16,000	16,000
Goodwill impairment charge	...	...	15,000
Other operating expense (income), net	7,000	3,000	1,000
Operating income (loss)	207,000	204,000	128,000
Other income	2,000	(1,000)	5,000
Gain on sale of equity investment	243,000	...	...
Equity in earnings (loss) of affiliates	48,000	36,000	39,000
Interest expense, net	119,000	84,000	105,000
Income (loss) before income taxes - U.S.	252,000	71,000	24,000
Income (loss) before income taxes - foreign	129,000	84,000	43,000
Income (loss) before income taxes	381,000	155,000	67,000
Current provision (benefit) for income taxes - U.S.	1,000	1,000	4,000
Current provision (benefit) for income taxes - foreign	11,000	(11,000)	20,000
Current provision (benefit) for income taxes -			





Earnings common share		
Primary .....	\$1.39	\$(19.61)
Fully Diluted .....	\$1.39	\$(19.61)
Common Shares:		
Full Diluted .....	25,074	10,644
Year-end .....	25,099	10,766

**MILACRON HOLDINGS CORP**

**Secondary Offering** On Nov. 16, 2017, Co. announced that certain of its stockholders intend to offer for sale 13,090,155 shares of its common stock pursuant to Co.'s shelf registration statement filed with the Securities and Exchange Commission.

**MODEL N, INC****Annual Report****Consolidated Income Statement, Years Ended Sept. 30**

(\$000):

	2017	2016 (revised)	2015 (revised)
License & implementation .....	23,114	20,579	36,172
SaaS & maintenance ..	108,055	86,392	57,596
Total revenue .....	131,169	106,971	93,768
License & implementation .....	14,224	12,976	15,555
Software-as-a-service ("SaaS") & maintenance .....	46,872	40,717	26,014
Total cost of revenues .....	61,096	53,693	41,569
Gross profit .....	70,073	53,278	52,199
Research & development .....	31,064	23,706	17,906
Sales & marketing ...	41,339	32,261	30,300
General & administrative expenses .....	36,281	30,051	23,132
Total operating expenses .....	108,684	86,018	71,338
Income (loss) from operations .....	(38,611)	(32,740)	(19,139)
Interest income (expense), net .....	(4,159)	50	6
Other expenses, net ..	62	86	(22)
Income before income taxes - Domestic .....	(43,753)	(34,527)	(20,292)
Income before income taxes - Foreign .....	921	1,751	1,181
Income (loss) before income taxes ..	(42,832)	(32,776)	(19,111)
Current income taxes (benefit) - state .....	37	23	13
Current income taxes (benefit) - foreign .....	647	140	482
Total current income taxes (benefit) .....	684	163	495
Deferred income taxes (benefit) - federal .....	(3,436)	150	27
Deferred income taxes (benefit) - state .....	(533)	22	6
Total deferred income taxes (benefit) .....	(3,969)	172	33
Provision for (benefit) income taxes .....	(3,285)	335	528
Net income (loss) .....	(39,547)	(33,111)	(19,639)
Weighted average shares outstanding - basic .....	28,649	27,379	26,015
Weighted average shares outstanding - diluted .....	28,649	27,379	26,015
Year end shares outstanding .....	29,323	27,891	26,666
Net earnings (loss) per share - basic .....	\$(1.38)	\$(1.21)	\$(0.76)
Net earnings (loss) per share - diluted .....	\$(1.38)	\$(1.21)	\$(0.76)

Total number of employees .....	864	814	721
Number of common stockholders .....	77	97	111
Foreign currency translation adjustments .....	60	(96)	(177)

Reclassified to conform with 2016 presentation; As is; As of November 3, 2017; As of November 11, 2016; As of November 10, 2015

**Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):**

2017 2016 (revised)

Cash & cash equivalents .....	57,558	66,149
Accounts receivable, gross .....	24,869	19,925
Less: allowance for doubtful accounts .....	85	0
Accounts receivable, net .....	24,784	19,925
Deferred cost of implementation services, current portion .....	493	1,630
Prepaid expenses .....	3,733	4,845
Other current assets .....	520	283
Total current assets .....	87,088	92,832
Computer software & equipment .....	10,274	9,319
Furniture & fixtures .....	1,284	1,117
Leasehold improvements .....	1,466	1,240
Software development costs .....	9,416	8,254
Less: accumulated depreciation & amortization .....	17,829	14,582
Add: Capital projects in progress .....	...	793
Property & equipment, net .....	4,611	6,141
Goodwill .....	39,283	6,939
Intangible assets, net .....	40,156	5,684
Other assets .....	798	1,371
Total assets .....	171,936	112,967
Accounts payable .....	3,002	3,334
Accrued employee compensation .....	14,996	8,349
Accrued liabilities .....	4,979	3,707
Deferred revenue, current portion .....	49,186	28,854
Short-term debt .....	4,753	...
Total current liabilities .....	76,916	44,244
Deferred revenue, net of current portion .....	227	1,924
Long-term debt .....	52,452	...
Other long-term liabilities .....	1,080	597
Total liabilities .....	130,675	46,765
Common stock .....	4	4
Additional paid-in capital .....	217,052	202,506
Accumulated other comprehensive income (loss) .....	(502)	(562)
Retained earnings (accumulated deficit) .....	(175,293)	(135,746)
Total stockholders' equity (deficit) .....	41,261	66,202

**MODEL N, INC**

**Offering** On Nov. 15, 2017, Co. announced a public offering pursuant to Common Stock, \$0.00015 par value per share. Co. proposed to offer 586,477 to be issued under the 2013 Employee Stock Purchase Plan at a proposed maximum offering price per share of \$11.95, which amounted to a proposed maximum aggregate offering price of \$7,008,401. The amount of registration fee is \$873.

**MOHAWK INDUSTRIES, INC.**

**Acquisition Development** On Nov. 20, 2017, Co. announced that it has agreed to acquire Godfrey Hirst Australia Pty Ltd., a flooring company in Australia and New Zealand. Terms of the transaction were not disclosed.

**MOOG INC****Annual Report****Consolidated Income Statement, Years Ended (\$000):**

	09/30/17	10/01/16 (revised)	10/03/15 (revised)
Net sales .....	2,497,524	2,411,937	2,525,532
Cost of sales .....	1,766,002	1,700,354	1,788,828
Gross profit .....	731,522	711,583	736,704
Research & development .....	144,646	147,336	132,271
Selling, general & administrative .....	356,141	339,961	371,498
Interest .....	34,551	34,605	28,967
Restructuring .....	...	15,393	15,449
Goodwill impairment .....	...	4,800	...
Other income (expenses) .....	(14,473)	3,372	(4,685)
Earnings before income taxes - domestic .....	77,007	82,848	78,074
Earnings before income taxes - foreign .....	104,704	90,012	105,760
Earnings (loss) before income taxes ..	181,711	172,860	183,834
Current federal income taxes .....	6,259	12,812	12,065
Current foreign income taxes .....	24,162	29,794	25,844
Current state income taxes .....	122	2,373	1,051
Total current income taxes .....	30,543	44,979	38,960
Deferred federal income taxes .....	11,624	10,078	10,800
Deferred foreign income taxes .....	(1,986)	(4,734)	882
Deferred state income taxes .....	1,120	(1,096)	1,309
Total deferred income taxes .....	10,758	4,248	12,991
Income taxes .....	41,301	49,227	51,951
Net earnings (loss) ..	140,410	123,633	131,883
Net earnings (loss) attributable to non-controlling interest .....	870	3,112	...
Net earnings (loss) attributable to common shareholders ..	141,280	126,745	131,883
Weighted average shares outstanding - basic .....	35,852	36,277	38,946
Weighted average shares outstanding - diluted .....	36,230	36,529	39,335
Year end shares outstanding .....	35,783	35,866	36,709
Net earnings (loss) per share - basic .....	\$3.94	\$3.49	\$3.39
Net earnings (loss) per share - diluted .....	\$3.90	\$3.47	\$3.35
Number of full time employees .....	10,675	10,497	10,691
Number of class A common stockholders ..	701	769	797
Number of class B common stockholders ..	309	331	348
Foreign currency translation adjustments .....	27,460	(37,838)	(82,042)

Reclassified to conform with 2017 presentation; As is; As of November 7, 2017; As of November 8, 2016; As of November 10, 2015

**Consolidated Balance Sheet, Years Ended (\$000):**

09/30/17 10/01/16 (revised)

Cash & cash equivalents .....	368,073	325,128
Accounts receivable .....	286,773	306,469
Long-term contract receivables - amounts billed .....	148,087	130,429
Long-term contract		

receivables - unbilled recoverable costs & accrued profits	282,154	245,376	Total shareholders' equity			1,214,304	988,411	senior subordinated notes			...	...	4,000			
Total long-term contract receivables	430,241	375,805	□ Reclassified to conform with 2017 presentation													
Other receivables	15,077	10,652	<b>MOVADO GROUP, INC.</b>													
Less allowance for doubtful accounts	4,351	4,538	<b>Earnings, 9 mos. to Oct 31 (Consol. - \$000):</b>													
Receivables, net	727,740	688,388				2017	2016									
Raw materials & purchased parts	189,517	174,331	Net Sales	418,739	421,967	Cost & expenses	388,885	375,427	Interest on 8.75% senior unsecured notes				...	...	2,400	
Work in progress	229,202	235,258	Operating income	29,854	46,540	Interest income	361	138	Interest rate swap contracts				1,900	...	...	
Finished goods	70,408	69,451	Interest expense	1,191	1,039	Other income (expense), net	29,024	44,357	Other interest expense				600	500	200	
Inventories	489,127	479,040	Net before taxes	29,024	44,357	Income taxes	10,341	14,450	Interest expense				24,200	24,000	27,800	
Prepaid expenses & other current assets	41,499	34,688	<b>Net income</b>	18,683	29,907	Earnings common share										
Total current assets	1,626,439	1,527,244	Primary	\$0.81	\$1.29	Fully Diluted	\$0.80	\$1.28	Interest income				2,000	400	300	
Land	29,191	28,932	Common Shares:													
Buildings & improvements	421,879	408,107	Full Diluted	23,261	23,259	Year-end	22,940	22,933	Interest income (expense), net				(22,200)	(23,600)	(27,500)	
Machinery & equipment	709,382	684,995	MSCI INC													
Computer equipment & software	133,699	126,144	<b>Earnings, 9 mos. to Sep 30 (Consol. - \$000):</b>				2017	2016								
Property, plant & equipment, at cost	1,294,151	1,248,178	Total revenues	939,393	857,857	Cost & expenses	453,851	435,357	Gain (loss) on early extinguishment of debt				...	...	(31,300)	
Less accumulated depreciation & amortization	771,160	725,809	Operating income	425,233	362,092	Interest income	4,077	2,005	Income (loss) before income taxes				82,700	69,700	25,200	
Property, plant & equipment, net	522,991	522,369	Interest expense	87,071	72,612	Other income (expense), net	(2,300)	(2,642)	Income (loss) before income taxes - U.S.				82,700	69,700	25,200	
Goodwill	774,268	740,162	Net before taxes	339,939	288,843	Income taxes	100,569	96,238	Income (loss) before income taxes - non-U.S.				(4,200)	(400)	(4,400)	
Intangible assets, gross	345,185	329,796	<b>Net income</b>	239,370	192,605	Earnings common share										
Less accumulated amortization	236,367	216,236	Primary	\$2.65	\$1.99	Fully Diluted	\$2.61	\$1.98	Current U.S. federal income tax expense (benefit)				25,400	28,900	3,100	
Intangible assets, net	108,818	113,560	Common Shares:													
Deferred income taxes	26,558	75,800	Full Diluted	91,731	97,445	Year-end	90,067	94,673	Current U.S. state & local income tax expense (benefit)				4,000	2,000	200	
Other assets	31,518	25,839	MUELLER (PAUL) CO													
Total assets	3,090,592	3,004,974	<b>Earnings, 9 mos. to Sep 30 (Consol. - \$000):</b>				2017	2016								
Lines of credit	89	99	Net Sales	125,512	130,801	Cost & expenses	120,767	133,448	Current non-U.S. income tax expense (benefit)				500	(100)	...	
Other short-term debt	...	1,280	Operating income	4,745	(2,647)	Interest expense	248	185	Total current income tax expense (benefit)				29,900	30,800	3,300	
Short-term borrowings	89	1,379	Other income (expense), net	(563)	(160)	Net before taxes	3,934	(2,992)	Deferred U.S. federal income tax expense (benefit)				(4,300)	(9,800)	2,800	
Current installments of long-term debt	295	167	<b>Net income</b>	2,316	(1,526)	Earnings common share										
Accounts payable	170,878	144,450	Primary	\$1.94	\$(1.26)	Fully Diluted	\$1.94	\$(1.26)	Deferred U.S. state & local income tax expense (benefit)				(200)	3,400	2,700	
Accrued compensation	148,406	126,319	Common Shares:													
Customer advances	159,274	167,514	Year-end	1,196	1,202	MUELLER WATER PRODUCTS INC										
Contract loss reserves	43,214	32,543	<b>Annual Report</b>													
Other accrued liabilities	107,278	116,577	<b>Consolidated Income Statement, Years Ended Sept. 30</b>				2017	□2016	□2015							
Total current liabilities	629,434	588,949	(\$000):													
Revolving credit facility	540,110	590,000	Net sales	826,000	800,600	Cost of sales	558,500	532,700	547,100	Year end shares outstanding				160,100	161,300	160,500
Senior notes	300,000	300,000	Gross profit	267,500	267,900	Selling, general & administrative expenses	156,400	151,200	146,700	- basic				161,800	163,400	163,200
Securitization program	120,000	120,000	Net before taxes	3,934	(2,992)	Pension settlement	...	16,600	500	- diluted				158,590	...	...
Obligations under capital leases	306	471	<b>Net income</b>	2,316	(1,526)	Loss on Walter receivable	...	...	(11,600)	Net income (loss) per share from discontinued operations - basic				\$0.34	\$0.28	\$0.08
Less deferred debt issuance cost	(3,468)	(5,457)	Earnings common share													
Less current installments	295	167	Primary	\$1.94	\$(1.26)	Other charges	10,400	7,200	7,900	per share from discontinued operations - basic				\$0.43	\$0.12	\$0.11
Long-term pension & retirement obligations	271,272	401,747	Common Shares:													
Deferred income taxes	13,320	11,026	Year-end	1,196	1,202	Total operating expenses	166,800	175,000	166,700	Net income (loss) per share - basic				\$0.77	\$0.40	\$0.19
Other long-term liabilities	5,609	4,343	MUELLER WATER PRODUCTS INC													
Total liabilities	1,876,288	2,010,912	<b>Annual Report</b>													
Redeemable noncontrolling interest	...	5,651	<b>Consolidated Income Statement, Years Ended Sept. 30</b>				2017	□2016	□2015							
Class A common stock	43,704	43,667	(\$000):													
Class B common stock	7,576	7,613	Net sales	826,000	800,600	Cost of sales	558,500	532,700	547,100	Net income (loss) per share from discontinued operations - basic				\$0.34	\$0.28	\$0.08
Additional paid-in capital	492,246	465,762	Gross profit	267,500	267,900	Selling, general & administrative expenses	156,400	151,200	146,700	per share from discontinued operations - basic				\$0.43	\$0.12	\$0.11
Retained earnings (accumulated deficit)	1,847,819	1,706,539	Net before taxes	3,934	(2,992)	Pension settlement	...	16,600	500	Net income (loss) per share from discontinued operations - basic				\$0.77	\$0.40	\$0.19
Treasury shares	739,157	741,700	<b>Net income</b>	2,316	(1,526)	Loss on Walter receivable	...	...	(11,600)	Net income (loss) per share from discontinued operations - basic				\$0.77	\$0.40	\$0.19
Stock Employee Compensation Trust	89,919	49,463	Earnings common share													
Supplemental Retirement Plan Trust	(12,474)	(8,946)	Primary	\$1.94	\$(1.26)	Other charges	10,400	7,200	7,900	Net income (loss) per share from discontinued operations - basic				\$0.77	\$0.40	\$0.19
Accumulated foreign currency translation	(83,166)	(110,626)	Common Shares:													
Accumulated retirement liability	(251,865)	(321,094)	Year-end	1,196	1,202	Total operating expenses	166,800	175,000	166,700	Net income (loss) per share from discontinued operations - basic				\$0.77	\$0.40	\$0.19
Accumulated gain (loss) on derivatives	(460)	(3,341)	MUELLER WATER PRODUCTS INC													
Accumulated other comprehensive income (loss)	(335,491)	(435,061)	<b>Annual Report</b>													
			<b>Consolidated Income Statement, Years Ended Sept. 30</b>				2017	□2016	□2015							
			(\$000):													
			Net sales	826,000	800,600	Cost of sales	558,500	532,700	547,100	Year end shares outstanding				160,100	161,300	160,500
			Gross profit	267,500	267,900	Selling, general & administrative expenses	156,400	151,200	146,700	- basic				161,800	163,400	163,200
			Net before taxes	3,934	(2,992)	Pension settlement	...	16,600	500	- diluted				158,590	...	...
			<b>Net income</b>	2,316	(1,526)	Loss on Walter receivable	...	...	(11,600)	Net income (loss) per share from discontinued operations - basic				\$0.34	\$0.28	\$0.08
			Earnings common share													
			Primary	\$1.94	\$(1.26)	Other charges	10,400	7,200	7,900	per share from discontinued operations - basic				\$0.43	\$0.12	\$0.11
			Common Shares:													
			Year-end	1,196	1,202	Total operating expenses	166,800	175,000	166,700	Net income (loss) per share from discontinued operations - basic				\$0.77	\$0.40	\$0.19
			MUELLER WATER PRODUCTS INC													
			<b>Annual Report</b>													
			<b>Consolidated Income Statement, Years Ended Sept. 30</b>				2017	□2016	□2015							
			(\$000):													
			Net sales	826,000	800,600	Cost of sales	558,500	532,700	547,100	Year end shares outstanding				160,100	161,300	160,500
			Gross profit	267,500	267,900	Selling, general & administrative expenses	156,400	151,200	146,700	- basic				161,800	163,400	163,200
			Net before taxes	3,934	(2,992)	Pension settlement	...	16,600	500	- diluted				158,590	...	...
			<b>Net income</b>	2,316	(1,526)	Loss on Walter receivable	...	...	(11,600)	Net income (loss) per share from discontinued operations - basic				\$0.34	\$0.28	\$0.08
			Earnings common share													
			Primary	\$1.94	\$(1.26)	Other charges	10,400	7,200	7,900	per share from discontinued operations - basic				\$0.43	\$0.12	\$0.11
			Common Shares:													
			Year-end	1,196	1,202	Total operating expenses	166,800	175,000	166,700	Net income (loss) per share from discontinued operations - basic				\$0.77	\$0.40	\$0.19
			MUELLER WATER PRODUCTS INC													
			<b>Annual Report</b>													
			<b>Consolidated Income Statement, Years Ended Sept. 30</b>				2017	□2016	□2015							
			(\$000):													
			Net sales	826,000	800,600	Cost of sales	558,500	532,700	547,100	Year end shares outstanding				160,100	161,300	160,500
			Gross profit	267,500	267,900	Selling, general & administrative expenses	156,400	151,200	146,700	- basic				161,800	163,400	163,200
			Net before taxes	3,934	(2,992)	Pension settlement	...	16,600	500	- diluted				158,590	...	...
			<b>Net income</b>	2,316	(1,526)	Loss on Walter receivable	...	...	(11,600)	Net income (loss) per share from discontinued operations - basic				\$0.34	\$0.28	\$0.08
			Earnings common share													
			Primary	\$1.94	\$(1.26)	Other charges	10,400	7,200	7,900	per share from discontinued operations - basic				\$0.43	\$0.12	\$0.11
			Common Shares:													
			Year-end	1,196	1,202	Total operating expenses	166,800	175,000	166,700	Net income (loss) per share from discontinued operations - basic				\$0.77	\$0.40	\$0.19
			MUELLER WATER PRODUCTS INC													
			<b>Annual Report</b>													
			<b>Consolidated Income Statement, Years Ended Sept. 30</b>				2017	□2016	□2015							
			(\$000):													
			Net sales	826,000	800,600	Cost of sales	558,500	532,700	547,100	Year end shares outstanding				160,100	161,300	160,500
			Gross profit	267,500	267,900	Selling, general & administrative expenses	156,400	151,200	146,700	- basic				161,800	163,400	163,200
			Net before taxes	3,934	(2,992)	Pension settlement	...	16,600	500	- diluted				158,590	...	...
			<b>Net income</b>	2,316	(1,526)	Loss on Walter receivable	...	...	(11,600)	Net income (loss) per share from discontinued operations - basic				\$0.34	\$0.28	\$0.08
			Earnings common share													
			Primary	\$1.94	\$(1.26)	Other charges	10,400	7,200	7,900	per share from discontinued operations - basic				\$0.43	\$0.12	\$0.11
			Common Shares:													
			Year-end	1,196	1,202	Total operating expenses	166,800	175,000	166,700	Net income (loss) per share from discontinued operations - basic				\$0.77	\$0.40	\$0.19
			MUELLER WATER PRODUCTS INC													
			<b>Annual Report</b>													
			<b>Consolidated Income Statement, Years Ended Sept. 30</b>				2017	□2016	□2015							
			(\$000):													
			Net sales	826,000	800,600	Cost of sales	558,500	532,700	547,100	Year end shares outstanding				160,100	161,300	160,500
			Gross profit	267,500	267,900	Selling, general & administrative expenses	156,400	151,200	146,700	- basic				161,800	163,400	163,200
			Net before taxes	3,934	(2,992)	Pension settlement	...	16,600	500	- diluted				158,590	...	...
			<b>Net income</b>	2,316	(1,526)	Loss on Walter receivable	...	...	(11,600)	Net income (loss) per share from discontinued operations - basic				\$0.34	\$0.28	\$0.08
			Earnings common share													
			Primary	\$1.94	\$(1.26)	Other charges	10,400	7,200	7,900	per share from discontinued operations - basic				\$0.43	\$0.12	\$0.11
			Common Shares:													

Net income (loss) per share - diluted	\$0.76	\$0.39	\$0.19
Dividends declared per share	\$0.15	\$0.10	\$0.07
Total number of employees	2,600		
Number of common stockholders	107		
Foreign currency translation adjustments		200	(8,700)

Restated to reflect the sale of Anvil segment as discontinued operations; As is

#### Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):

	2017	2016	(revised)
Cash & cash equivalents	361,700	195,000	
Receivables, net	145,300	131,800	
Purchased components & raw material	67,700	67,000	
Work in process	35,600	31,400	
Finished goods	35,600	32,300	
Inventories	138,900	130,700	
Maintenance & repair tooling	3,300	2,900	
Income taxes	10,900	1,500	
Other current assets	10,200	8,300	
Current assets held for sale		142,100	
Total current assets	670,300	612,300	
Land	5,600	5,700	
Buildings	53,400	50,600	
Machinery & equipment	266,700	248,300	
Construction in progress	24,700	14,800	
Property, plant & equipment, gross	350,400	319,400	
Accumulated depreciation	228,100	211,000	
Property, plant & equipment, net	122,300	108,400	
Intangibles assets	439,300	434,600	
Other noncurrent assets	26,400	25,400	
Noncurrent assets held for sale		99,900	
Total assets	1,258,300	1,280,600	
Current portion of long-term debt	5,600	5,600	
Accounts payable	82,500	73,700	
Compensation & benefits	26,900	32,700	
Customer rebates	6,500	8,300	
Taxes other than income taxes	3,200	3,000	
Warranty	3,500	2,000	
Environmental	1,300	5,000	
Income taxes	900	4,600	
Interest	600	500	
Restructuring	3,300		
Other current liabilities	7,300	5,600	
Current liabilities held for sale		44,800	
Total current liabilities	141,600	185,800	
Term loan	484,800	489,400	
Other long-term debt	1,700	1,300	
Deferred financing costs	(5,900)	(6,300)	
Less: current portion	5,600	5,600	
Long-term debt	475,000	478,800	
Deferred income taxes	115,100	109,900	
Other noncurrent liabilities	37,100	85,800	
Noncurrent liabilities held for sale		800	
Total liabilities	768,800	861,100	
Common stock	1,600	1,600	
Additional paid-in capital	1,494,200	1,563,900	
Retained earnings (accumulated deficit)	(955,600)	(1,078,900)	
Foreign currency translation	(3,300)	(6,100)	
Minimum pension liability, net of tax	(47,000)	(57,700)	
Derivative instruments, net of tax	(1,500)	(4,500)	

Accumulated other comprehensive income (loss)	(51,800)	(68,300)
Total Co. stockholders' equity (deficit)	488,400	418,300
Noncontrolling interest	1,100	1,200
Total equity	489,500	419,500

Restated to reflect the sale of Anvil segment as discontinued operations

#### MYOMO INC

**Offering** On Nov. 24, 2017, Co. announced a public offering pursuant to (a) Units, each consisting of one share of common stock, \$0.0001 par value, and one-half of one warrant; (b) Shares of common stock included as part of the units; and (c) Warrants included as part of the units. Co. proposed to offer (i) 28,750,000 Units under Units, each consisting of one share of common stock, \$0.0001 par value, and one-half of one warrant at a proposed maximum offering price per security of \$10.00, which amounted to a proposed maximum aggregate offering price of \$287,500,000. The amount of registration fee is \$35,793.75; (ii) 28,750,000 Shares under Shares of common stock included as part of the units; and (iii) 14,375,000 Warrants under Warrants included as part of the units.

#### MYOMO INC

**Securities Registration** On Nov. 15, 2017, Co. announced a public offering pursuant to (i) Common Stock, \$0.0001 par value per share, which amounted to a proposed maximum aggregate offering price of \$10,781,250. The amount of registration fee is 1,342.27; (ii) Common stock, \$0.0001 par value per share, underlying the warrants to purchase common stock, which amounted to a proposed maximum aggregate offering price of \$6,468,750. The amount of registration fee is \$805.36; and (iii) Common stock, \$0.0001 par value per share, underlying the underwriter warrant, which amounted to a proposed maximum aggregate offering price of \$161,719. The amount of registration fee is \$20.14.

#### MYOMO INC

**Securities Registration** On Nov. 20, 2017, Co. announced a public offering pursuant to (i) Common Stock, \$0.0001 par value per share, which amounted to a proposed maximum aggregate offering price of \$9,729,000. The amount of registration fee is \$1,211.27; (ii) Common stock, \$0.0001 par value per share, underlying the warrants to purchase common stock, which amounted to a proposed maximum aggregate offering price of \$8,756,100. The amount of registration fee is \$1,090.14; and (iii) Common stock, \$0.0001 par value per share, underlying the underwriter warrant which amounted to a proposed maximum aggregate offering price of \$170,258. The amount of registration fee is \$21.20.

#### NANOVIRICIDES INC

**Earnings, 3 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Cost & expenses	2,230,446	2,440,449
Operating income	(2,230,446)	(2,440,449)
Other income (expense), net	325,497	(390,171)
Net before taxes	(2,005,555)	(3,061,444)
Net income	(2,005,555)	(3,061,444)
Earnings common share		
Primary	\$(0.03)	\$(0.05)
Fully Diluted	\$(0.03)	\$(0.05)
Common Shares:		
Full Diluted	63,307,083	58,179,949
Year-end	63,335,194	58,202,708

#### Consolidated Balance Sheet Items, as of (\$):

	2017	2016
Cash & equivalents	13,512,240	
Current assets	13,704,660	
Net property & equip.	11,135,304	
Total assets	25,265,766	
Liabilities:		
Current liabilities	5,283,932	
Stockholders' equity	18,519,209	
Net current assets	8,420,728	

#### NANTKWEST INC

**Earnings, 9 mos. to Sep 30 (Consol. - \$000):**

	2017	2016
Total revenues	33	30
Cost & expenses	73,759	99,386
Operating income	(73,726)	(99,356)
Interest income	3,408	3,802
Other income (expense), net	(1,385)	(1,478)
Invest. income	30	67
Net before taxes	(72,257)	(96,994)

Income taxes	(321)	(423)
Net income	(71,936)	(96,571)
Earnings common share		
Primary	\$(0.89)	\$(1.18)
Fully Diluted	\$(0.89)	\$(1.18)
Common Shares:		
Full Diluted	80,997	82,019
Year-end	79,441	82,375

#### NATIONAL HEALTHCARE CORP.

**Earnings, 9 mos. to Sep 30 (Consol. - \$000):**

	2017	2016
Total revenues	720,685	688,637
Cost & expenses	651,289	616,935
Operating income	37,787	42,855
Interest income	4,820	4,209
Other income (expense), net	11,227	10,580
Net before taxes	53,834	57,644
Income taxes	19,448	20,969
Net income	34,386	36,675
Earnings common share		
Primary	\$2.29	\$2.42
Fully Diluted	\$2.28	\$2.41
Common Shares:		
Full Diluted	15,218	15,217
Year-end	15,197	15,149

#### NATIONAL PRESTO INDUSTRIES, INC.

**Earnings, 9 mos. to (Consol. - \$000):**

	10/01/17	10/02/16
Net Sales	218,029	209,991
Cost & expenses	178,358	176,789
Operating income	37,820	32,634
Other income (expense), net	2,722	596
Net before taxes	40,542	33,230
Income taxes	13,290	11,017
Income contin. oper.	27,252	22,213
Income discont. oper.	8,947	1,576
Net income	36,199	23,789
Earnings common share		
Primary	\$5.18	\$3.41
Fully Diluted	\$5.18	\$3.41
Common Shares:		
Full Diluted	6,987	6,969
Year-end	7,441	

#### NAVIDEA BIOPHARMACEUTICALS, INC.

**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Total revenues	1,415,298	3,939,845
Cost & expenses	11,772,420	10,848,731
Operating income	(10,357,122)	(6,908,886)
Other income (expense), net	(1,206,001)	1,690,914
Gains or losses		(39,732)
Net before taxes	(11,418,312)	(5,259,780)
Income taxes	(3,861,156)	
Income contin. oper.	(7,557,156)	(5,259,780)
Net income	79,004,006	(10,427,092)
Balance for common	79,004,198	(10,426,576)
Earnings common share		
Primary	\$0.49	\$(0.07)
Fully Diluted	\$0.47	\$(0.07)
Common Shares:		
Full Diluted	165,914,473	155,390,911
Year-end	162,206,646	

#### NEO LITHIUM CORP (NEW)

**Offering** On Nov. 21, 2017, Co. announced that it has completed the "bought deal" private placement financing (the "Offering") announced on Oct. 31, 2017, as increased on Nov. 1, 2017, through a syndicate of underwriters led by Cormark Securities Inc., and including Canaccord Genuity Corp., GMP Securities L.P., Sprott Capital Partners, a division of Sprott Private Wealth L.P., and PowerOne Capital Markets Limited (collectively, the "Underwriters"). Co. issued 15,404,600 common shares in the capital of Co. ("Common Shares") in the Offering at a price of C\$1.95 per Common Share (the "Issue Price") for aggregate gross proceeds to the Company of \$30,038,970, which included the full exercise of the Underwriters' option to purchase for resale up to an additional 15% of the Common Shares constituting the base Offering size. The net proceeds of the Offering are expected to be used for advancement of Co.'s Tres Quebradas lithium project in Catamarca, Argentina, and for general corporate purposes. The Underwriters received a cash commission in connection with the

Offering equal to 5% of the aggregate gross proceeds raised. In addition, the Underwriters received 308,092 broker warrants (the "Broker Warrants"), with each Broker Warrant being exercisable to purchase one Common Share at the Issue Price for 24 months from the date hereof. The securities issued under the Offering (and any securities issued upon the exercise thereof) are subject to a hold period which will expire four months and one day from the date hereof, being Mar. 22, 2018.

**NETWORK CN INC.****Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Cost & expenses	263,366	324,074
Operating income	(263,366)	(324,074)
Net before taxes	(669,316)	(706,654)
<b>Net income</b>	<b>(669,316)</b>	<b>(706,654)</b>
Earnings common share		
Primary	\$(0.08)	\$(0.09)
Fully Diluted	\$(0.08)	\$(0.09)
Common Shares:		
Full Diluted	8,041,995	8,041,995
Year-end	8,041,995	8,041,995

**NEUROTROPE INC****Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Cost & expenses	8,370,510	7,918,584
Operating income	(8,270,156)	(7,913,134)
Other income (expense), net	53,599	
Gains or losses	(34,274)	
Net before taxes	(8,304,430)	(7,913,134)
<b>Net income</b>	<b>(8,304,430)</b>	<b>(7,913,134)</b>
Balance for common	(8,304,430)	(9,450,283)
Earnings common share		
Primary	\$(1.08)	\$(6.13)
Fully Diluted	\$(1.08)	\$(6.13)
Common Shares:		
Full Diluted	7,674,600	1,540,469
Year-end	7,895,859	1,554,369

**NEVADA CANYON GOLD CORP****Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Total revenues	20,000	
Cost & expenses	63,748	230,713
Other income (expense), net		(8,000)
Gains or losses	2,262,519	
<b>Net income</b>	<b>2,218,771</b>	<b>(238,794)</b>
Earnings common share		
Primary	\$0.05	\$0.05
Fully Diluted	\$0.05	\$0.05
Common Shares:		
Full Diluted	44,050,000	103,828,284
Year-end	44,050,000	44,050,000

**NEVADA ENERGY METALS INC**

**Annual Meeting Development** On Nov. 15, 2017, Co. announced that its Annual General and Special Meeting to be held on Dec. 13, 2017 at 11:30 AM (Vancouver time) at 1220 Ū 789 West Pender Street, Vancouver, British Columbia, V6C 1H2.

**NEVADA ENERGY METALS INC**

**Stock Split Development** On Nov. 15, 2017, Co. announced that its Annual General and Special Meeting to be held on Dec. 13, 2017 at 11:30 AM (Vancouver time) at 1220 Ū 789 West Pender Street, Vancouver, British Columbia, V6C 1H2, at the meeting Co. will seek its shareholders to approve, as an ordinary resolution, an amendment to Co.'s share structure to consolidate Co.'s issued and outstanding common shares on a two old shares for one new share basis (2:1), such that the 9,378,305 issued and outstanding common shares of Co. are consolidated into 4,689,152 common shares.

**NEVADA EXPLORATION INC**

**Private Placement** On Nov. 27, 2017, Co. announced that it has closed, subject to final TSX Venture Exchange approval, a non-brokered private placement, issuing 2,787,500 units (the "Units") at a price of C\$0.40 per Unit for total gross proceeds of C\$1,115,000. Each Unit consists of one common share and one non-transferable common share purchase warrant. Each warrant entitles the holder to purchase one common share at an exercise price of C\$0.65 for a period of 12 months. All securities issued are subject to a four month plus one-day hold period expiring Mar. 28, 2018. Proceeds from the offering will be used to advance Co.'s exploration programs, as well as for general working capital. In connection with the Offering, Co. paid finders fees totalling 133,750 Units.

**NEW CONCEPT ENERGY, INC.****Earnings, 9 mos. to Sep 30 (Consol. - \$000):**

	2017	2016
Total revenues	632	579
Cost & expenses	1,083	1,243
Operating income	(451)	(664)
Interest expense	19	26
Other income (expense), net	51	(21)
Income contin. oper.	(399)	(694)
<b>Net income</b>	<b>(397)</b>	<b>(593)</b>
Balance for common	(397)	(593)
Earnings common share		
Primary	\$(0.20)	\$(0.30)
Fully Diluted	\$(0.20)	\$(0.30)
Common Shares:		
Full Diluted	1,947	1,947
Year-end	1,947	1,947

**NOBLE MIDSTREAM PARTNERS LP****Earnings, 9 mos. to Sep 30 (Consol. - \$000):**

	2017	2016
Total revenues	171,208	112,259
Cost & expenses	48,687	27,410
Deprec., depl. & amort.	8,483	6,652
Operating income	114,038	78,197
Interest expense	961	3,107
Other income (expense), net	4,339	3,509
Net before taxes	117,416	78,599
Income taxes	33	28,288
<b>Net income</b>	<b>117,383</b>	<b>50,311</b>
Earnings common share		
Primary	\$2.93	\$0.10
Fully Diluted	\$2.93	\$0.10
Common Shares:		
Full Diluted	15,638	31,806
Year-end	35,936	31,806

**NORDSTROM, INC.****Earnings, 9 mos. to (Consol. - \$000):**

	10/28/17	10/29/16
Total revenues	10,776,000	10,441,000
Net Sales	10,537,000	10,255,000
Cost & expenses	10,201,000	10,060,000
Operating income	575,000	381,000
Net before taxes	471,000	291,000
Income taxes	185,000	138,000
<b>Net income</b>	<b>286,000</b>	<b>153,000</b>
Earnings common share		
Primary	\$1.72	\$0.88
Fully Diluted	\$1.70	\$0.87
Common Shares:		
Full Diluted	168,800	175,600
Year-end	166,600	173,200

**NORTHERN OIL & GAS INC (NV)****Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Total revenues	172,317,392	108,959,869
Cost & expenses	66,295,004	293,029,590
Operating income	64,154,108	231,790,693
Interest expense	49,404,601	48,290,447
Other income (expense), net	(94,590)	(1,082,170)
Net before taxes	14,654,917	281,163,310
<b>Net income</b>	<b>14,654,917</b>	<b>281,163,310</b>
Earnings common share		
Primary	\$0.24	\$(4.60)
Fully Diluted	\$0.24	\$(4.60)
Common Shares:		
Full Diluted	61,991,292	61,127,577
Year-end	63,822,028	63,029,971

**NOVABAY PHARMACEUTICALS INC**

**Special Meeting of Stockholders** On Nov. 22, 2017, Co. scheduled its Special Meeting of Stockholders on Dec. 20, 2017, at 9:00 a.m., PST. The meeting will be held via live webcast by visiting [www.meetingcenter.io/293415062](http://www.meetingcenter.io/293415062).

**NTN BUZZTIME INC****Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Total revenues	15,978,000	16,332,000
Cost & expenses	16,641,000	17,983,000
Operating income	(913,000)	(1,978,000)
Other income (expense), net	496,000	(455,000)
Net before taxes	(417,000)	(2,433,000)

Income taxes	21,000	31,000
<b>Net income</b>	<b>(438,000)</b>	<b>(2,464,000)</b>
Earnings common share		
Primary	\$(0.18)	\$(1.34)
Fully Diluted	\$(0.18)	\$(1.34)
Common Shares:		
Full Diluted	2,419,000	1,839,000
Year-end	2,506,000	1,839,000

**NUVERRA ENVIRONMENTAL SOLUTIONS INC****Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Total revenues	33,758,000	116,394,000
Cost & expenses	33,442,000	139,453,000
Operating income	(17,005,000)	(69,129,000)
Other income (expense), net	824,000	4,350,000
Net before taxes	(16,959,000)	105,453,000
Income taxes	34,000	852,000
Income contin. oper.	(16,993,000)	106,305,000
<b>Net income</b>	<b>(16,993,000)</b>	<b>107,540,000</b>
Balance for common	(16,993,000)	(107,540,000)
Earnings common share		
Primary	\$(1.45)	\$(1.43)
Fully Diluted	\$(1.45)	\$(1.43)
Common Shares:		
Full Diluted	11,696,000	75,291,000
Year-end	11,695,580	150,680,501

**NUVERRA ENVIRONMENTAL SOLUTIONS INC**

**New Accountant** On Nov. 16, 2017, Hein & Associates LLP resigned as Co.'s independent public accounting firm, subsequently, Co. engaged Moss Adams LLP as its new independent public accounting firm.

**OLIN CORP.****Earnings, 9 mos. to Sep 30 (Consol. - \$000):**

	2017	2016
Net Sales	4,648,500	4,164,900
Cost & expenses	4,436,500	4,081,400
Operating income	212,000	83,500
Other income (expense), net	1,500	1,100
Net before taxes	56,500	(57,700)
Income taxes	(3,700)	(36,300)
<b>Net income</b>	<b>60,200</b>	<b>(21,400)</b>
Earnings common share		
Primary	\$0.36	\$(0.13)
Fully Diluted	\$0.36	\$(0.13)
Common Shares:		
Full Diluted	168,200	165,200
Year-end	166,400	165,300

**OMEGA PROTEIN CORP**

**Special Meeting of Stockholders** On Nov. 27, 2017, Co. announced that a Special Meeting of Stockholders (the "Special Meeting") in connection with the proposed merger with Cooke Inc. ("Cooke") will be held on Dec. 19, 2017 at 9:00 a.m. Central Time at First City Tower, 1001 Fannin St., Suite 2500, Houston, TX, 77002, for the purpose of voting on: (i) a proposal (the "Merger Proposal") to approve and adopt the merger agreement for the proposed merger with Cooke (the "Merger Agreement") and the other transactions contemplated by the Merger Agreement; and (ii) an advisory, non-binding proposal to approve compensation that will or may become payable to our named executive officers in connection with the merger (i) and (ii) collectively, the "Proposed Transaction").

**OMNITEK ENGINEERING CORP****Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Total revenues	814,210	946,948
Cost & expenses	1,339,050	1,633,882
Deprec., depl. & amort.	18,594	21,081
Operating income	(543,434)	(708,015)
Interest expense	6,245	4,351
Other income (expense), net		5,574
Net before taxes	(549,679)	(706,792)
Income taxes	800	800
<b>Net income</b>	<b>(550,479)</b>	<b>(707,592)</b>
Earnings common share		
Primary	\$(0.03)	\$(0.04)
Fully Diluted	\$(0.03)	\$(0.04)
Common Shares:		
Full Diluted	20,281,082	20,095,681
Year-end	20,281,082	20,281,082

**ONCOCYTE CORP****Earnings, 9 mos. to Sep 30 (Consol. - \$000):**



Common stock	900	900
Additional paid-in capital	802,200	782,300
Retained earnings (accumulated deficit)	2,399,800	2,177,000
Employee pension & postretirement benefits, net of tax	(46,200)	(73,900)
Cumulative translation adjustments	(78,600)	(101,100)
Derivative instruments	(200)	...
Accumulated other comprehensive income (loss)	(125,000)	(175,000)
Common stock in treasury, at cost	770,500	808,700
Total shareholders' equity	2,307,400	1,976,500

□ Reclassified to conform with 2017 presentation

#### OSL HOLDINGS INC

**Trading Suspension Development** On June 7, 2017, the U.S. Securities and Exchange Commission ("Commission") announced the temporary suspension of trading in the securities of Co., commencing at 9:30 a.m. EDT on June 8, 2017 and terminating at 11:59 p.m. EDT on June 21, 2017. The Commission temporarily suspended trading in the securities of Co. due to a lack of current and accurate information about Co. because it has not filed certain periodic reports with the Commission. This order was entered pursuant to Section 12(k) of the Securities Exchange Act of 1934 ("Exchange Act"). The Commission cautions brokers, dealers, shareholders and prospective purchasers that they should carefully consider the foregoing information along with all other currently available information and any information subsequently issued by Co. Brokers and dealers should be alert to the fact that, pursuant to Exchange Act Rule 15c2-11, at the termination of the trading suspension, no quotation may be entered relating to the securities of Co. unless and until the broker or dealer has strictly complied with all of the provisions of the rule. If any broker or dealer is uncertain as to what is required by the rule, it should refrain from entering quotations relating to the securities of Co. that have been subject to a trading suspension until such time as it has familiarized itself with the rule and is certain that all of its provisions have been met. Any broker or dealer with questions regarding the rule should contact the staff of the Securities and Exchange Commission in Washington, DC at (202) 551-5777. If any broker or dealer enters any quotation which is in violation of the rule, the Commission will consider the need for prompt enforcement action.

#### OTC MARKETS GROUP INC

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Cost & expenses	26,021,000	24,473,000
Deprec., depl. & amort.	1,094,000	1,215,000
Operating income	13,653,000	12,315,000
Interest income	12,000	1,000
Other income (expense), net	29,000	5,000
Net before taxes	13,694,000	12,321,000
Income taxes	4,050,000	4,485,000
<b>Net income</b>	<b>9,644,000</b>	<b>7,836,000</b>
Earnings common share		
Primary	\$0.84	\$0.69
Fully Diluted	\$0.81	\$0.67
Common Shares:		
Full Diluted	11,542,704	11,426,468
Year-end	11,414,238	11,375,330

#### OURPET'S COMPANY

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	19,625,770	18,872,791
Cost & expenses	18,282,650	17,435,856
Operating income	1,343,120	1,436,935
Other income (expense), net	(9,395)	58,593
Net before taxes	1,258,963	1,411,322
Income taxes	196,061	494,440
<b>Net income</b>	<b>1,062,902</b>	<b>916,882</b>
Earnings common share		
Primary	\$0.06	\$0.05
Fully Diluted	\$0.05	\$0.05
Common Shares:		
Full Diluted	19,009,304	19,489,855
Year-end	19,539,230	17,712,348

#### PACIFIC SANDS INC

**Trading Suspension Development** On Sept. 22, 2017, the U.S. Securities and Exchange Commission ("Commission") announced the temporary suspension of trading in the securities of Co., commencing at 9:30 a.m. EDT on Sept. 26, 2017 and terminating at 11:59 p.m. EDT on Oct. 9, 2017. The Commission temporarily suspended trading in the securities of the foregoing companies due to a lack of current and accurate information about the companies because they had not filed certain periodic reports with the Commission. This order was entered pursuant to Section 12(k) of the Securities Exchange Act of 1934 ("Exchange Act"). The Commission cautions brokers, dealers, shareholders and prospective purchasers that they should carefully consider the foregoing information along with all other currently available information and any information subsequently issued by these companies. Brokers and dealers should be alert to the fact that, pursuant to Exchange Act Rule 15c2-11, at the termination of the trading suspension, no quotation may be entered relating to the securities of the subject companies unless and until the broker or dealer has strictly complied with all of the provisions of the rule. If any broker or dealer is uncertain as to what is required by the rule, it should refrain from entering quotations relating to the securities of these companies that have been subject to a trading suspension until such time as it has familiarized itself with the rule and is certain that all of its provisions have been met. Any broker or dealer with questions regarding the rule should contact the staff of the Securities and Exchange Commission in Washington, DC at (202) 551-5777. If any broker or dealer enters any quotation which is in violation of the rule, the Commission will consider the need for prompt enforcement action. If any broker, dealer or other person has any information which may relate to this matter, they should immediately communicate it to the Delinquent Filings Group of the Division of Enforcement at (202) 551-5466, or by e-mail at [DelinquentFilings@sec.gov](mailto:DelinquentFilings@sec.gov).

#### PALATIN TECHNOLOGIES INC

**Earnings, 3 mos. to Sep 30(Consol. - \$):**

	2017	2016
Cost & expenses	15,707,672	12,435,430
Operating income	11,233,836	(12,435,430)
Interest income	51,726	6,645
Interest expense	456,677	623,985
Income taxes	225,255	...
<b>Net income</b>	<b>10,603,630</b>	<b>(13,052,770)</b>
Earnings common share		
Primary	\$0.05	\$(0.08)
Fully Diluted	\$0.05	\$(0.08)
Common Shares:		
Full Diluted	201,360,736	165,848,269
Year-end	184,393,007	92,806,710

**Consolidated Balance Sheet Items, as of (\$):**

Assets:	2017	
Cash & equivalents	39,708,573	
Current assets	50,288,249	
Net property & equip.	193,037	
Total assets	50,538,202	
Liabilities:		
Current liabilities	39,442,887	
Long-term debt	4,305,241	
Stockholders' equity	5,975,678	
Net current assets	10,845,362	

#### PALATIN TECHNOLOGIES INC

**Offering** On Nov. 14, 2017, Co. announced a public offering pursuant to Common stock, par value \$0.1 per share. Co. proposed to offer 10,000,000 at a proposed maximum offering price per share of \$0.805, which amounted to a proposed maximum aggregate offering price of \$8,050,000.00. The amount of registration fee is \$1,002.23.

#### PAR PACIFIC HOLDINGS INC

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	1,780,004,000	3,301,909,000
Cost & expenses	1,673,800,000	3,268,889,000
Operating income	72,356,000	(44,819,000)
Other income (expense), net	10,326,000	(869,000)
Gains or losses	(1,804,000)	...
Net before taxes	55,378,000	(67,639,000)
Income taxes	1,762,000	(8,117,000)
<b>Net income</b>	<b>53,616,000</b>	<b>(59,522,000)</b>
Earnings common share		
Primary	\$1.16	\$(1.44)
Fully Diluted	\$1.16	\$(1.44)
Common Shares:		
Full Diluted	45,527,000	41,309,000

Year-end 45,778,788 45,509,029

#### PARAMOUNT GOLD NEVADA CORP

**Earnings, 3 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	85,548	5,306
Cost & expenses	1,169,197	1,269,218
Operating income	(1,084,587)	(1,265,014)
Interest income	3,818	3,286
Net before taxes	(1,082,719)	(1,261,922)
Income taxes	...	(3,215,418)
<b>Net income</b>	<b>(1,082,719)</b>	<b>1,953,496</b>
Earnings common share		
Primary	\$(0.06)	\$0.13
Fully Diluted	\$(0.06)	\$0.13
Common Shares:		
Full Diluted	17,779,954	15,424,838
Year-end	17,779,954	15,689,954

**Consolidated Balance Sheet Items, as of (\$):**

Assets:	2017	
Cash & equivalents	91,977	
Current assets	929,124	
Net property & equip.	11,721	
Total assets	49,491,609	
Liabilities:		
Current liabilities	919,730	
Stockholders' equity	47,489,262	
Net current assets	9,394	

#### PARETEUM CORP

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	9,532,807	9,711,288
Cost & expenses	11,013,679	21,669,047
Operating income	(4,630,060)	(15,277,863)
Interest income	136,000	75,247
Other income (expense), net	1,836,497	(1,369,933)
Net before taxes	(4,864,101)	(20,147,765)
Income taxes	81,144	27,557
<b>Net income</b>	<b>(4,945,245)</b>	<b>(20,175,322)</b>
Earnings common share		
Primary	\$(0.41)	\$(3.00)
Fully Diluted	\$(0.41)	\$(3.00)
Common Shares:		
Full Diluted	12,201,452	6,563,148
Year-end	14,577,232	6,846,043

#### PEABODY ENERGY CORP (NEW)

**Earnings, 9 mos. to Sep 30(Consol. - \$000):**

	2017	2016
Total revenues	2,735,500	3,274,500
Net Sales	2,323,800	2,835,900
Cost & expenses	2,043,800	3,161,000
Deprec., depl. & amort.	342,800	345,500
Operating income	348,900	(232,000)
Other income (expense), net	(12,900)	(125,100)
Net before taxes	255,700	(596,800)
Income taxes	(79,400)	(108,200)
Income contin. oper.	335,100	(488,600)
<b>Net income</b>	<b>328,700</b>	<b>(533,100)</b>
Balance for common	181,200	(536,600)
Earnings common share		
Primary	\$1.33	\$(29.34)
Fully Diluted	\$1.32	\$(29.34)
Common Shares:		
Full Diluted	100,200	18,300
Year-end	102,700	18,500

#### PEARSON PLC

**Interest Sale Development** On Nov. 27, 2017, Co. announced that it had to sale its Wall Street English (WSE) business to Baring Private Equity Asia and CITIC Capital for around US\$300,000,000, although its proceeds from the deal will be just a third of that.

#### PEDEVCO CORP

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Cost & expenses	3,040,000	3,443,000
Operating income	(3,602,000)	(2,760,000)
Interest expense	9,489,000	10,766,000
<b>Net income</b>	<b>(13,091,000)</b>	<b>(13,526,000)</b>
Balance for common	(13,526,000)	
Earnings common share		
Primary	\$(2.28)	\$(2.80)

Fully Diluted	\$(2.28)	\$(2.80)
Common Shares:		
Full Diluted	5,753,827	4,847,252
Year-end	6,084,729	4,984,930

**PERFORMANCE FOOD GROUP CO**

**Secondary Offering** On Nov. 21, 2017, Co. announced the pricing of the underwritten secondary offering by an affiliate of Wellspring Capital Management LLC (the "Selling Stockholder") of 5,000,000 shares of the common stock of Co. at a public offering price of \$28.25 per share. The offering is expected to close on Nov. 24, 2017, subject to customary closing conditions. The Selling Stockholder will receive all of the proceeds from this offering. Co. did not offer any shares of common stock in the offering and will not receive any proceeds from the sale of shares in this offering.

**PFENEX INC**

**Earnings, 9 mos. to Sep 30**(Consol. - \$000):

	2017	2016
Total revenues	10,871	54,723
Cost & expenses	42,162	38,699
Operating income	(31,291)	16,024
Other income (expense), net	117	98
Net before taxes	(31,174)	16,122
Income taxes		1
<b>Net income</b>	(31,174)	16,121
Earnings common share		
Primary	\$(1.33)	\$0.69
Fully Diluted	\$(1.33)	\$0.68
Common Shares:		
Full Diluted	23,488	23,674
Year-end	23,548	23,418

**PIONEER POWER SOLUTIONS INC**

**Earnings, 9 mos. to Sep 30**(Consol. - \$):

	2017	2016
Total revenues	87,953,000	85,889,000
Cost & expenses	84,570,000	82,199,000
Operating income	3,383,000	3,690,000
Other income (expense), net	(647,000)	(554,000)
Net before taxes	1,074,000	1,985,000
Income taxes	396,000	900,000
<b>Net income</b>	678,000	1,085,000
Earnings common share		
Primary	\$0.08	\$0.12
Fully Diluted	\$0.08	\$0.12
Common Shares:		
Full Diluted	8,727,000	8,708,000
Year-end	8,726,045	8,699,712

**PITNEY BOWES INC**

**Earnings, 9 mos. to Sep 30**(Consol. - \$000):

	2017	2016
Total revenues	2,500,831	2,519,506
Cost & expenses	2,275,464	2,233,011
Operating income	225,367	286,495
Net before taxes	225,367	286,495
Income taxes	53,975	93,615
Income contin. oper.	171,392	192,880
<b>Net income</b>	171,392	190,929
Earnings common share		
Primary	\$0.92	\$0.94
Fully Diluted	\$0.92	\$0.93
Common Shares:		
Full Diluted	187,200	189,592
Year-end	186,561	

**POST HOLDINGS INC**

**Annual Meeting Development** On Nov. 20, 2017, 2017, Co. scheduled its annual Meeting of Shareholders for Thursday, Jan. 25, 2018, at 9:00 a.m., Central Time, at The Ritz-Carlton, St. Louis, 100 Carondelet Plaza, St. Louis, MO 63105.

**POST HOLDINGS INC****Annual Report**

**Consolidated Income Statement, Years Ended Sept. 30**

(\$000):	2017	2016 (revised)	2015 (revised)
Net sales	5,225,800	5,026,800	4,648,200
Cost of goods sold	3,651,700	3,479,400	3,473,800
Gross profit	1,574,100	1,547,400	1,174,400
Selling, general & administrative expenses	867,400	839,700	734,100

Amortization of intangible assets	159,100	152,600	141,700
Impairment of goodwill & other intangible assets	26,500	...	60,800
Other operating expenses, net	800	9,400	25,100
Operations profit (loss)	520,300	545,700	212,700
Interest expense, net	314,800	306,500	257,500
Loss on extinguishment of debt	(222,900)	(86,400)	(30,000)
Other expenses	(91,800)	182,900	92,500
Income (loss) before income taxes	74,400	(30,100)	(167,300)
Current federal income taxes	(5,800)	37,600	59,500
Current state income taxes	4,300	1,700	2,900
Current foreign income taxes	10,200	8,500	5,700
Total current income taxes	8,700	47,800	68,100
Deferred federal income taxes	19,700	(64,800)	(116,000)
Deferred state income taxes	2,700	(7,500)	(2,100)
Deferred foreign income taxes	(5,000)	(2,300)	(2,000)
Total deferred income taxes	17,400	(74,600)	(120,100)
Income tax provision (benefit)	26,100	(26,800)	(52,000)
Net earning (loss) including noncontrolling interest	48,300	(3,300)	(115,300)
<b>Net earnings (loss)</b>	48,300	(3,300)	(115,300)
Preferred stock dividends	13,500	25,100	17,000
Net earnings (loss) available to common stockholders	34,800	(28,400)	(132,300)
Weighted average shares outstanding - basic	67,800	68,800	56,700
Weighted average shares outstanding - diluted	69,900	68,800	56,700
Year end shares outstanding	66,100	64,900	62,100
Net earnings (loss) per share - basic	\$0.51	\$(0.41)	\$(2.33)
Net earnings (loss) per share - diluted	\$0.50	\$(0.41)	\$(2.33)
Total number of employees	11,410	8,700	8,500
Number of common stockholders	5,337	5,713	6,269
Foreign currency translation adjustments	(5,700)	4,200	(56,300)

Reclassified to conform with 2017 presentation; As is; Approximately; As of November 1, 2017; As of November 1, 2016; As of November 1, 2015

**Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):**

	2017	2016 (revised)
Cash & cash equivalents	1,525,900	1,143,600
Restricted cash	4,200	8,400
Trade receivables	421,600	354,900
Income tax receivable	46,400	23,600
Other receivables	14,200	8,100
Less: allowance for doubtful accounts	1,600	1,600
Raw materials & supplies	129,800	112,400
Work in process	16,900	17,400
Finished goods	395,600	339,300
Flocks	31,200	34,000
Inventories	573,500	503,100

Prepaid expenses & other current assets	31,700	36,800
Total current assets	2,615,900	2,076,900
Land & land improvements	90,900	58,000
Buildings & leasehold improvements	699,400	618,200
Machinery & equipment	1,439,300	1,094,500
Software	64,500	50,400
Construction in progress	100,000	79,200
Property, gross	2,394,100	1,900,300
Less: accumulated depreciation	703,400	545,900
Property, net	1,690,700	1,354,400
Goodwill	4,032,000	3,079,700
Other intangible assets, net	3,353,900	2,833,700
Pension asset	154,600	...
Other assets	29,700	15,900
Other assets	184,300	15,900
Total assets	11,876,800	9,360,600
Current portion of long-term debt	22,100	12,300
Trade accounts payable	306,500	228,800
Book cash overdrafts	17,800	26,600
Other accounts payable	11,700	9,000
Accounts payable	336,000	264,400
Advertising & promotion	74,500	95,800
Accrued interest	36,500	55,200
Compensation	89,900	103,900
Hedging liabilities	54,600	5,500
Accrued legal settlements	8,600	37,300
Other current liabilities	82,200	59,600
Total current liabilities	704,400	634,000
Senior notes	7,212,000	4,588,000
Tangible Equity Units notes	...	11,000
4.57% 2012 Series Bond maturing September 2017	...	1,300
Capital leases	200	...
Total long-term debt	7,212,200	4,600,300
Less: current portion	22,100	12,300
Less: debt issuance costs, net	(81,800)	(53,500)
Plus: unamortized premium (discount), net	40,800	16,700
Deferred income taxes	905,800	726,500
Pension & other postretirement benefit obligations	83,500	83,200
Interest rate swaps	188,900	313,200
Accrued compensation - non-current	29,200	22,700
Other long-term liabilities	26,200	21,200
Other liabilities	327,800	440,300
Total liabilities	9,087,100	6,352,000
Common stock	700	700
Additional paid-in capital	3,566,500	3,546,000
Retained earnings (accumulated deficit)	(376,000)	(424,300)
Accumulated other comprehensive income (loss)	(40,000)	(60,400)
Treasury stock, at cost	371,200	53,400
Total stockholders' equity (deficit) excluding noncontrolling interest	2,780,000	3,008,600
Non-controlling interest	9,700	...
Total stockholders' equity (deficit)	2,789,700	3,008,600

Reclassified to conform with 2017 presentation

**PROTAGENIC THERAPEUTICS INC**

**Earnings, 9 mos. to Sep 30**(Consol. - \$):

	2017	2016
Cost & expenses	1,656,541	1,677,225
Operating income	(1,656,541)	(1,677,225)
Gains or losses	81,509	(29,332)
Invest. income	963	...
<b>Net income</b>	(1,563,512)	(1,719,749)
Earnings common share		

Primary .....	\$(0.15)	\$(0.45)
Fully Diluted .....	\$(0.15)	\$(0.45)
Common Shares:		
Full Diluted .....	10,261,419	3,826,068
Year-end .....	10,261,419	8,307,915

**PROTALIX BIOTHERAPEUTICS INC****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Cost & expenses .....	41,708,000	31,561,000
Operating income .....	(24,935,000)	(24,443,000)
Other income (expense), net .....	(38,061,000)	
Income contin. oper. ....	(70,135,000)	(26,552,000)
<b>Net income</b> .....	(70,135,000)	(26,741,000)
Earnings common share		
Primary .....	\$(0.55)	\$(0.27)
Fully Diluted .....	\$(0.55)	\$(0.27)
Common Shares:		
Full Diluted .....	128,223,722	99,766,245
Year-end .....	133,845,320	99,930,402

**PULSE BEVERAGE CORP (THE)****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Net Sales .....	1,184,310	2,299,757
Cost & expenses .....	2,300,933	3,341,075
Operating income .....	(1,116,623)	(1,129,583)
Other income (expense), net .....	(2,096,473)	(463,007)
Income contin. oper. ....	(3,465,377)	(1,713,120)
Income discount. oper. ....		(103,680)
<b>Net income</b> .....	(3,465,377)	(1,816,800)
Earnings common share		
Primary .....	\$(0.01)	\$(0.03)
Fully Diluted .....	\$(0.01)	\$(0.03)
Common Shares:		
Full Diluted .....	303,180,000	69,791,000
Year-end .....	694,258,030	70,924,980

**PYROGENESIS CANADA INC**

**Purchase Order Received** On Nov. 16, 2017, Co. announced that it has received a purchase order, in the amount of US\$800,000 (CS 1,020,000), for the sale of a second DROS-RITEŽ Furnace System to a North American automobile parts manufacturer.

**Q2EARTH INC****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues .....	55,933	40,000
Cost & expenses .....	1,135,167	1,734,525
Operating income .....	(1,079,234)	(1,694,525)
Interest expense .....	245,779	181,425
Other income (expense), net .....	(112,764)	692,719
Net before taxes .....	(1,437,777)	(1,183,231)
<b>Net income</b> .....	(1,437,777)	(1,183,231)
Balance for common .....	(1,464,703)	(1,210,075)
Earnings common share		
Primary .....	\$(0.03)	\$(0.04)
Fully Diluted .....	\$(0.03)	\$(0.04)
Common Shares:		
Full Diluted .....	43,793,353	28,028,380
Year-end .....	48,422,386	29,551,431

**RADIANT LOGISTICS, INC.****Earnings, 3 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues .....	197,977,000	195,133,000
Cost & expenses .....	192,169,000	188,761,000
Operating income .....	2,233,000	3,366,000
Interest income .....	7,000	4,000
Interest expense .....	771,000	639,000
Other income (expense), net .....	130,000	194,000
Net before taxes .....	1,514,000	3,126,000
Income taxes .....	626,000	1,252,000
<b>Net income</b> .....	888,000	1,874,000
Balance for common .....	316,000	1,351,000
Earnings common share		
Primary .....	\$0.01	\$0.03
Fully Diluted .....	\$0.01	\$0.03
Common Shares:		
Full Diluted .....	50,642,953	49,534,395
Year-end .....	49,095,970	48,788,593

**Consolidated Balance Sheet Items, as of (\$):**

Assets:	2017
Cash & equivalents .....	5,767,000
Current assets .....	136,212,000
Net property & equip. ....	15,607,000
Total assets .....	295,285,000
Liabilities:	
Current liabilities .....	110,846,000
Long-term debt .....	42,083,000
Stockholders' equity .....	123,321,000
Net current assets .....	25,366,000

**RAYONIER ADVANCED MATERIALS INC**

**Acquisition Completed** On Nov. 17, 2017, Co., through its wholly-owned subsidiary, Rayonier A.M. Global Investments Luxembourg SARL, acquired all the outstanding common shares of Tembec, Inc. for an aggregate purchase price consisting of (i) approximately CS317,300,000 in cash and (ii) 8,400,000 shares of Co.'s common stock, par value US\$0.01 per share.

**RAYTHEON CO.**

**Dividend Announcement** On Nov. 15, 2017, Co. announced that its Board of Directors has declared a quarterly cash dividend of \$0.7975 per outstanding share of common stock. The cash dividend is payable on Feb. 1, 2018, to shareholders of record as of the close of business on Jan. 3, 2018.

**RAYTHEON CO.**

**Dividend Announcement** On Nov. 14, 2017, Co.'s Board of Directors has declared a quarterly cash dividend of \$0.7975 per outstanding share of common stock. The cash dividend is payable on Feb. 1, 2018, to shareholders of record as of the close of business on Jan. 3, 2018. The Board of Directors also authorized the repurchase of up to \$2,000,000,000 of Co.'s outstanding common stock. This is in addition to amounts available under the authorized repurchase program, which were approximately \$900,000,000 at the end of the third quarter of 2017. Share repurchases may take place from time to time at the company's discretion depending on market conditions.

**REAL INDUSTRY INC**

**Bankruptcy Proceedings** On Nov. 17, 2017, Co. and Real Alloy Intermediate Holding, LLC, Real Alloy Holding, Inc. and its U.S. wholly owned subsidiaries (collectively, "Real Alloy" and, together with Co., the "Debtors") filed voluntary petitions in the United States Bankruptcy Court for the District of Delaware (the "Bankruptcy Court") seeking relief under Chapter 11 of the Bankruptcy Code lead case number 17-12464. The assets were valued at \$645,500,000. Real Alloy's Germany, United Kingdom, Norway, Canada and Mexico operations and its Goodyear, Arizona joint venture are not included in these filings. These cases (the "Chapter 11 Cases") are being jointly administered. The Debtors will continue to operate their businesses as "debtors-in-possession" under the jurisdiction of the Bankruptcy Court and in accordance with the applicable provisions of the Bankruptcy Code and orders of the Bankruptcy Court. In connection with the Chapter 11 Cases, the Debtors filed various "first day" motions seeking Bankruptcy Court approval, including, without limitation, approval of debtor-in-possession financing on the terms set forth in (i) that certain term sheet for a Senior Secured Super-Priority Debtor-in-Possession Note Purchase Agreement (the "Note Purchase Agreement"), by and among Real Alloy Holding, Inc. as issuer and certain holders of Real Alloy Holding's outstanding senior secured 10.0% notes (the "Existing Notes") as purchasers (the "Purchasers"), and (ii) that certain Debtor-in-Possession ABL Credit Agreement (the "ABL Credit Agreement"), and together with the Note Purchase Agreement, the "DIP Financing Agreements") by and among Real Alloy as borrowers and Bank of America N.A. as lender (the "Lender" or "Bank of America"). The DIP Financing Agreements will provide for approximately \$365,000,000 in debtor-in-possession financing (the "DIP Financing"), which includes the conversion of Real Alloy's existing ABL facility with the Lender (as set forth in the Revolving Credit Agreement, dated as of Mar. 14, 2017, by and among Real Alloy and the other borrowers thereunder and Bank of America; such facility, the "Existing ABL Facility"), up to \$85,000,000 in new notes and the "roll-up" of \$170,000,000 in Existing Notes into new notes ("Roll-Up Notes"). Up to \$50,000,000 of the DIP Financing will become available upon the satisfaction of customary conditions precedent thereto, including the entry of an order of the Bankruptcy Court approving the DIP Financing on an interim basis, which such interim approval was sought on the Petition Date and is expected to be heard by the Bankruptcy Court on Nov. 20, 2017, with the balance of the DIP Facility due upon entry of a final order. For the avoidance of doubt, Co. is not a borrower, guarantor or credit party under the DIP Financing. The proceeds of the DIP Financing will be used by Real Alloy for (i) general working capital and operational expenses; (ii) administration of

the Chapter 11 Cases (other than the Chapter 11 case of Co.); (iii) refinancing certain existing prepetition debt; and (iv) costs, expenses, and all other payment amounts contemplated in the DIP Financing Agreement, in any such case, in accordance with a 13-week cash flow and operating forecast in form and substance approved by the Lender and Purchasers (subject to any variances permitted by the DIP Financing Agreement). The maturity date of the loans and notes made under the DIP Financing is the earlier of six months from the Petition Date and the closing date of a sale of the Real Alloy business. The outstanding principal on the notes under the Note Purchase Agreement will bear interest at a rate of 11.5% per annum payable monthly in cash in arrears. The Roll-Up Notes will bear interest at a rate of 10.0% per annum accrued monthly and payable at maturity. The outstanding principal on the loans under the ABL Credit Agreement will bear interest at a rate of either (1) the Base Rate (as defined below) plus 2.25% or (2) the LIBOR plus 3.25%. The "Base Rate" is equal to the greater of (a) the prime rate, (b) the federal funds rate plus 0.50%, and (c) the LIBOR rate for a 30-day interest period. "LIBOR" means the per annum rate of interest equal to the London Interbank Offered Rate or comparable or successor rate as published on the applicable Reuters screen page for such interest period. The DIP Financing is subject to certain customary covenants and events of default as set forth in the DIP Financing Agreement.

**REAL INDUSTRY INC**

**Bankruptcy Proceedings** On Nov. 21, 2017, Co. filed with the U.S. Bankruptcy Court a D.I.P. notes purchase agreement and D.I.P. ABL agreement. According to documents filed with the Court, "Subject to the terms and conditions of this Agreement and the Financing Order and in reliance upon the representations and warranties of the Credit Parties contained herein, each Lender severally and not jointly agrees to make Loans to the Borrowers (collectively, the 'Revolving Loans' and individually, a 'Revolving Loan') from time to time on any Business Day during the period from the Closing Date through the Final Availability Date, in an aggregate amount not to exceed at any time outstanding such Lender's Revolving Loan Commitment, which Revolving Loan Commitment, as of the Closing Date, is set forth opposite such Lender's name on Schedule 1.1(a) under the heading 'Revolving Loan Commitments'. Borrower Representative may request that one or more L/C Issuers Issue, in accordance with such L/C Issuers' usual and customary business practices and for the account of the Borrowers, Letters of Credit and an applicable L/C Issuer (a 'Letter of Credit'), from time to time on any Business Day during the period from the Closing Date through the earlier of (x) the Final Availability Date and (y) 7 days prior to the Revolving Termination Date; provided, however, that no L/C Issuer shall Issue any Letter of Credit upon the occurrence of any of the following or, if after giving effect to such Issuance: the aggregate outstanding principal balance of Revolving Loans would exceed the Maximum Revolving Loan Amount or (b) the Letter of Credit Obligations for all Letters of Credit would exceed \$20,000,000." Also on Nov. 21, 2017, Co. filed with the U.S. Bankruptcy Court a motion for entry of interim and final orders authorizing the Debtors to obtain post-petition secured financing, authorizing post-petition use of cash collateral and other pre-petition collateral and scheduling a final hearing. The Debtors also filed an exhibit to the proposed D.I.P. financing order. The motion explains, "The DIP Facilities consist of a senior secured debtor-in-possession revolving credit facility in the amount of \$110,000,000 provided by Bank of America, the Real Alloy Debtors' prepetition ABL lender, and an agreement for issuance and purchase of up to \$255,000,000 in senior secured debtor-in-possession notes backstopped by the largest prepetition holder of the Real Alloy Debtors' prepetition Senior Secured Notes including up to \$85,000,000 in new liquidity, comprised of (A) \$65,000,000 of New Money DIP Notes, the proceeds of which shall be used exclusively to fund the operations of the Debtors (the "DIP Notes Facility"), and (B) an amount of New Money DIP Notes determined by the required DIP Noteholders in their sole discretion, up to \$20,000,000 the proceeds of which shall be used exclusively to fund the operations of the Debtors' foreign subsidiaries (the 'Discretionary Foreign Subsidiary DIP Notes Facility'); and authorizing (i) the DIP Notes Issuer at any time prior to the earlier of (A) 35 calendar days after the Petition Date and (B) the entry of the Final Order to issue DIP Notes in an aggregate outstanding principal amount that will not exceed \$40,000,000 under the Debtor DIP Notes Facility and \$10,000,000 under the Discretionary Foreign Subsidiary DIP Notes Facility, (ii) upon entry of the Final Order, the remainder of the DIP Notes Facilities, (iii) the DIP ABL Borrowers at any time prior to the earlier of (A) 35 calendar days after the Petition Date and (B) the entry of the Final Order to borrow under the DIP ABL Facility in an aggregate outstanding principal amount that will not exceed the sum of (x) \$20,000,000 plus (y) the aggregate amount



of all prepetition letters of credit deemed letters of credit under the DIP ABL Loan Documents, plus (c) the aggregate amount of all Prepetition ABL Obligations repaid from the proceeds of DIP ABL Priority Collateral during the Interim Period." The interest rate for new money D.I.P. notes is 11.5%. Also on Nov. 21, 2017, the U.S. Bankruptcy Court issued an interim order approving Co.'s motion to obtain post-petition secured financing, authorizing post-petition use of cash collateral and other pre-petition collateral and scheduling a final hearing. As previously reported, "The DIP Facilities consist of a senior secured debtor-in-possession revolving credit facility in the amount of \$110,000,000 provided by Bank of America, the Real Alloy Debtors' prepetition ABL lender, and an agreement for issuance and purchase of up to \$255,000,000 in senior secured debtor-in-possession notes backstopped by the largest prepetition holder of the Real Alloy Debtors' prepetition Senior Secured Notes including up to \$85,000,000 in new liquidity, comprised of (A) \$65,000,000 of New Money DIP Notes, the proceeds of which shall be used exclusively to fund the operations of the Debtors (the "DIP Notes Facility"), and (B) an amount of New Money DIP Notes determined by the required DIP Noteholders in their sole discretion, up to \$20,000,000 the proceeds of which shall be used exclusively to fund the operations of the Debtors' foreign subsidiaries (the "Discretionary Foreign Subsidiary DIP Notes Facility"); and authorizing (i) the DIP Notes Issuer at any time prior to the earlier of (A) 35 calendar days after the Petition Date and (B) the entry of the Final Order to issue DIP Notes in an aggregate outstanding principal amount that will not exceed \$40,000,000 under the Debtor DIP Notes Facility and \$10,000,000 under the Discretionary Foreign Subsidiary DIP Notes Facility, (ii) upon entry of the Final Order, the remainder of the DIP Notes Facilities, (iii) the DIP ABL Borrowers at any time prior to the earlier of (A) 35 calendar days after the Petition Date and (B) the entry of the Final Order to borrow under the DIP ABL Facility in an aggregate outstanding principal amount that will not exceed the sum of (x) \$20,000,000 plus (y) the aggregate amount of all prepetition letters of credit deemed letters of credit under the DIP ABL Loan Documents, plus (c) the aggregate amount of all Prepetition ABL Obligations repaid from the proceeds of DIP ABL Priority Collateral during the Interim Period."

#### REAL INDUSTRY INC

**Bankruptcy Proceedings** On Nov. 27, 2017, Co. filed with the U.S. Bankruptcy Court a notice of filing of a final D.I.P. notes purchase agreement and D.I.P. ABL agreement. Exhibit 1 is a final version of the D.I.P. ABL agreement; Exhibit 2 is a blackline comparing the final D.I.P. ABL agreement with the previous version; Exhibit 3 is a final version of the revised D.I.P. notes purchase agreement. According to documents filed with the Court, "Co. will authorize the issue and sale of up to \$85,000,000 aggregate principal amount of its 11.5% Senior Secured Super-Priority Debtor-in-Possession Notes due on the Maturity Date. The Notes issued pursuant hereto constitute the 'New Money DIP Notes' and shall be afforded the rights and protections set forth in the DIP Order. The purchase price for all Notes shall be deposited into the Proceeds Account pursuant to Section 3.1; provided, that \$35,000,000 in proceeds from the initial sale of the Notes shall be directed to Co.'s disbursement account (pursuant to wire instructions provided to the Purchasers) and/or to pay down the ABL DIP Facility and otherwise used in accordance with Section 9. Co. may withdraw amounts from the Proceeds Account in minimum increments of \$500,000 solely to pay expenses and other amounts permitted to be paid pursuant to the Approved DIP Budget for the next 7 days from the time of such withdrawal."

#### REED'S INC

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Net Sales	28,046,000	33,326,000
Cost & expenses	33,693,000	34,678,000
Operating income	(5,647,000)	(1,352,000)
Interest expense	2,270,000	1,239,000
Other income (expense), net	460,000	
<b>Net income</b>	<b>(7,457,000)</b>	<b>(2,591,000)</b>
Balance for common	(7,462,000)	(2,596,000)
Earnings common share		
Primary	\$(0.52)	\$(0.19)
Fully Diluted	\$(0.52)	\$(0.19)
Common Shares:		
Full Diluted	14,336,375	13,504,223
Year-end	15,286,258	13,908,846

#### REPUBLIC SERVICES INC

**Offering** On Nov. 15, 2017, Co. announced a public offering pursuant to Deferred Compensation Obligations. Co. proposed to offer \$125,000,000 at a proposed maximum offering price per share of 100%, which amounted to a proposed maximum aggregate offering price of \$125,000,000. The amount of registration fee is \$15,563.

gate offering price of \$125,000,000. The amount of registration fee is \$15,563.

#### RESEARCH SOLUTIONS INC

**Earnings, 3 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	6,747,840	6,178,471
Cost & expenses	7,535,437	6,642,662
Operating income	(828,165)	(494,660)
Interest expense	3,000	3,000
Other income (expense), net	12,802	4,710
Net before taxes	(818,363)	(492,950)
Income taxes	11,751	13,605
Income contin. oper.	(830,114)	(506,555)
Income discont. oper.	57,149	95,889
<b>Net income</b>	<b>(772,965)</b>	<b>(410,666)</b>
Earnings common share		
Primary	\$(0.04)	\$(0.02)
Fully Diluted	\$(0.04)	\$(0.02)
Common Shares:		
Full Diluted	23,380,437	23,131,570
Year-end	24,134,992	

**Consolidated Balance Sheet Items, as of (\$):**

	2017
Assets:	
Cash & equivalents	4,542,485
Current assets	9,726,588
Net property & equip.	92,508
Total assets	10,255,844
Liabilities:	
Current liabilities	6,147,314
Long-term debt	299,253
Stockholders' equity	3,809,277
Net current assets	3,579,274

#### RETRACTABLE TECHNOLOGIES INC

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Net Sales	24,981,833	22,337,169
Cost & expenses	27,472,004	23,918,313
Operating income	(2,490,171)	(1,581,144)
Interest expense	159,053	158,327
Net before taxes	(2,605,069)	(1,719,649)
Income taxes	848	1,440
<b>Net income</b>	<b>(2,605,917)</b>	<b>(1,721,089)</b>
Balance for common	(3,134,664)	(2,249,836)
Earnings common share		
Primary	\$(0.10)	\$(0.08)
Fully Diluted	\$(0.10)	\$(0.08)
Common Shares:		
Full Diluted	31,722,010	29,252,652
Year-end	32,666,454	29,654,754

#### REVLON CONSUMER PRODUCTS CORP.

**Earnings, 9 mos. to Sep 30(Consol. - \$000):**

	2017	2016
Net Sales	1,907,100	1,533,300
Cost & expenses	1,943,300	1,396,600
Operating income	(36,200)	136,700
Other income (expense), net	(1,800)	(16,800)
Foreign currency	16,800	(6,300)
Net before taxes	(138,300)	39,700
Income taxes	(35,400)	18,300
Income contin. oper.	(102,900)	21,400
<b>Net income</b>	<b>(101,600)</b>	<b>19,100</b>
Earnings common share		
Common Shares:		
Year-end	5	5

#### REXAHN PHARMACEUTICALS INC

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Cost & expenses	12,456,488	12,494,338
Operating income	(12,456,488)	(12,494,338)
Interest income	135,329	83,884
Other income (expense), net	(9,380,881)	3,628,592
Net before taxes	(21,702,040)	(8,781,862)
<b>Net income</b>	<b>(21,702,040)</b>	<b>(8,781,862)</b>
Earnings common share		
Primary	\$(0.83)	\$(0.40)
Fully Diluted	\$(0.83)	\$(0.40)
Common Shares:		
Full Diluted	26,121,160	21,075,848
Year-end	28,459,805	23,736,879

#### RING ENERGY INC

**Annual Meeting Development** On Nov. 15, 2017, 2017, Co. scheduled its annual Meeting of Shareholders for Dec. 12, 2017, at 10:00 a.m., Central Standard Time, at the Hilton Garden Inn Midland, 1301 North Loop #250 West, Midland, TX 79706.

#### RING ENERGY INC

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Cost & expenses	33,974,487	79,490,308
Operating income	9,416,545	(58,470,768)
Interest expense		597,910
Other income (expense), net	65,828	
Net before taxes	9,731,916	(58,985,208)
Income taxes	3,468,112	(21,824,527)
<b>Net income</b>	<b>6,263,804</b>	<b>(37,160,681)</b>
Earnings common share		
Primary	\$0.12	\$(1.00)
Fully Diluted	\$0.12	\$(1.00)
Common Shares:		
Full Diluted	51,760,109	36,996,932
Year-end	54,145,901	41,917,061

#### ROCKWELL AUTOMATION, INC.

##### Annual Report

**Consolidated Income Statement, Years Ended Sept. 30**

**(\$000):**

	2017	2016	2015
		(revised)	(revised)
Sales - products & solutions	5,628,900	5,239,300	5,652,200
Sales - services	682,400	640,200	655,700
Total sales	6,311,300	5,879,500	6,307,900
Cost of sales - products & solutions	3,254,300	2,982,100	3,157,200
Cost of sales - services	432,800	421,900	447,600
Total cost of sales	3,687,100	3,404,000	3,604,800
Gross profit	2,624,200	2,475,500	2,703,100
Selling, general & administrative expenses	1,591,500	1,467,400	1,506,400
Gain on sale of business	60,800		
Interest income	19,600	12,700	10,700
Royalty income	8,900	2,900	2,900
Legacy product liability & environmental charges	8,300	12,700	19,800
Other income (expense)	(100)	3,400	700
Interest expense	76,200	71,300	63,700
Income from continuing operations before income taxes - United States	547,200	512,100	660,500
Income from continuing operations before income taxes - non-United States	490,200	431,000	467,000
Income before income taxes	1,037,400	943,100	1,127,500
Current United States income tax provision (benefit)	67,300	175,900	238,600
Current non-United States income tax provision (benefit)	109,900	91,700	73,600
Current state & local income tax provision (benefit)	700	16,300	17,000
Total current income tax provision (benefit)	177,900	283,900	329,200
Deferred United States income tax provision (benefit)	44,600	(53,700)	(30,300)
Deferred non-United States income tax provision (benefit)	(14,100)	(8,800)	2,600
Deferred state &			

local income tax provision (benefit) ...	3,300	(8,000)	(1,600)
Total deferred income tax provision (benefit) ...	33,800	(70,500)	(29,300)
Income tax provision	211,700	213,400	299,900
<b>Net income (loss)</b> ...	825,700	729,700	827,600
Weighted average shares outstanding - basic	128,400	130,200	134,500
Weighted average shares outstanding - diluted	129,900	131,100	135,700
Year end shares outstanding	128,400	128,500	132,400
Net earnings (loss) per share - basic	\$6.42	\$5.60	\$6.15
Net earnings (loss) per share - diluted	\$6.35	\$5.56	\$6.09
Cash dividends per common share	...	\$2.90	\$2.60
Total number of employees	22,000	22,000	22,500
Number of common stockholders	17,064	18,205	19,054
Foreign currency translation adjustments	57,200	(42,500)	(199,900)

<sup>¶</sup> Reclassified to conform with 2017 presentation; <sup>■</sup> As is; <sup>□</sup> As of October 31, 2017; <sup>⊠</sup> As of October 31, 2016; <sup>⊡</sup> Reclassified to conform with 2015 presentation

<b>Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):</b>			
	2017	2016	(revised)
Cash & cash equivalents	1,410,900	1,526,400	
Short-term investments	1,124,600	902,800	
Receivables, gross	1,172,300	1,111,400	
Allowance for doubtful accounts	24,900	24,500	
Allowance for certain customer returns, rebates & incentives	11,900	7,900	
Receivables	1,135,500	1,079,000	
Finished goods	218,700	215,800	
Work in process	168,000	158,000	
Raw materials	172,000	152,800	
Inventories	558,700	526,600	
Other current assets	191,000	150,200	
Total current assets	4,420,700	4,185,000	
Land	5,200	4,500	
Buildings & improvements	351,600	333,700	
Machinery & equipment	1,145,800	1,085,100	
Internal-use software	461,500	451,100	
Construction in progress	131,700	108,400	
Total property	2,095,800	1,982,800	
Less accumulated depreciation	1,511,900	1,404,500	
Property, net	583,900	578,300	
Goodwill	1,077,700	1,073,900	
Other intangible assets, net	238,000	255,300	
Deferred income taxes	443,600	633,900	
Other assets	397,800	374,800	
Total assets	7,161,700	7,101,200	
Short-term debt	350,400	448,600	
Current portion of long-term debt	250,000	...	
Accounts payable	623,200	543,100	
Compensation & benefits	272,600	145,600	
Advance payments from customers & deferred revenue	240,600	214,500	
Customer returns, rebates & incentives	188,800	176,500	
Unrealized losses on foreign exchange contracts	31,300	15,600	
Product warranty obligations	28,500	28,000	
Taxes other than income taxes	42,700	43,100	

Accrued interest	16,900	16,900	
Income taxes payable	32,600	28,600	
Rocky Flats settlement	...	242,500	
Other current liabilities	68,200	72,900	
Total current liabilities	2,145,800	1,975,900	
Notes payable	845,400	869,500	
Debtentures payable	700,000	700,000	
Unamortized discount & other long-term debt	(52,000)	(53,200)	
Less: current portion	250,000	...	
Long-term debt	1,243,400	1,516,300	
Retirement benefits	892,500	1,430,200	
Other liabilities	216,400	188,700	
Common stock	181,400	181,400	
Additional paid-in capital	1,638,000	1,588,200	
Retained earnings	6,103,400	5,668,400	
Pension & other postretirement benefit plan adjustments, net of tax	(927,000)	(1,239,800)	
Accumulated currency translation adjustments, net of tax	(237,700)	(294,900)	
Net unrealized gains (losses) on cash flow hedges, net of tax	(14,400)	(4,100)	
Net unrealized gains (losses) on available-for-sale investments, net of tax	(100)	...	
Accumulated other comprehensive income (loss)	(1,179,200)	(1,538,800)	
Common stock in treasury, at cost	4,080,000	3,909,100	
Total shareholders' equity	2,663,600	1,990,100	

<sup>¶</sup> Reclassified to conform with 2017 presentation

#### ROCKWELL COLLINS INC

**Merger Development** On Sept. 4, 2017, Co. entered into an Agreement and Plan of Merger pursuant to which Co. will be acquired by United Technologies Corporation ("UTC") through the merger of Riveter Merger Sub Corp., a wholly owned subsidiary of UTC with and into Co., with Co. surviving the merger and becoming a wholly owned subsidiary of UTC. If the merger is completed, each share of Co.'s common stock will be converted into (a) \$93.33 in cash, without interest, plus (b) a fraction of a share of UTC common stock equal to the quotient obtained by dividing \$46.67 by the average of the volume-weighted average prices per share of UTC common stock over a specified period of time before the closing of the merger, which is referred to as the UTC stock price, subject to adjustment pursuant to the terms of the merger agreement as further described below. The fraction of a share of UTC common stock into which each such share of Co. common stock will be converted is referred to as the exchange ratio. This exchange ratio will depend upon the price of UTC common stock during a specified period prior to the closing of the merger. In addition, if the UTC stock price is less than or equal to \$107.01 or greater than or equal to \$124.37, a two-way collar mechanism will apply, pursuant to which (i) if the UTC stock price is greater than or equal to \$124.37, the exchange ratio will be fixed at 0.37525 and the value of the stock consideration will be more than \$46.67, and (ii) if the UTC stock price is less than or equal to \$107.01, the exchange ratio will be fixed at 0.43613 and the value of the stock consideration will be less than \$46.67.

#### ROPER TECHNOLOGIES INC

**Merger Completed** On Nov. 17, 2017, Co.'s wholly-owned subsidiary, Project Diamond Intermediate Holdings Corp. ("Parent"), through its wholly-owned subsidiary, Project Olympus Merger Sub, Inc. ("Purchaser"), merged with an into Onvia, Inc. ("Onvia"), with Onvia continuing as the surviving corporation and became a wholly-owned subsidiary of Parent. As the result of the merger, each issued and outstanding shares of common stock, par value \$0.0001 per share (each, a "Share," and collectively, the "Shares"), of Onvia were converted to the right to receive \$9.00 per share (the "Offer Price"), net to the seller thereof in cash, without interest and subject to any applicable withholding taxes. The aggregate purchase price paid for all equity securities of Onvia was approximately \$70,000,000.

#### SAGA COMMUNICATIONS INC

**Earnings, 9 mos. to Sep 30(Consol. - \$000):**

2017 2016

Total revenues	86,685	88,208
Cost & expenses	73,327	71,434
Operating income	13,358	16,774
Net before taxes	12,667	16,226
Income taxes	5,280	6,665
Income contin. oper.	7,387	9,561
<b>Net income</b>	39,888	13,249
Earnings common share		
Primary	\$6.76	\$2.26
Fully Diluted	\$6.76	\$2.25
Common Shares:		
Full Diluted	5,804	5,762
Year-end	5,907	181,400

#### SAILPOINT TECHNOLOGIES HOLDINGS INC

**Offering** On Nov. 20, 2017, Co. announced a public offering pursuant to Common Stock, par value \$0.0001 per share, reserved for issuance pursuant to the 2017 Plan, Common Stock, par value \$0.0001 per share, reserved for issuance pursuant to the 2015 Option Plan, Common Stock, par value \$0.0001 per share, reserved for issuance pursuant to the 2015 Incentive Plan and Common Stock, par value \$0.0001 per share, reserved for issuance pursuant to the ESPP. Co. proposed to offer (i) 8,856,876 Common Stock, par value \$0.0001 per share, reserved for issuance pursuant to the 2017 Plan at a proposed maximum offering price per share of \$14.00, which amounted to a proposed maximum aggregate offering price of \$123,996,264. The amount of registration fee is \$15,438; (ii) 1,714,334 Common Stock, par value \$0.0001 per share, reserved for issuance pursuant to the 2015 Option Plan at a proposed maximum offering price per share of \$14.00, which amounted to a proposed maximum aggregate offering price of \$24,000,676. The amount of registration fee is \$2,989; (iii) 748,100 Common Stock, par value \$0.0001 per share, reserved for issuance pursuant to the 2015 Incentive Plan at a proposed maximum offering price per share of \$14.00, which amounted to a proposed maximum aggregate offering price of \$10,473,400. The amount of registration fee is \$1,304; and (iv) 1,771,375 Common Stock, par value \$0.0001 per share, reserved for issuance pursuant to the ESPP at a proposed maximum offering price per share of \$14.00, which amounted to a proposed maximum aggregate offering price of \$24,799,250. The amount of registration fee is \$3,088.

#### SAKER AVIATION SERVICES, INC.

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	8,743,913	10,872,366
Cost & expenses	7,994,350	9,502,608
Operating income	749,563	1,369,758
Interest expense	17,091	21,506
Other income (expense), net	(10,000)	...
Net before taxes	722,472	1,348,252
Income taxes	414,241	681,000
<b>Net income</b>	308,231	667,252
Earnings common share		
Primary	\$0.01	\$0.02
Fully Diluted	\$0.01	\$0.02
Common Shares:		
Full Diluted	34,415,005	33,305,833
Year-end	33,422,995	33,157,610

#### SALESFORCE.COM INC

**Earnings, 9 mos. to Oct 31(Consol. - \$000):**

	2017	2016
Total revenues	7,629,009	6,097,996
Cost & expenses	7,471,110	6,010,423
Operating income	157,899	87,573
Other income (expense), net	(2,695)	(11,500)
Gains or losses	...	13,697
Invest. income	(364)	5,786
Net before taxes	113,891	48,852
Income taxes	53,968	(182,220)
<b>Net income</b>	59,923	231,072
Earnings common share		
Primary	\$0.08	\$0.34
Fully Diluted	\$0.08	\$0.33
Common Shares:		
Full Diluted	730,212	696,257
Year-end	722,300	696,700

#### SALLY BEAUTY HOLDINGS INC

##### Annual Report

**Consolidated Income Statement, Years Ended Sept. 30 (\$000):**

	2017	2016 (revised)	2015 (revised)			
Net sales	3,938,317	3,952,618	3,834,343	Accounts receivable, other	45,255	37,041
Cost of products sold	1,973,422	1,988,678	1,936,492	Inventory	930,855	907,337
Gross profit	1,964,895	1,963,940	1,897,851	Other current assets	55,223	54,861
Selling, general & administrative expenses	1,351,296	1,365,986	1,313,134	Deferred income tax assets, net	28,425	40,024
Depreciation & amortization	112,323	99,657	89,391	Total current assets	1,170,503	1,172,827
Restructuring charges	22,679	...	...	Land	11,196	11,113
Operating earnings	478,597	498,297	495,326	Buildings & building improvements	64,191	62,087
Interest income (expense)	(132,899)	(144,237)	(116,842)	Leasehold improvements	259,618	238,173
Earnings before provision for income taxes	345,698	354,060	378,484	Furniture, fixtures & equipment	524,773	476,050
Current provision for income taxes - federal	97,332	87,088	113,023	Total property & equipment, gross	859,778	787,423
Current provision for income taxes - foreign	10,394	8,795	9,531	Less accumulated depreciation & amortization	546,061	467,865
Current provision for income taxes - state	8,700	13,816	13,686	Property & equipment, net	313,717	319,558
Total current provision for income taxes	116,426	109,699	136,240	Goodwill	537,791	532,714
Deferred provision for income taxes - federal	14,559	20,915	7,963	Intangible assets, excluding goodwill, net	80,305	92,963
Deferred provision for income taxes - foreign	(2,314)	(932)	(1,461)	Other assets	20,777	14,001
Deferred provision for income taxes - state	1,951	1,436	655	Total assets	2,123,093	2,132,063
Total deferred provision for income taxes	14,196	21,419	7,157	Current maturities of long-term debt	96,082	716
Provision for income taxes	130,622	131,118	143,397	Accounts payable	307,752	271,376
Net earnings (loss)	215,076	222,942	235,087	Compensation & benefits	59,838	75,675
Weighted average shares outstanding - basic	137,533	147,179	156,353	Deferred revenue	20,588	23,220
Weighted average shares outstanding - diluted	138,176	148,803	158,226	Interest payable	19,623	35,624
Year end shares outstanding	129,585	144,571	151,452	Rental obligations	15,283	12,942
Net income (loss) per share - basic	\$1.56	\$1.51	\$1.50	Loss contingency obligation	6,359	15,644
Net income (loss) per share - diluted	\$1.56	\$1.50	\$1.49	Property & other taxes	4,787	4,870
Number of full time employees	14,035	14,410	13,735	Insurance reserves	5,322	6,472
Number of part time employees	15,440	15,255	14,595	Operating accruals & other accrued liabilities	36,698	40,137
Total number of employees	29,475	29,665	28,330	Accrued liabilities	168,498	214,584
Number of common stockholders	758	879	926	Income taxes payable	2,233	1,989
Foreign currency translation adjustments	19,299	(22,346)	(49,157)	Total current liabilities	574,565	488,665
				Asset-based senior secured loan (ABL) facility	90,000	...
				Term loan B	850,000	...
				Senior notes	950,000	1,800,000
				Plus: capital lease obligations	1,480	2,123
				Less: unamortized debt issuance costs & premium, net	(23,545)	(18,113)
				Total debt	1,867,935	1,784,010
				Less current portion of long-term debt	96,082	716
				Long-term debt	1,771,853	1,783,294
				Other liabilities	20,140	21,614
				Deferred income tax liabilities, net	120,151	114,656
				Total liabilities	2,486,709	2,408,229
				Common stock	1,296	1,446
				Retained earnings (accumulated deficit)	(283,076)	(177,561)
				Accumulated other comprehensive income (loss), net of tax	(81,836)	(100,051)
				Total stockholders' equity (accumulated deficit)	(363,616)	(276,166)

Reclassified to conform with 2017 presentation

Reclassified to conform with 2017 presentation; As is; As of November 10, 2017; As of November 9, 2016; As of November 6, 2015

Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):			
	2017	2016 (revised)	
Cash & cash equivalents	63,759	86,622	
Trade accounts receivable, gross	47,986	48,342	
Less allowance for doubtful accounts	1,000	1,400	
Trade accounts receivable, net	46,986	46,942	

#### SANCHEZ MIDSTREAM PARTNERS LP Earnings, 9 mos. to Sep 30(Consol. - \$000):

	2017	2016
Total revenues	69,432	55,369
Cost & expenses	43,147	43,257
Deprec., depl. & amort.	28,017	20,824
Operating income	(7,726)	(12,208)
Other income (expense), net	49	49
Gains or losses	4,397	44,340
Net before taxes	(3,329)	32,132
Net income	(3,329)	32,132
Earnings common share		
Primary	\$(2.29)	\$(5.06)

	2017	2016
Fully Diluted	\$(2.29)	\$(5.06)
Common Shares:		
Full Diluted	13,888	3,557
Year-end	14,773	4,430

#### SANDRIDGE ENERGY INC

Earnings, 9 mos. to Sep 30(Consol. - \$000):

	2017	2016
Total revenues	264,093	293,809
Cost & expenses	108,017	1,087,711
Operating income	57,861	(906,203)
Other income (expense), net	2,222	(201,161)
Net before taxes	57,326	(1,233,463)
Income taxes	(8,496)	11
Net income	65,822	(1,233,474)
Balance for common	65,822	(1,249,795)
Earnings common share		
Primary	\$2.07	\$(1.76)
Fully Diluted	\$2.06	\$(1.76)
Common Shares:		
Full Diluted	31,984	708,788
Year-end	35,801	719,425

#### SANDRIDGE ENERGY INC

**Merger Development** On Nov. 14, 2017, Bonanza Creek Energy, Inc. ("Bonanza") entered into an Agreement and Plan of Merger (the "Merger Agreement") with Co., and Brook Merger Sub, Inc., a wholly owned subsidiary of Co. ("Merger Sub"), pursuant to which Co. will acquire Bonanza in exchange for a combination of shares of Co. common stock, par value \$0.001 per share ("Co. Common Stock"), and cash. The Merger Agreement provides that, upon the terms and subject to the conditions set forth therein, Merger Sub will be merged with and into Bonanza, with Bonanza continuing as the surviving entity and a wholly owned subsidiary of Co. (the "Merger"). Under the terms of the Merger Agreement, which has been unanimously approved by the respective boards of directors of Bonanza and Co., at the effective time of the Merger (the "Effective Time") each share of Bonanza common stock, par value \$0.01 per share ("Bonanza Common Stock"), issued and outstanding immediately prior to the Merger (other than shares of Bonanza Common Stock held by Co. or certain of its subsidiaries, shares held by Bonanza in treasury or shares with respect to which appraisal has been properly demanded pursuant to Delaware law) will be converted into the right to receive from Co. (a) \$19.20 in cash, without interest and (b) a number of shares of Co. Common Stock equal to the quotient (the "Exchange Ratio") determined by dividing (A) \$16.80 by (B) the twenty-day volume-weighted average price per share of Co. Common Stock, for the twenty consecutive trading days ending on the third-to-last trading day prior to the Closing Date (the "Parent Stock Price"); provided, however, that (x) if the Parent Stock Price is an amount greater than \$21.38, then the Exchange Ratio will be 0.7858, and (y) if the Parent Stock Price is an amount less than \$17.50, then the Exchange Ratio will be 0.9600 (the aggregate amount of cash and number of shares of Co. Common Stock, the "Merger Consideration"). At the Effective Time, outstanding restricted stock units issued pursuant to Bonanza's 2017 Long Term Incentive Plan (a "Bonanza RSU"), other than outstanding Bonanza RSUs held by non-employee directors of Bonanza, will be converted into restricted stock units settled in shares of Co. Common Stock, based on the Exchange Ratio (adjusted in order to reflect the cash portion of the Merger Consideration (as adjusted, the "Compensation Exchange Ratio")), with such as-converted restricted stock units having and being subject to the same terms and conditions applicable to the pre-conversion restricted stock units, except that upon a holder's termination of employment without Cause or for Good Reason (both as defined in Bonanza's Change in Control and Severance Plan) within 18 months following the Effective Time, the restricted stock units will immediately vest. Subject to certain exceptions, at the Effective Time, outstanding Bonanza RSUs granted to non-employee directors will vest in full, and will be canceled in exchange for a right to receive the Merger Consideration. In addition, at the Effective Time, outstanding stock options issued pursuant to Bonanza's 2017 Long Term Incentive Plan, whether vested or unvested, will be converted into options to acquire shares of Co. Common Stock, based on the Compensation Exchange Ratio, with such as-converted options having and being subject to the same terms and conditions applicable to the pre-conversion options, except that upon a holder's termination of employment without Cause or for Good Reason within 18 months following the Effective Time the options will vest and become exercisable. The transaction is expected to close in the first quarter of 2018.

#### SANGUI BIOTECH INTERNATIONAL, INC.

Earnings, 3 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	16,636	9,711
Cost & expenses	78,919	79,604
Operating income	(62,283)	(69,893)
Net before taxes	(64,151)	(71,675)
<b>Net income</b>	<b>(64,151)</b>	<b>(71,675)</b>
Earnings common share		
Common Shares:		
Full Diluted	185,764,406	170,022,916
Year-end	187,261,503	174,467,747

**Consolidated Balance Sheet Items, as of (\$):**

	2017
Assets:	
Cash & equivalents	52,072
Current assets	87,847
Total assets	87,847
Liabilities:	
Current liabilities	377,851
Stockholders' equity	322,063
Net current assets	(290,004)

**SANTACRUZ SILVER MINING LTD**

**Official Changes** On Nov. 27, 2017, Co. announced the appointment of Mr. Carlos Alberto Silva Ramos to the position of Chief Operating Officer of Co., replacing Mr. Dante Rodriguez, who was interim COO for Co. Mr. Rodriguez will remain as Co.'s VP of Exploration.

**SANTACRUZ SILVER MINING LTD**

**Resumes Operations** On Nov. 22, 2017, Co. reported that it has recommenced operations at its Veta Grande mill located in Veta Grande, Zacatecas Mexico following authorization from PROFEPA and SEMARNAT (Mexico's environmental regulatory authorities) to do so. Operations at the Veta Grande mill were halted on Nov. 3, 2017 pursuant to a notice issued by PROFEPA requesting a temporary suspension of operations until certain licensing documentation related to the operatorship of the mill was filed with regulatory bodies. All requested documentation was filed with the appropriate regulatory bodies on Nov. 8, 2017.

**SANTACRUZ SILVER MINING LTD**

**Temporary Suspension of Operations** On Nov. 20, 2017, Co. announced that on Nov. 3, 2017, the Mexican Environmental Protection Agency (PROFEPA) requested that Co.'s Mexican operating subsidiary, Impulsora Minera Santacruz, S.A. de C.V., hold the Veta Grande Mine Environmental License directly in its name and not under the terms of the agreement with Minera Contracuna I, S.A. de C.V. In connection with this matter PROFEPA issued a notice temporarily suspending operation of the Veta Grande mill until appropriate documentation was filed with regulatory bodies. On Nov. 8, 2017, Co. submitted all appropriate documents to PROFEPA and the Secretariat of Environment and Natural Resources (SEMARNAT). The documentation has been confirmed by those agencies as being in order and as such Co. expects the imminent resumption of operations at the Veta Grande mill. During the suspension of mill operations Co. continued mining operations thereby building a substantial stockpile of mineralized material while at the same time major mill maintenance activities were completed.

**SEACOR MARINE HOLDINGS INC**

**Offering** On Nov. 20, 2017, Co. announced a public offering pursuant to Common Stock par value \$0.01, to be issued under the SEACOR Marine Holdings Inc. 2017 Equity Incentive Plan. Co. proposed to offer 2,174,000 shares at a proposed maximum offering price per share of \$12.87, which amounted to a proposed maximum aggregate offering price of \$27,979,380. The amount of registration fee is \$3,483.44.

**SENDGRID INC**

**Offering** On Nov. 14, 2017, Co. announced a public offering pursuant to Common Stock, \$0.001 par value per share. Co. proposed to offer 575,000 at a proposed maximum offering price per share of \$16.00, which amounted to a proposed maximum aggregate offering price of \$9,200,000. The amount of registration fee is \$1,145.40.

**SENDGRID INC**

**Offering** On Nov. 15, 2017, Co. announced a public offering pursuant to Common Stock, par value \$0.001 per share. Co. proposed to offer (i) 367,967 at a proposed maximum offering price per share of \$0.20, which amounted to a proposed maximum aggregate offering price of \$73,593.40. The amount of registration fee is \$9.16; (ii) 11,660,943 at a proposed maximum offering price per share of \$4.55, which amounted to a proposed maximum aggregate offering price of \$53,057,290.65. The amount of registration fee is \$6,605.63; (iii) 652,172 Outstanding under the 2012 Equity Incentive Plan (RSUs) at a proposed maximum offering price per share of \$16.00, which amounted to a proposed maximum

aggregate offering price of \$10,434,752.00. The amount of registration fee is \$1,299.13; (iv) 3,727,858 Shares reserved for future grant under the 2017 Equity Incentive Plan at a proposed maximum offering price per share of \$16.00, which amounted to a proposed maximum aggregate offering price of \$59,645,728.00. The amount of registration fee is \$7,425.89; and (v) 791,833 Shares reserved for future grant under the 2017 Employee Stock Purchase Plan at a proposed maximum offering price per share of \$16.00, which amounted to a proposed maximum aggregate offering price of \$12,669,328.00. The amount of registration fee is \$1,577.33.

**SERVOTRONICS, INC.****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	30,044,000	28,708,000
Cost & expenses	28,274,000	25,738,000
Operating income	1,133,000	2,360,000
Net before taxes	1,133,000	2,360,000
Income taxes	331,000	732,000
<b>Net income</b>	<b>802,000</b>	<b>1,628,000</b>
Earnings common share		
Primary	\$0.35	\$0.74
Fully Diluted	\$0.35	\$0.71
Common Shares:		
Full Diluted	2,293,000	2,295,000
Year-end	2,290,527	2,308,839

**SILVER ONE RESOURCES INC**

**Annual Meeting Development** On Nov. 17, 2017, Co. held its Annual General Meeting of Shareholders.

**SILVER SPRING NETWORKS INC**

**Special Meeting of Stockholders** On Nov. 16, 2017, Co. scheduled its Special Meeting of Stockholders for Jan. 3, 2018, at 10:00 a.m. (Pacific Time), at Silver Spring's offices at 230 W. Tasman Drive, San Jose, CA 95134.

**SILVERBOW RESOURCES INC****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	137,216,000	78,540,000
Cost & expenses	61,222,000	182,224,000
Operating income	43,619,000	130,305,000
Interest expense	11,117,000	10,137,000
Other income (expense), net	(133,000)	(1,457,000)
Gains or losses	14,465,000	(7,308,000)
Net before taxes	46,834,000	149,207,000
<b>Net income</b>	<b>46,834,000</b>	<b>149,207,000</b>
Earnings common share		
Primary	\$4.10	\$(14.92)
Fully Diluted	\$4.08	\$(14.92)
Common Shares:		
Full Diluted	11,479,000	10,000,000
Year-end	11,551,468	10,000,001

**SMART & FINAL STORES INC****Earnings, 9 mos. to (Consol. - \$000):**

	10/08/17	10/09/16
Net Sales	3,502,657	3,341,163
Cost & expenses	3,467,434	3,299,872
Operating income	35,223	41,291
Interest expense	27,738	24,729
Other income (expense), net	576	(3,748)
Net before taxes	8,061	12,814
Income taxes	419	(387)
<b>Net income</b>	<b>7,642</b>	<b>13,201</b>
Earnings common share		
Primary	\$0.11	\$0.18
Fully Diluted	\$0.10	\$0.17
Common Shares:		
Full Diluted	75,588	78,468
Year-end	73,217	72,945

**SMG INDIUM RESOURCES LTD****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	1,802,486	.....
Cost & expenses	2,067,770	308,452
Operating income	(265,284)	(308,452)
Interest income	.....	635
<b>Net income</b>	<b>(342,416)</b>	<b>(307,817)</b>
Earnings common share		
Primary	\$(0.21)	\$(0.18)
Fully Diluted	\$(0.21)	\$(0.18)
Common Shares:		
Full Diluted	1,665,192	1,744,569
Year-end	7,700,190	1,744,569

**SMUCKER (J.M.) CO.****Earnings, 6 mos. to Oct 31(Consol. - \$000):**

	2017	2016
Net Sales	3,672,500	3,729,700
Cost & expenses	3,004,900	3,029,100
Operating income	564,500	597,100
Other income (expense), net	(100)	4,300
Net before taxes	480,800	518,900
Income taxes	159,400	171,600
<b>Net income</b>	<b>321,400</b>	<b>347,300</b>
Balance for common	319,700	345,700
Earnings common share		
Primary	\$2.83	\$2.98
Fully Diluted	\$2.83	\$2.98
Common Shares:		
Full Diluted	113,000	115,978
Year-end	113,600	116,436

**SOLITARIO ZINC CORP****Earnings, 9 mos. to Sep 30(Consol. - \$000):**

	2017	2016
Cost & expenses	1,419	2,395
Deprec., depl. & amort.	8	4
Operating income	(735)	(2,319)
Gains or losses	845	325
Net before taxes	(468)	(2,034)
Income taxes	(15)	(264)
<b>Net income</b>	<b>(453)</b>	<b>(1,770)</b>
Earnings common share		
Primary	\$(0.01)	\$(0.05)
Fully Diluted	\$(0.01)	\$(0.05)
Common Shares:		
Full Diluted	44,467	38,779
Year-end	58,443	38,745

**SPECTRUM BRANDS HOLDINGS INC****Annual Report****Consolidated Income Statement, Years Ended Sept. 30 (\$000):**

	2017	2016	2015
		(revised)	(revised)
Net sales	5,007,400	5,039,700	4,690,400
Cost of goods sold	3,114,300	3,119,300	3,018,000
Restructuring & related charges	18,300	500	2,100
Gross profit (loss)	1,874,800	1,919,900	1,670,300
Selling expenses	781,200	776,600	720,700
General & administrative expenses	391,300	372,300	338,800
Research & development expenses	59,500	58,700	51,300
Acquisition & integration related charges	20,900	36,700	58,800
Restructuring & related charges	44,200	14,700	26,600
Write-off from impairment of intangible assets	16,300	4,700	.....
Total operating expenses	1,313,400	1,263,700	1,196,200
Operating income (loss)	561,400	656,200	474,100
Interest expense	211,100	250,000	271,900
Other non-operating (expense), net	(5,700)	(8,600)	(8,900)
Income (loss) before income taxes	131,100	197,800	3,400
- United States	.....	.....	.....
Income (loss) before income taxes - outside the United States	213,500	199,800	189,900
Income (loss) from continuing operations before income taxes	344,600	397,600	193,300
Current federal income taxes expense (benefit)	4,200	1,600	3,600
Current foreign income taxes	.....	.....	.....

expense	47,400	59,700	40,400
Current state & local income taxes expense (benefit)	800	4,200	4,500
Total current income taxes expense	52,400	65,500	48,500
Deferred federal income taxes expense (benefit)	10,700	(27,200)	(12,300)
Deferred foreign income taxes expense (benefit)	(5,900)	(1,100)	11,200
Deferred state & local income taxes expense (benefit)	(9,700)	2,800	(3,500)
Total deferred income taxes expense (benefit)	(4,900)	(25,500)	(4,600)
Income tax expense (benefit)	47,500	40,000	43,900
<b>Net income (loss)</b>	<b>297,100</b>	<b>357,600</b>	<b>149,400</b>
Less: net loss (income) attributable to noncontrolling interest	(1,300)	(500)	(500)
Net income (loss) attributable to controlling interest	295,800	357,100	148,900
Weighted average shares outstanding - basic	58,600	59,300	55,600
Weighted average shares outstanding - diluted	59,000	59,600	55,900
Year end shares outstanding	57,600	59,400	59,400
Net income (loss) per share - basic	\$5.04	\$6.02	\$2.68
Net income (loss) per share - diluted	\$5.02	\$5.99	\$2.66
Cash dividends declared per common share	\$1.64	\$1.47	\$1.27
Foreign currency translation adjustments	29,100	(8,500)	(113,000)
Number of full time employees	16,800	15,700	15,500
Number of common stockholders	5	5	4

<sup>□</sup> As is; <sup>□</sup> Approximately; <sup>□</sup> As of November 16, 2017; <sup>□</sup> As of November 17, 2016; <sup>□</sup> As of November 20, 2015

#### Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):

	2017	2016	(revised)
Cash & cash equivalents	168,200	275,300	
Trade accounts receivable, gross	571,500	529,400	
Allowance for doubtful accounts	45,400	46,800	
Trade accounts receivable, net	526,100	482,600	
Other receivables	43,400	55,600	
Raw materials	123,800	127,500	
Work-in-process	54,300	43,600	
Finished goods	597,400	569,500	
Inventories	775,500	740,600	
Prepaid expenses & other current assets	93,900	78,800	
Total current assets	1,607,100	1,632,900	
Land, buildings & improvements	200,200	195,800	
Machinery, equipment & other property, plant & equipment	636,200	550,600	
Capitalized leases	282,300	130,000	
Construction in progress	66,100	57,700	
Property, plant & equipment, gross	1,184,800	934,100	

Less accumulated depreciation	484,900	392,000	
Property, plant & equipment, net	699,900	542,100	
Deferred charges & other assets	62,700	43,200	
Goodwill	2,626,000	2,478,400	
Intangible assets, net	2,424,000	2,372,500	
Total assets	7,419,700	7,069,100	
Current maturities of long-term debt	36,700	164,000	
Accounts payable	727,600	580,100	
Accrued wages & benefits	87,500	122,900	
Accrued interest	48,600	39,300	
Other current liabilities	213,000	189,300	
Total current liabilities	1,113,400	1,095,600	
Secured term loan	1,303,200	1,123,400	
Notes	2,321,000	2,426,700	
Other notes & obligations	14,700	16,800	
Obligations under capital leases	258,600	114,700	
Unamortized discount on debt	(3,700)	(4,500)	
Debt issuance costs	(53,100)	(56,900)	
Less current portion	(36,700)	(164,000)	
Long-term debt, net of current portion	3,804,000	3,456,200	
Deferred income taxes, net	531,400	532,700	
Other long-term liabilities	124,200	140,600	
Total liabilities	5,573,000	5,225,100	
Common stock	600	600	
Additional paid-in capital	2,145,300	2,073,600	
Accumulated earnings (deficit)	262,300	63,600	
Foreign currency translation	(131,200)	(160,500)	
Hedging activity	(26,000)	3,100	
Employee benefit plans	(52,400)	(72,000)	
Accumulated other comprehensive income (loss)	(209,600)	(229,400)	
Treasury stock, at cost	360,700	108,300	
Total shareholders' equity (deficit)	1,837,900	1,800,100	
Noncontrolling interest	8,800	43,900	
Total equity	1,846,700	1,844,000	

#### STARRETT (LS) CO (THE)

**Offering** On Nov. 16, 2017, Co. announced a public offering pursuant to Class A Common Stock, \$1.00 par value. Co. proposed to offer 500,000 shares at a proposed maximum offering price per share of \$8.07, which amounted to a proposed maximum aggregate offering price of \$4,035,000. The amount of registration fee is \$503. Also, Co. announced Class B Common Stock, \$1.00 par value, with the amount to be registered of 500,000 shares.

#### STEREOTAXIS INC

**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Total revenues	23,588,433	24,856,095
Cost & expenses	25,758,271	29,156,630
Operating income	(2,169,838)	(4,300,535)
Interest income	15	362
Interest expense	135,351	2,466,803
Other income (expense), net	(1,029,479)	(4,053,679)
<b>Net income</b>	<b>(3,334,653)</b>	<b>(10,820,655)</b>
Balance for common	(4,405,465)	(16,958,131)
Earnings common share		
Primary	\$(0.20)	\$(0.78)
Fully Diluted	\$(0.20)	\$(0.78)
Common Shares:		
Full Diluted	22,551,496	21,758,529
Year-end	22,783,121	21,891,191

#### STONE ENERGY CORP

**Merger Development** On Nov. 21, 2017, Talos Energy LLC ("Talos") and Co. announced that their Boards of Directors have unanimously approved the combination of Talos and Co. in an all-stock transaction that will create a premier offshore-focused exploration and production company. The company will be named

Talos Energy, Inc. and is expected to trade on the New York Stock Exchange ("NYSE") under the new ticker symbol "TALO." Under the terms of the transaction, each outstanding share of Co. common stock will be exchanged for one share of Talos Energy, Inc. common stock and the current Talos stakeholders will be issued an aggregate of approximately 34,200,000 common shares. At closing, Talos stakeholders will own 63% of the combined company, with Co. shareholders owning the remaining 37%. Based on Stone's stock price of \$35.49 on Nov. 20, 2017 and the terms of the proposed transaction, Talos Energy, Inc. will have an initial equity market capitalization of approximately \$1,900,000,000 and an enterprise value of approximately \$2,500,000,000. The transaction is expected to close in late first quarter or early in the second quarter of 2018.

#### STR HOLDINGS INC.

**Earnings, 9 mos. to Sep 30 (Consol. - \$000):**

	2017	2016
Net Sales	10,303	17,144
Cost & expenses	16,043	26,832
Operating income	(5,740)	(9,688)
Other income (expense), net	1,527	(1,690)
Gains or losses	(382)	2
Foreign currency	48	(351)
Net before taxes	(4,553)	(11,688)
Income taxes	(316)	(50)
<b>Net income</b>	<b>(4,237)</b>	<b>(11,638)</b>
Earnings common share		
Primary	\$(0.23)	\$(0.63)
Fully Diluted	\$(0.23)	\$(0.63)
Common Shares:		
Full Diluted	18,871	18,350
Year-end	19,240	18,262

#### STRATEGIC ENVIRONMENTAL & ENERGY RESOURCES INC

**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Total revenues	6,668,700	6,048,300
Cost & expenses	9,103,200	7,834,300
Operating income	(2,434,500)	(1,786,000)
Interest expense	1,212,500	255,200
Other income (expense), net	34,100	(51,900)
Income contin. oper.	(3,612,900)	(2,093,100)
Income discont. oper.	3,366,600	397,600
Income bef. extraord. item	(246,300)	(1,695,500)
<b>Net income</b>	<b>(246,300)</b>	<b>(1,679,800)</b>
Earnings common share		
Primary	\$(0.03)	\$(0.03)
Fully Diluted	\$(0.03)	\$(0.03)
Common Shares:		
Full Diluted	54,902,947	54,263,765
Year-end	56,338,575	

#### SUBURBAN PROPANE PARTNERS LP

##### Annual Report

**Consolidated Income Statement, Years Ended (\$000):**

	09/30/17	09/24/16	09/26/15
		(revised)	
Propane revenues	1,011,078	884,169	1,176,980
Fuel oil & refined fuels revenues	78,126	68,759	127,495
Natural gas & electricity revenues	55,103	50,763	66,865
All other revenues	43,579	42,420	45,639
Total revenues	1,187,886	1,046,111	1,416,979
Cost of products sold	476,661	361,953	593,380
Operating expenses	410,665	412,756	444,251
General & administrative expenses	57,338	61,149	68,296
Depreciation & amortization	127,938	129,616	133,294
Total costs & expenses	1,072,602	965,474	1,239,221
Gain on sale of business	...	9,769	...
Operating income (loss)	115,284	90,406	177,758
Gain (loss) on debt extinguishment	(1,567)	(292)	(15,072)
Interest expense, net	75,263	75,086	77,634

Income (loss) before provision for income taxes	38,454	15,028	85,052
Current federal income taxes (benefit)	13	7	23
Current state & local income taxes	446	581	677
Provision for current income taxes	459	588	700
Provision for income taxes	459	588	700
<b>Net income (loss)</b>	<b>37,995</b>	<b>14,440</b>	<b>84,352</b>
Weighted average units outstanding - basic	61,224	60,956	60,650
Weighted average units outstanding - diluted	61,542	61,176	60,907
Year end units outstanding	61,105	60,789	60,531
Net income (loss) per unit - basic	\$0.62	\$0.24	\$1.39
Net income (loss) per unit - diluted	\$0.62	\$0.24	\$1.38
Cash distributions declared per unit	\$3.26	\$3.55	\$3.54
Number of full time employees	2,221	3,417	3,646
Number of common unitholders	622	647	680

□ Reclassified to conform with 2017 presentation; □ As is; □ As of November 21, 2017; □ As of November 21, 2016; □ As of November 23, 2015

<b>Consolidated Balance Sheet, Years Ended (\$000):</b>			
	09/30/17	09/24/16 (revised)	
Cash & cash equivalents	2,789	37,341	
Accounts receivables, gross	68,727	56,243	
Less allowance for doubtful accounts	3,044	2,441	
Accounts receivable, net	65,683	53,802	
Propane, fuel oil & refined fuels & natural gas	51,844	43,905	
Appliances	1,376	1,447	
Inventories	53,220	45,352	
Other current assets	17,801	10,804	
Total current assets	139,493	147,299	
Land & improvements	192,256	193,194	
Buildings & improvements	111,013	109,345	
Transportation equipment	51,037	57,823	
Storage facilities	110,573	110,528	
Equipment, primarily tanks & cylinders	849,175	845,650	
Computer software	53,826	52,643	
Construction in progress	4,248	3,845	
Property, plant & equipment, gross	1,372,128	1,373,028	
Less: accumulated depreciation	679,501	630,899	
Property, plant & equipment, net	692,627	742,129	
Goodwill	1,094,635	1,094,635	
Other intangible assets, net	219,876	276,329	
Other assets	24,652	21,907	
Total assets	2,171,283	2,282,299	
Accounts payable	38,652	32,286	
Accrued employment & benefit costs	27,402	16,495	
Accrued insurance	13,660	16,270	
Customer deposits & advances	97,023	106,155	
Accrued interest	13,682	16,589	
Other current liabilities	19,947	17,259	
Total current liabilities	210,366	205,054	
Senior notes, net	1,125,000	1,138,172	
Revolving credit facility	162,645	100,000	
Less: unamortized debt			

issuance costs	(15,481)	(13,670)
Long-term borrowings	1,272,164	1,224,502
Accrued insurance	54,921	43,406
Other liabilities	80,850	101,106
Total liabilities	1,618,301	1,574,068
Common unitholders	581,794	754,063
Accumulated other comprehensive income (loss)	(28,812)	(45,832)
Total partners' capital	552,982	708,231

□ Including unamortized premium - Senior notes: \$16,992,000

#### SUMMIT HOTEL PROPERTIES INC

**Acquisition Completed** On Nov. 27, 2017, Co. acquired four hotels with a combined total of 652 guestrooms, consisting of the 207-guestroom Courtyard New Haven at Yale, the 148-guestroom Hilton Garden Inn Boston/Waltham, the 175-guestroom Residence Inn Cleveland Downtown, and the 122-guestroom Homewood Suites by Hilton Tucson/St. Philip's Plaza University, for an aggregate purchase price of \$164 million, or approximately \$252,000 per key.

#### SUNEDISON INC

**Bankruptcy Proceedings** On Nov. 6, 2017, Co. filed with the U.S. Bankruptcy Court a monthly operating report for Sept. 2017. During the month, the combined Debtors reported a net loss attributable to Co. stockholders of \$20,500,000 on \$664,451 in net sales. The combined Debtors reported total disbursements of \$130,400,000 and total cash receipts of \$141,900,000. Cash at the beginning of Sept. 2017 was \$226,200,000 and \$237,700,000 at month's end; and Co. paid \$8,300,000 in general and administrative expenses and \$3,000,000 in reorganization expenses.

#### SUNLINK HEALTH SYSTEMS INC

**Earnings, 3 mos. to Sep 30 (Consol. - \$000):**

	2017	2016
Total revenues	13,363	13,046
Cost & expenses	13,033	13,555
Operating income	(99)	(953)
Interest expense	127	221
Gains or losses	2	68
Net before taxes	(224)	(1,106)
Income taxes		144
Income contin. oper.	(224)	(1,250)
Income discount. oper.	(53)	4,273
<b>Net income</b>	<b>(277)</b>	<b>3,023</b>
Earnings common share		
Primary	\$(0.03)	\$0.32
Fully Diluted	\$(0.03)	\$0.32
Common Shares:		
Full Diluted	9,163	9,443
Year-end	9,163	9,444

#### Consolidated Balance Sheet Items, as of (\$000):

	2017	2016
Assets:		
Cash & equivalents	9,909	9,909
Inventories	2,207	2,207
Current assets	22,516	22,516
Net property & equip.	10,571	10,571
Total assets	35,402	35,402
Liabilities:		
Current liabilities	12,658	12,658
Stockholders' equity	21,422	21,422
Net current assets	9,858	9,858

#### SUNSTONE HOTEL INVESTORS INC

**Earnings, 9 mos. to Sep 30 (Consol. - \$000):**

	2017	2016
Total revenues	903,448	899,756
Cost & expenses	677,340	645,240
Operating income	106,057	133,347
Interest expense	41,341	47,018
Other income (expense), net	45,470	17,964
Net before taxes	112,783	105,420
Income taxes	(12,541)	(959)
Income contin. oper.	125,324	106,379
Income discount. oper.	7,000	
<b>Net income</b>	<b>132,324</b>	<b>106,379</b>
Balance for common	116,358	88,265
Earnings common share		
Primary	\$0.52	\$0.41
Fully Diluted	\$0.52	\$0.41
Common Shares:		
Full Diluted	221,140	214,565
Year-end	225,322	216,509

#### SUPERIOR DRILLING PRODUCTS INC

**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Total revenues	11,865,648	4,820,405
Cost & expenses	8,226,078	7,474,111
Deprec., depl. & amort.	2,745,232	3,379,215
Operating income	894,338	(6,032,921)
Interest income	255,327	234,969
Interest expense	698,638	1,101,412
Other income (expense), net	43,669	187,227
Gains or losses	12,167	195,453
Net before taxes	506,863	(6,516,684)
Income taxes		(2,000)
<b>Net income</b>	<b>506,863</b>	<b>(6,514,684)</b>
Earnings common share		
Primary	\$0.02	\$(0.37)
Fully Diluted	\$0.02	\$(0.37)
Common Shares:		
Full Diluted	24,218,477	17,606,324
Year-end	24,313,312	18,211,631

#### SUPERIOR DRILLING PRODUCTS INC

**New Accountant** On Nov. 16, 2017, Hein & Associates LLP resigned as Co.'s independent public accounting firm, subsequently, Co. engaged Moss Adams LLP as its new independent public accounting firm.

#### SUPERIOR INDUSTRIES INTERNATIONAL, INC.

**Earnings, 9 mos. to (Consol. - \$000):**

	10/01/17	09/25/16
Net Sales	746,252	544,354
Cost & expenses	738,548	500,842
Operating income	7,704	43,512
Other income (expense), net	14,301	(486)
Net before taxes	(6,442)	43,178
Income taxes	(4,880)	9,576
<b>Net income</b>	<b>(1,562)</b>	<b>33,602</b>
Earnings common share		
Primary	\$(0.50)	\$1.32
Fully Diluted	\$(0.50)	\$1.31
Common Shares:		
Full Diluted	24,941	25,579
Year-end	24,904	25,442

#### SYNCHRONY FINANCIAL

**Acquisition Development** On Nov. 16, 2017, PayPal Holdings, Inc. ("PayPal") and Co. announced an agreement to significantly expand their strategic consumer credit relationship. Under the terms of the transaction Co.'s wholly-owned bank subsidiary, Synchrony Bank, will acquire the U.S. consumer credit receivables portfolio held by PayPal's indirect wholly-owned subsidiaries, Bill Me Later, Inc. and PayPal (Europe) S.A R.L. et CIE, S.C.A., consisting of \$6,800,000,000 in receivables, including PayPal's U.S. consumer credit receivables portfolio, which totaled approximately \$5,800,000,000 in receivables as of Oct. 31, 2017, and approximately \$1,000,000,000 in participation interests in receivables held by certain investors and a chartered financial institution. Terms of the transaction were not disclosed. Subject to regulatory approval and other customary conditions, this transaction is expected to close in the third quarter of 2018.

#### TAKUNG ART CO LTD

**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Total revenues	10,545,677	14,214,252
Cost & expenses	9,405,473	7,893,206
Operating income	1,140,204	6,321,046
Other income (expense), net	440,470	314,268
Net before taxes	1,651,515	6,041,710
Income taxes	594,377	1,377,078
<b>Net income</b>	<b>1,057,138</b>	<b>4,664,632</b>
Earnings common share		
Primary	\$0.10	\$0.44
Fully Diluted	\$0.09	\$0.41
Common Shares:		
Full Diluted	11,398,082	11,277,845
Year-end	11,188,882	11,119,276

#### TAPIIMMUNE INC

**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Cost & expenses	8,982,701	6,900,949
Operating income	(8,982,701)	(6,900,949)
Other income (expense), net	683,929	6,022,388
<b>Net income</b>	<b>(8,298,772)</b>	<b>(878,561)</b>
Earnings common share		

Primary .....	\$(0.91)	\$(0.14)
Fully Diluted .....	\$(0.91)	\$(0.54)
Common Shares:		
Full Diluted .....	9,081,678	6,935,000
Year-end .....	10,539,057	8,395,768

**TARGET CORP****Earnings, 9 mos. to (Consol. - \$000):**

	10/28/17	10/29/16
Net Sales .....	49,113,000	48,805,000
Cost & expenses .....	44,357,000	43,698,000
Deprec., depl. & amort. ....	1,596,000	1,486,000
Operating income .....	3,160,000	3,621,000
Net before taxes .....	2,628,000	2,757,000
Income taxes .....	802,000	910,000
Income contin. oper. ....	1,826,000	1,847,000
<b>Net income</b> .....	<b>1,833,000</b>	<b>1,920,000</b>
Earnings common share		
Primary .....	\$3.34	\$3.29
Fully Diluted .....	\$3.32	\$3.26
Common Shares:		
Full Diluted .....	551,800	588,500
Year-end .....	543,913	563,677

**TENGASCO INC****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues .....	3,841,000	3,456,000
Cost & expenses .....	3,811,000	6,419,000
Operating income .....	(675,000)	(3,867,000)
Interest expense .....	36,000	73,000
Gains or losses .....	5,000	1,000
Net before taxes .....	(706,000)	(3,939,000)
<b>Net income</b> .....	<b>(706,000)</b>	<b>(3,939,000)</b>
Earnings common share		
Primary .....	\$(0.07)	\$(0.65)
Fully Diluted .....	\$(0.07)	\$(0.65)
Common Shares:		
Full Diluted .....	9,899,696	6,088,834
Year-end .....	10,614,523	6,093,634

**TENGASCO INC**

**New Accountant** On Nov. 16, 2017, Hein & Associates LLP resigned as Co.'s independent public accounting firm, subsequently, Co. engaged Moss Adams LLP as its new independent public accounting firm.

**TEXAS PACIFIC LAND TRUST****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues .....	94,053,776	42,348,180
Cost & expenses .....	8,146,461	3,361,906
Operating income .....	85,907,315	38,986,274
Interest income .....	39,132	19,286
Net before taxes .....	85,946,447	39,005,560
Income taxes .....	27,944,860	12,672,980
<b>Net income</b> .....	<b>58,001,587</b>	<b>26,332,580</b>
Earnings common share		
Primary .....	\$7.37	\$3.28
Fully Diluted .....	\$7.37	\$3.28
Common Shares:		
Full Diluted .....	7,872,554	8,025,222
Year-end .....	7,389,042	7,950,961

**THE GAP INC****Earnings, 9 mos. to (Consol. - \$000):**

	10/28/17	10/29/16
Net Sales .....	11,077,000	11,087,000
Cost & expenses .....	9,994,000	10,197,000
Operating income .....	1,083,000	890,000
Interest income .....	11,000	6,000
Net before taxes .....	1,041,000	839,000
Income taxes .....	398,000	383,000
<b>Net income</b> .....	<b>643,000</b>	<b>456,000</b>
Earnings common share		
Primary .....	\$1.63	\$1.15
Fully Diluted .....	\$1.62	\$1.14
Common Shares:		
Full Diluted .....	397,000	400,000
Year-end .....	389,000	399,000

**TIFFANY & CO.**

**Offering** On Nov. 15, 2017, Co. announced a public offering pursuant to Common Stock, \$0.01 par value per share. Co. proposes to offer 1,000,000 shares at a proposed maximum offering

price per share of \$92.94, which amounted to a proposed maximum aggregate offering price of \$92,940,000. The amount of registration fee is \$11,571.03.

**TIME INC**

**Merger Development** On Nov. 26, 2017, Meredith Corp. ("Meredith"), Gotham Merger Sub, Inc., a wholly-owned subsidiary of Meredith ("Purchaser") and Co., entered into an Agreement and Plan of Merger (the "Merger Agreement"). Pursuant to the Merger Agreement, and upon the terms and subject to the conditions thereof, Purchaser has agreed to commence a cash tender offer to acquire all of the shares of Co.'s common stock (the "Offer") for a purchase price of \$18.50 per share, net to the seller, in cash (the "Offer Consideration"), without interest, less any required withholding taxes. The consummation of the Offer will be conditioned on (i) a majority of all shares of Co.'s outstanding common stock having been validly tendered into (and not withdrawn from) the Offer prior to the scheduled expiration of the Offer, (ii) expiration or termination of the applicable waiting period under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 in the United States, (iii) the absence of any legal restraint, (iv) subject to certain materiality exceptions, the truth and accuracy of certain representations and warranties of Co. contained in the Merger Agreement, and (v) other customary conditions. The Offer is not subject to a financing condition. Following the consummation of the Offer, subject to customary conditions, Purchaser will be merged with and into Co. (the "Merger") and Co. will become a wholly owned subsidiary of Meredith, pursuant to the procedure provided for under Section 251(h) of the Delaware General Corporation Law without any additional stockholder approvals. In the Merger, each outstanding share of Co.'s common stock (other than (i) shares owned by Meredith, Co. or Purchaser, (ii) shares with respect to which appraisal rights are properly exercised under Delaware law, and (iii) shares validly tendered and not validly withdrawn in accordance with the terms of the Offer) will be converted into the right to receive an amount in cash equal to the Offer Consideration (the "Merger Consideration"), without interest, less any required withholding taxes. The Merger Agreement provides that stock options, restricted stock units and certain performance stock units that are outstanding immediately prior to the Effective Time but unvested after giving effect to the transaction will be assumed by Meredith and will be subject to the same terms and conditions, including applicable vesting conditions, as applied to each such equity-based award immediately prior to the Effective Time, provided that the number of shares subject to such equity-based awards (and the exercise price in the case of stock options) will be adjusted based on the Exchange Ratio. The transaction has been unanimously approved by the Boards of Directors of Meredith and Co., and is expected to close during the first quarter of calendar 2018.

**TINKA RESOURCES LTD**

**Official Changes** On Nov. 15, 2017, Co. announced the resignation of Mr. David Henstridge as a Director of Co.

**TITAN MEDICAL INC**

**Offering** On Nov. 15, 2017, Co. announced that it has priced its previously announced marketed offering (the "Offering") of units of Co. (the "Units") for minimum gross proceeds of C\$13,000,000 and maximum gross proceeds of C\$15,000,000. Pursuant to the Offering, Co. will issue Units at a price of C\$0.50 per Unit. Each Unit is comprised of one common share of Co. (a "Common Share") and one Common Share purchase warrant of Co. (a "Warrant"). Each Warrant is exercisable for one Common Share at a price of C\$0.60, for a period of 5 years following the closing of the Offering. The Offering will be undertaken on a best efforts basis pursuant to the terms and conditions of an agency agreement to be entered into between Co. and Bloom Burton Securities Inc. (the "Agent"). In connection with the Offering, the Agent will be paid a cash commission equal to 7.0% of the gross proceeds of the Offering and it will be issued that number of non-transferable broker warrants exercisable for Common Shares equal to 7.0% of the number of Units sold in the Offering (in each case excluding any Units sold to certain excluded subscribers). The net proceeds of the Offering (the "Net Proceeds") will be used to fund continued development work in connection with Co.'s SPORT Surgical System, as well as for working capital and other general corporate purposes.

**TITAN MEDICAL INC**

**Offering** On Nov. 20, 2017, Co. announced the upsizing of the previously announced marketed offering (the "Offering") of units of Co. (the "Units"). Based on strong investor demand, the board has approved an increase in the minimum Offering to C\$18,000,000 (from C\$13,000,000) and the maximum Offering to C\$23,000,000 (from C\$15,000,000). Pursuant to the Offering, Co. will issue Units at a price of C\$0.50 per Unit. Each Unit is comprised of one common share of Co. (a "Common Share")

and one Common Share purchase warrant of Co. (a "Warrant"). Each Warrant is exercisable for one Common Share at a price of C\$0.60, for a period of five years following the closing of the Offering. The agent will not be granted any over-allotment option.

**TJX COMPANIES, INC.****Earnings, 9 mos. to (Consol. - \$000):**

	10/28/17	10/29/16
Net Sales .....	24,903,944	23,716,097
Cost & expenses .....	22,132,237	20,969,849
Operating income .....	2,744,208	2,629,384
Other income (expense), net .....	(82,946)	(82,946)
Net before taxes .....	2,744,208	2,629,384
Income taxes .....	1,013,536	1,009,078
<b>Net income</b> .....	<b>1,730,672</b>	<b>1,620,306</b>
Earnings common share		
Primary .....	\$2.71	\$2.46
Fully Diluted .....	\$2.67	\$2.43
Common Shares:		
Full Diluted .....	648,672	666,632
Year-end .....	632,303	651,901

**TNR TECHNICAL, INC.****Earnings, 3 mos. to Sep 30(Consol. - \$):**

	2017	2016
Net Sales .....	1,985,026	2,135,982
Cost & expenses .....	1,910,327	2,001,295
Operating income .....	74,699	134,687
Other income (expense), net .....	1,411	11
Net before taxes .....	76,110	134,698
Income taxes .....	17,000	53,000
<b>Net income</b> .....	<b>59,110</b>	<b>81,698</b>
Earnings common share		
Primary .....	\$0.19	\$0.27
Fully Diluted .....	\$0.19	\$0.27
Common Shares:		
Full Diluted .....	315,978	307,128
Year-end .....	318,978	309,101

**Consolidated Balance Sheet Items, as of (\$):**

	2017
Assets:	
Cash & equivalents .....	1,194,706
Inventories .....	2,211,316
Current assets .....	5,039,157
Net property & equip. ....	55,396
Total assets .....	5,100,192
Liabilities:	
Current liabilities .....	555,297
Stockholders' equity .....	4,538,895
Net current assets .....	4,483,860

**TOMPKINS FINANCIAL CORP****Earnings, 9 mos. to Sep 30(Consol. - \$000):**

	2017	2016
Cost & expenses .....	143,907	136,802
Operating income .....	74,261	64,921
Net before taxes .....	74,261	64,921
Income taxes .....	24,127	20,601
<b>Net income</b> .....	<b>50,134</b>	<b>44,320</b>
Earnings common share		
Primary .....	\$3.30	\$2.94
Fully Diluted .....	\$3.27	\$2.92
Common Shares:		
Full Diluted .....	15,063	14,910
Year-end .....	15,119	14,976

**TOTAL SA**

**Interest Sale Development** On Nov. 27, 2017, Co. announced that it had agreed to sell stakes in two Norwegian oil fields to Statoil ASA for US\$1,450,000,000.

**TOYS R US INC.**

**Bankruptcy Proceedings** On Nov. 16, 2017, Co. filed with the U.S. Bankruptcy Court a motion for entry of an order approving (a) the Debtors' non-insider compensation program and (ii) granting related relief. The motion explains, "In consultation with their advisors and negotiation with major constituents in these cases, the Debtors have identified certain members of the Debtors' employees who are critical to the Debtors' efforts to revitalize their business while navigating the challenges of chapter 11. The Non-Insider Employees perform a variety of important business functions for the Debtors, including store management, distribution, business administration and development, human resources, information technology, legal, marketing, operational, and regulatory work - work that is vital to the Debtors' ability to maintain operational stability and preserve and enhance stakeholder value."

Many of the Non-Insider Employees also have valuable relationships with the Debtors' key vendors and business partners. The Non-Insider Employees' skills, knowledge, and understanding of the Debtors' business operations are essential to the Debtors' business operations. The Non-Insider Compensation Program does not include any 'insiders' of the Debtors. The total amount available for payment under the Non-Insider Compensation Program is \$45,800,000 on annual basis at the Target Threshold. The amount could increase to one and a half-times that amount if the employees attained its 'stretch' goal - a result that will be very challenging to achieve." The Court scheduled a Dec. 5, 2017 hearing on the motion. Also on Nov. 16, 2017, Co. filed with the U.S. Bankruptcy Court a motion for entry of an order approving the Debtors' senior executive incentive plan (SEIP). The motion explains, "the Debtors developed the SEIP for 17 senior members of the management team as part of an overall compensation package that is both consistent with the Debtors' historical compensation programs and offers payments similar to its peers. The total amount available for payment under the SEIP on an annual basis is \$16,000,000 at the Target Threshold. That amount could double if management attained its 'stretch' goal - a result the Debtors will find very difficult to achieve. The SEIP Participants are at the forefront of the Debtors' most important endeavours: executing on daily performance and leading Toys "R" US through its restructuring. The importance of having these individuals fully incentivized cannot be overstated." The Court scheduled a Dec. 5, 2017 hearing on the motion.

**TRANSENERIX INC****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	3,713,000	1,466,000
Cost & expenses	67,795,000	105,415,000
Operating income	(69,226,000)	109,261,000
Other income (expense), net	(294,000)	65,000
Net before taxes	(70,977,000)	110,695,000
Income taxes	(2,337,000)	(4,707,000)
<b>Net income</b>	<b>(68,640,000)</b>	<b>105,988,000</b>
Earnings common share		
Primary	\$(0.51)	\$(0.95)
Fully Diluted	\$(0.51)	\$(0.95)
Common Shares:		
Full Diluted	134,622,000	111,189,000
Year-end	155,281,071	115,014,711

**TRINITY INDUSTRIES, INC.****Earnings, 9 mos. to Sep 30(Consol. - \$000):**

	2017	2016
Total revenues	2,756,400	3,484,500
Cost & expenses	2,351,600	2,905,900
Operating income	404,800	578,600
Interest income	7,100	3,900
Interest expense	137,500	136,700
Other income (expense), net	(2,300)	3,400
Foreign currency	(700)	2,000
Net before taxes	271,400	451,200
Income taxes	97,800	160,700
<b>Net income</b>	<b>173,600</b>	<b>290,500</b>
Earnings common share		
Primary	\$1.08	\$1.81
Fully Diluted	\$1.06	\$1.81
Common Shares:		
Full Diluted	151,100	148,300
Year-end	151,784	152,400

**TRINITY PLACE HOLDINGS INC****Earnings, 9 mos. to Sep 30(Consol. - \$000):**

	2017	2016
Total revenues	1,462	1,409
Cost & expenses	8,597	5,983
Deprec., depl. & amort.	394	334
Operating income	(7,529)	(4,908)
Other income (expense), net	(106)	72
Net before taxes	(7,724)	(4,753)
Income taxes	38	
<b>Net income</b>	<b>(3,909)</b>	<b>(4,753)</b>
Balance for common	(3,909)	(4,753)
Earnings common share		
Primary	\$(0.13)	\$(0.19)
Fully Diluted	\$(0.13)	\$(0.19)
Common Shares:		
Full Diluted	30,114	25,409
Year-end	31,452	25,494

**TRIO-TECH INTERNATIONAL****Earnings, 3 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	10,945,000	8,971,000
Cost & expenses	10,398,000	8,594,000
Operating income	547,000	377,000
Other income (expense), net	156,000	44,000
Foreign currency	(6,000)	62,000
Net before taxes	647,000	429,000
Income taxes	42,000	83,000
<b>Net income</b>	<b>602,000</b>	<b>347,000</b>
Balance for common	575,000	303,000
Earnings common share		
Primary	\$0.13	\$0.09
Fully Diluted	\$0.13	\$0.08
Common Shares:		
Full Diluted	3,673,000	3,579,000
Year-end	3,533,055	3,513,055
<b>Consolidated Balance Sheet Items, as of (\$):</b>		
Assets:		2017
Cash & equivalents	3,188,000	
Inventories	2,482,000	
Current assets	17,610,000	
Net property & equip.	11,542,000	
Total assets	34,706,000	
Liabilities:		
Current liabilities	9,642,000	
Long-term debt	2,141,000	
Stockholders' equity	21,102,000	
Net current assets	7,968,000	

**TRIUMPH GROUP INC.**

**Notes Offered** On Nov. 14, 2017, Co. announced a public offering pursuant to 7.750% Senior Notes due 2025. Co. proposed to offer \$500,000,000 at a proposed maximum offering price per note of 100%, which amounted to a proposed maximum aggregate offering price of \$500,000,000. The amount of registration fee is \$62,250. Also, Co. announced a Guarantees with an amount to be register of \$500,000,000.

**TWO HARBORS INVESTMENT CORP**

**Secondary Offering** On Nov. 16, 2017, Co. announced the pricing of its underwritten public offering of 11,000,000 shares of its 7.25% Series C Fixed-to-Floating Rate Cumulative Redeemable Preferred Stock (the "Series C Preferred Stock"), liquidation preference \$25.00 per share, for gross proceeds of approximately \$275,000,000, before deducting the underwriting discount and other estimated offering expenses. Co. also granted the underwriters of the offering a 30-day option to purchase up to an additional 1,650,000 shares of Series C Preferred Stock to cover over-allotments, if any. The offering is expected to close on or about Nov. 27, subject to customary closing conditions. Co. intends to apply to list the Series C Preferred Stock on the New York Stock Exchange under the symbol "TWO PRC".

**TYSON FOODS INC**

**Acquisition Completed** On Nov. 13, 2017, Co. acquired Philadelphia-based Original Philly Holdings, Inc. Terms of the transaction were not disclosed.

**ULURU INC****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	422,370	378,774
Net Sales	418,059	16,232
Cost & expenses	1,394,483	1,400,759
Operating income	(1,359,754)	(1,721,432)
Other income (expense), net		(25,000)
Gains or losses	114,013	
Foreign currency	(9,222)	808
Net before taxes	(1,544,031)	(1,868,567)
<b>Net income</b>	<b>(1,544,031)</b>	<b>(1,868,567)</b>
Earnings common share		
Primary	\$(0.02)	\$(0.03)
Fully Diluted	\$(0.02)	\$(0.03)
Common Shares:		
Full Diluted	99,919,486	54,566,729
Year-end	201,349,431	62,974,431

**UNION CARBIDE CORP.****Earnings, 9 mos. to Sep 30(Consol. - \$000):**

	2017	2016
Net Sales	3,835,000	3,721,000
Cost & expenses	3,079,000	2,724,000
Operating income	736,000	979,000
Net before taxes	736,000	979,000
Income taxes	337,000	380,000
<b>Net income</b>	<b>399,000</b>	<b>599,000</b>
Earnings common share		

	2017	2016
Common Shares:		
Year-end	1	1

**UNIQUE FABRICATING INC****Earnings, 9 mos. to (Consol. - \$):**

	10/01/17	10/02/16
Net Sales	133,606,501	126,784,289
Cost & expenses	125,314,156	117,546,432
Operating income	8,292,345	9,237,857
Interest expense	2,089,056	1,739,243
Other income (expense), net	83,748	(25,203)
Net before taxes	6,287,037	7,473,411
Income taxes	1,856,684	2,520,389
<b>Net income</b>	<b>4,430,353</b>	<b>4,953,022</b>
Earnings common share		
Primary	\$0.45	\$0.51
Fully Diluted	\$0.45	\$0.50
Common Shares:		
Full Diluted	9,903,240	9,885,949
Year-end	9,757,563	9,711,465

**UNITED HEALTH PRODUCTS INC****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	336,543	83,525
Cost & expenses	518,828	424,581
Operating income	(182,285)	(341,056)
<b>Net income</b>	<b>(203,285)</b>	<b>(353,254)</b>
Earnings common share		
Common Shares:		
Full Diluted	153,989,270	151,501,149
Year-end	156,697,207	152,875,394

**UNITED STATES ANTIMONY CORP.****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	7,827,525	9,166,628
Cost & expenses	8,334,730	9,804,995
Operating income	(507,205)	(638,367)
Interest expense	80,764	57,203
Other income (expense), net	(34,711)	(24,694)
Foreign currency	(49,000)	
Net before taxes	(670,823)	(718,843)
Income taxes		423,490
<b>Net income</b>	<b>(670,823)</b>	<b>(1,142,333)</b>
Balance for common	(707,310)	(1,178,820)
Earnings common share		
Primary	\$(0.01)	\$(0.02)
Fully Diluted	\$(0.01)	\$(0.02)
Common Shares:		
Full Diluted	67,387,337	66,687,981
Year-end	67,488,153	66,866,278

**UNITED STATES OIL FUND LP****Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	(230,574,478)	397,840,388
Cost & expenses	15,544,096	19,741,360
<b>Net income</b>	<b>(246,118,574)</b>	<b>378,099,028</b>
Earnings common share		
Primary	\$(0.91)	\$1.14
Common Shares:		
Year-end	221,100,000	308,700,000

**UNITED TECHNOLOGIES CORP**

**Merger Development** On Sept. 4, 2017, Co. entered into an Agreement and Plan of Merger that provides for the acquisition of Rockwell Collins, Inc. ("Rockwell Collins") by Co. Subject to approval of Rockwell Collins shareholders and the satisfaction or (to the extent permitted by law) waiver of certain other closing conditions, Co. will acquire Rockwell Collins through the merger of Riveter Merger Sub Corp., a wholly owned subsidiary of Co. with and into Rockwell Collins, with Rockwell Collins surviving the merger and becoming a wholly owned subsidiary of Co. If the merger is completed, each share of Rockwell Collins common stock will be converted into (a) \$93.33 in cash, without interest, plus (b) a fraction of a share of Co.'s common stock equal to the quotient obtained by dividing \$46.67 by the average of the volume-weighted average prices per share of Co.'s common stock over a specified period of time before the closing of the merger, which is referred to as Co. stock price, subject to adjustment pursuant to the terms of the merger agreement as further described below. The fraction of a share of Co. common stock into which



each such share of Rockwell Collins common stock will be converted is referred to as the exchange ratio. This exchange ratio will depend upon the price of Co. common stock during a specified period prior to the closing of the merger. In addition, if Co.'s stock price is less than or equal to \$107.01 or greater than or equal to \$124.37, a two-way collar mechanism will apply, pursuant to which (i) if Co.'s stock price is greater than or equal to \$124.37, the exchange ratio will be fixed at 0.37525 and the value of the stock consideration will be more than \$46.67, and (ii) if Co. stock price is less than or equal to \$107.01, the exchange ratio will be fixed at 0.43613 and the value of the stock consideration will be less than \$46.67.

#### UNITEDHEALTH GROUP INC

**Merger Completed** On Nov. 17, 2017, Co.'s wholly-owned subsidiary, OptumInsight, Inc. ("Optum"), through its wholly-owned subsidiary, Apollo Merger Sub, Inc. ("Merger Sub"), merged with and into The Advisory Board Company ("Advisory Board"), with Advisory Board continuing as the surviving corporation and became a wholly-owned subsidiary of Optum. As the result of the merger, each share of Advisory Board's common stock outstanding immediately prior to the Effective Time (other than shares of common stock of Advisory Board held in Advisory Board's treasury, if any, or held by Advisory Board or any wholly owned subsidiary of Advisory Board, if any, and shares of Advisory Board's common stock held by Optum or Merger Sub or any other direct or indirect wholly owned subsidiary of Optum, if any) was converted into the right to receive \$53.81 in cash, without interest (the "Merger Consideration"), consisting of (1) \$52.65 in cash, plus (2) an additional amount in cash equal to \$1.16, calculated in accordance with the Merger Agreement based on the per-share, after-tax value of Advisory Board's equity interests in Evolent Health, Inc. Pursuant to the Merger Agreement and by virtue of the Merger, at the Effective Time each share of common stock of Merger Sub outstanding immediately prior to the Effective Time was converted into one share of the common stock of Advisory Board, as the surviving corporation in the Merger, and constitutes the only outstanding shares of Advisory Board. In addition, each Advisory Board stock option, other than those granted under Advisory Board's 2014 supplemental long-term incentive plan that are not vested in accordance with their terms at the Effective Time (such options, the "LTIP Options"), that was held by a grantee who is an active employee, other than an employee of the education business (each, an "Education Employee"), and that was outstanding immediately prior to the Effective Time, whether vested or unvested, was assumed and converted into an option to purchase a number of shares of Co. common stock, on the same terms and conditions as were applicable immediately prior to the Effective Time (except that any performance-based vesting conditions applicable to such option do not apply from and after the Effective Time), equal to the product of (1) the total number of shares of Advisory Board common stock subject to such option, multiplied by (2) the quotient obtained by dividing the (A) Merger Consideration by (B) the volume weighted average of the closing sale price per share of Co. common stock on the New York Stock Exchange for the five full consecutive trading days ending on and including the third business day prior to the Closing Date (the "Equity Award Conversion Ratio"), with any fractional shares rounded down to the next lower whole number of shares. The exercise price of such Co. options was equal to the quotient obtained by dividing (1) the exercise price per share applicable to Advisory Board option immediately prior to the Effective Time by (2) the Equity Award Conversion Ratio, with any fractional shares rounded up to the next higher number of whole cents. Each LTIP Option that was held by a grantee who is an active employee, other than an Education Employee, and that was outstanding immediately prior to the Effective Time was assumed and converted into an option to purchase a number of shares of Co. common stock, on the same terms and conditions as were applicable immediately prior to the Effective Time (provided, however, that the compensation committee of Advisory Board's board of directors equitably adjusted the performance-based vesting conditions applicable to such award as of immediately prior to the Effective Time), equal to the product of (1) the total number of shares of Advisory Board common stock subject to such LTIP Option, multiplied by (2) the Equity Award Conversion Ratio, with any fractional shares rounded down to the next lower whole number of shares, and with performance-vesting conditions equitably adjusted to reflect the Merger. The exercise price of such Co. options was equal to the quotient obtained by dividing the (1) exercise price per share applicable to the LTIP Option immediately prior to the Effective Time by (2) the Equity Award Conversion Ratio, with any fractional shares rounded up to the next higher number of whole cents. Each Advisory Board stock option that was held by a non-employee

employee, other than a former Education Employee, as of immediately prior to the Effective Time, and that was outstanding immediately prior to the Effective Time, whether vested or unvested, was cancelled and converted into the right to receive an amount in cash equal to the excess, if any, of the Merger Consideration over the per-share exercise price for such option multiplied by the total number of shares of Advisory Board's common stock underlying such option, payable, less any required withholding taxes, within two business days following the Effective Time. Each Advisory Board time-vested restricted stock unit and each Advisory Board performance-vested restricted stock unit other than the LTIP PSUs that was held by a grantee who was an active employee, other than an Education Employee, and that was outstanding immediately prior to the Effective Time, whether vested or unvested, was assumed and converted into an equivalent Co. award denominated in shares of Co. common stock, on the same terms and conditions (except that any performance-based vesting conditions applicable to such awards will not apply from and after the Effective Time), equal to the product of (1) the total number of shares of Advisory Board common stock subject to such awards, multiplied by (2) the Equity Award Conversion Ratio, with any fractional shares rounded down to the next lower whole number of shares. Each Advisory Board time-vested restricted stock unit that was held by a non-employee member of Advisory Board's board of directors or a former employee, other than a former Education Employee, as of immediately prior to the Effective Time, and that was outstanding immediately prior to the Effective Time, whether vested or unvested, was cancelled and converted into the right to receive an amount in cash equal to the Merger Consideration multiplied by the total number of shares of Advisory Board's common stock underlying such restricted stock unit, payable, less any required withholding taxes, within two business days following the Effective Time.

#### UNITEDHEALTH GROUP INC

**Offering** On Nov. 17, 2017, Co. announced a public offering pursuant to Common Stock (\$0.01 par value per share). Co. proposed to offer (i) 190,051 at a proposed maximum offering price per share of \$210.8, which amounted to a proposed maximum aggregate offering price of \$40,062,751. The amount of registration fee is \$4,987; and (ii) 506,039 at a proposed maximum offering price per share of \$170.46, which amounted to a proposed maximum aggregate offering price of \$86,259,408. The amount of registration fee is \$10,740.

#### UNIVERSAL HEALTH SERVICES, INC.

**Co. Repurchasing Certain Securities** On Nov. 15, 2017, Co.'s Board of Directors authorized a \$400,000,000 increase to its stock repurchase program. This authorization will increase the aggregate authorization to \$1,200,000,000 from the previous \$800,000,000 authorization which was approved in 2014 and 2016. Since the inception of this program we have repurchased approximately 6,900,000 shares at an aggregate cost of approximately \$786,500,000. Pursuant to this program we may purchase shares of our Class B Common Stock, from time to time as conditions allow, on the open market or in negotiated private transactions.

#### UNIVERSAL SECURITY INSTRUMENTS, INC.

**Earnings, 6 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Net Sales .....	6,901,053	7,392,312
Cost & expenses .....	7,393,877	7,671,300
Operating income .....	(492,824)	(278,988)
Other income (expense), net .....	(178,121)	(145,972)
<b>Net income .....</b>	<b>(711,588)</b>	<b>(453,745)</b>
Earnings common share		
Primary .....	\$(0.31)	\$(0.20)
Fully Diluted .....	\$(0.31)	\$(0.20)
Common Shares:		
Full Diluted .....	2,312,887	2,312,887
Year-end .....	2,312,887	2,312,887

#### UQM TECHNOLOGIES, INC.

**News Accountant** On Nov. 16, 2017, Hein & Associates LLP resigned as Co.'s independent public accounting firm, subsequently, Co. engaged Moss Adams LLP as its new independent public accounting firm.

#### UQM TECHNOLOGIES, INC.

**Offering** On Nov. 17, 2017, Co. announced a public offering pursuant to Common Stock, \$0.01 par value per share. Co. proposed to offer 30,000,000 at a proposed maximum offering price per share of \$1.15, which amounted to a proposed maximum aggregate offering price of \$34,500,000. The amount of registration fee is \$4,295.

#### US GEOTHERMAL INC

**Earnings, 9 mos. to Sep 30 (Consol. - \$):**

	2017	2016
Cost & expenses .....	22,314,898	20,129,492
Operating income .....	(754,829)	771,358
Net before taxes .....	(754,829)	771,358
<b>Net income .....</b>	<b>(605,829)</b>	<b>1,067,358</b>
Earnings common share		
Primary .....	\$(0.11)	\$(0.03)
Fully Diluted .....	\$(0.11)	\$(0.03)
Common Shares:		
Full Diluted .....	19,082,502	18,577,269
Year-end .....	19,274,683	18,904,082

#### USG CORP

**Acquisition Completed** On Nov. 14, 2017, Co. entered into a definitive agreement to acquire Ceilings Plus for approximately \$52,000,000 in cash, on a cash-free and debt-free basis.

#### VALVOLINE INC

**Offering** On Nov. 17, 2017, Co. announced a public offering pursuant to 5.500% Senior Notes due 2024 and 4.375% Senior Notes due 2025. Co. proposed to offer (i) \$375,000,000 under 5.500% Senior Notes due 2024 at a proposed maximum offering price per note of 100.000%, which amounted to a proposed maximum aggregate offering price of \$375,000,000. The amount of registration fee is \$46,687.50; and (ii) \$400,000,000 under 4.375% Senior Notes due 2025 at a proposed maximum offering price per note of 100.000%, which amounted to a proposed maximum aggregate offering price of \$400,000,000. The amount of registration fee is \$49,800.00.

#### VARIAN MEDICAL SYSTEMS INC

##### Annual Report

**Consolidated Income Statement, Years Ended (\$000):**

	09/29/17	09/30/16	10/02/15
		(revised)	(revised)
Product revenues .....	1,555,500	1,583,900	1,497,100
Service revenues .....	1,112,700	1,037,200	993,600
Total revenues .....	2,668,200	2,621,100	2,490,700
Cost of product revenues .....	1,025,300	1,071,300	1,035,300
Cost of service revenues .....	487,300	436,900	423,300
Total cost of revenues .....	1,512,600	1,508,200	1,458,600
Gross margin .....	1,155,600	1,112,900	1,032,100
Research & development expense ..	210,000	200,400	195,400
Selling, general & administrative expense .....	552,300	475,300	441,000
Impairment charges ..	51,400	2,200	...
Total operating expenses .....	813,700	677,900	636,400
Operating earnings (loss) .....	341,900	435,000	395,700
Interest income .....	13,600	17,200	13,500
Interest expense .....	10,700	11,600	7,900
Earnings (loss) before taxes -			
United States .....	93,700	83,200	82,300
Earnings (loss) before taxes - foreign .....	251,100	357,400	319,000
Earnings (loss) before taxes .....	344,800	440,600	401,300
Current federal provision (benefit) ...	31,600	57,600	5,500
Current state & local provision (benefit) .....	4,300	7,400	4,600
Current foreign provision (benefit) ...	68,900	74,500	73,200
Total current provision (benefit) ...	104,800	139,500	83,300
Deferred federal provision (benefit) ...	(13,300)	(14,900)	4,400
Deferred state & local provision (benefit) .....	200	(1,600)	(400)
Deferred foreign provision (benefit) ...	(4,000)	(7,700)	2,600
Total deferred provision (benefit) ...	(17,100)	(24,200)	6,600
Taxes on earnings .....	87,700	115,300	89,900
Earnings (loss) from continuing			

operations	257,100	325,300	311,400	Property, plant & equipment, at cost	655,100	630,100	Full Diluted	34,421	34,391	
Earnings (loss) from discontinued operations	(6,800)	77,400	100,600	Accumulated depreciation & amortization	399,800	371,500	Year-end	34,455	34,391	
<b>Net earnings (loss)</b>	<b>250,300</b>	<b>402,700</b>	<b>412,000</b>	Property, plant & equipment, net	255,300	258,600	<b>VIRNETX HOLDING CORP</b>			
Less: net earnings attributable to non-controlling interests	(700)	(400)	(500)	Goodwill	222,600	220,000	<b>Earnings, 9 mos. to Sep 30(Consol. - \$):</b>			
Net earnings attributable to Varian Medical Systems, Inc.	249,600	402,300	411,500	Intangible assets	71,600	84,100	2017	2016		
Weighted average shares outstanding - basic	92,500	95,400	99,700	Deferred tax assets	138,800	136,800	Total revenues	1,146,000	1,148,000	
Weighted average shares outstanding - diluted	93,200	96,000	100,600	Long-term receivables	101,300	113,800	Cost & expenses	12,426,000	22,407,000	
Year end shares outstanding	91,700	93,700	98,100	Deferred compensation plan assets	72,700	61,100	Operating income	(11,280,000)	(21,259,000)	
Earnings (loss) per share - continuing operations - basic	\$2.78	\$3.41	\$3.13	Long-term available-for-sale securities	59,800	...	Net before taxes	(11,240,000)	(21,209,000)	
Earnings (loss) per share - discontinued operations - basic	\$(0.08)	\$0.81	\$1.00	Investments in privately-held companies	27,100	18,700	Income taxes	4,000	126,000	
Net earnings (loss) per share - basic	\$2.70	\$4.22	\$4.13	Other assets	39,900	33,400	<b>Net income</b>	<b>(11,244,000)</b>	<b>(21,335,000)</b>	
Earnings (loss) per share - continuing operations - diluted	\$2.75	\$3.39	\$3.10	Non-current assets of discontinued operations	...	272,900	Earnings common share			
Earnings (loss) per share - discontinued operations - diluted	\$(0.07)	\$0.80	\$0.99	Total assets	3,179,400	3,814,800	Primary	\$(0.19)	\$(0.38)	
Net earnings (loss) per share - diluted	\$2.68	\$4.19	\$4.09	Accounts payable	162,300	159,200	Fully Diluted	\$(0.19)	\$(0.38)	
Total number of employees	6,600	...	...	Accrued compensation & benefits	109,700	105,100	Common Shares:			
Number of common stockholders	1,949	...	...	Deferred compensation plan liabilities	70,700	61,500	Full Diluted	58,216,000	55,503,000	
Foreign currency translation adjustments	...	2,800	(24,800)	Product warranty	42,900	44,200	Year-end	58,309,034	56,689,167	
				Income taxes payable	38,800	54,400	<b>VISA INC</b>			
				Other accrued expenses	132,600	118,400	<b>Annual Report</b>			
				Deferred revenues	640,600	608,600	<b>Consolidated Income Statement, Years Ended Sept. 30</b>			
				Short-term borrowings	350,000	329,600	<b>((\$000):</b>			
				Current maturities of long-term debt	...	49,400	2017	2016	2015	
				Current liabilities of discontinued operations	2,500	83,000		(revised)	(revised)	
				Total current liabilities	1,550,100	1,613,400	Service revenues	7,975,000	6,747,000	6,302,000
				Term loan facility	...	287,500	Data processing revenues	7,786,000	6,272,000	5,552,000
				Debt issuance costs	...	(600)	International transaction fees	6,321,000	4,649,000	4,064,000
				Long-term debt	...	286,900	Other revenues	841,000	823,000	823,000
				Long-term income taxes payable	48,600	46,200	Net operating revenues	18,358,000	15,082,000	13,880,000
				Long-term deferred income taxes	19,800	24,500	Client incentives	(4,565,000)	(3,409,000)	(2,861,000)
				Other long-term liabilities	61,600	85,100	Personnel	2,628,000	2,226,000	2,079,000
				Non-current liabilities of discontinued operations	...	4,200	Marketing	922,000	869,000	872,000
				Total liabilities	1,680,100	2,060,300	Network & processing	620,000	538,000	474,000
				Redeemable noncontrolling interests of discontinued operations	...	10,300	Professional fees	409,000	389,000	336,000
				Common stock	91,700	93,700	Depreciation & amortization	556,000	502,000	494,000
				Capital in excess of par value	716,100	678,600	General & administrative	1,060,000	796,000	547,000
				Retained earnings	756,000	1,069,000	Litigation provision (benefit)	19,000	2,000	14,000
				Net unrealized gains (losses) defined benefit pension & post-retirement benefit plans	(44,100)	(63,300)	Visa Europe framework agreement loss	...	1,877,000	...
				Cumulative translation adjustments	(24,700)	(37,500)	Total operating expenses	6,214,000	7,199,000	4,816,000
				Accumulated other comprehensive income (loss)	(68,800)	(100,800)	Operating income	12,144,000	7,883,000	9,064,000
				Total stockholders' equity	1,495,000	1,740,500	Interest expense	563,000	427,000	3,000
				Non-controlling interests	4,300	3,700	Other non-operating income (expense)	113,000	556,000	(66,000)
				Total equity	1,499,300	1,744,200	Non-operating income (expense)	(450,000)	129,000	(69,000)
							Income before income taxes - U.S.	8,440,000	5,839,000	7,214,000
							Income before income taxes - non U.S.	3,254,000	2,173,000	1,781,000
							Income before income taxes	11,694,000	8,012,000	8,995,000
							Current U.S. federal income tax expense (benefit)	2,377,000	2,250,000	1,991,000
							Current state & local income tax expense (benefit)	291,000	181,000	168,000
							Current non-U.S. income tax expense (benefit)	629,000	368,000	300,000
							Total current taxes	3,297,000	2,799,000	2,459,000
							Deferred U.S. federal income tax expense (benefit)	1,607,000	(508,000)	181,000
							Deferred state & local income tax expense (benefit)	66,000	(63,000)	1,000
							Deferred non-U.S. income tax expense (benefit)	25,000	(207,000)	26,000
							Total deferred taxes	1,698,000	(778,000)	208,000

□ Restated to reflect the separation of the Imaging Components segment as discontinued operations; □ As is; □ Approximately; □ As of November 21, 2017

**Consolidated Balance Sheet, Years Ended (\$000):**

	09/29/17	09/30/16	
Cash & cash equivalents	716,200	811,400	(revised)
Short-term investments	...	95,300	
Accounts receivable, gross	869,400	793,800	
Less: allowance for doubtful accounts	45,900	24,200	
Accounts receivable, net	823,500	769,600	
Raw materials & parts	296,500	257,900	
Work-in-progress	47,700	69,600	
Finished goods	95,500	114,900	
Inventories	439,700	442,400	
Prepaid income taxes	69,400	41,300	
RPTC senior secured debt	25,400	...	
Prepaid compensation	11,600	9,900	
Advance payments to suppliers	11,100	16,900	
Other current receivables	32,500	29,200	
Other prepaid expenses	49,800	43,800	
Prepaid expenses & other current assets	199,800	141,100	
Current assets of discontinued operations	11,100	355,600	
Total current assets	2,190,300	2,615,400	
Land & land improvements	44,200	44,200	
Buildings & leasedhold improvements	220,400	211,700	
Machinery & equipment	375,900	358,300	
Construction in progress	14,600	15,900	

□ Restated to reflect the separation of the Imaging Components segment as discontinued operations

**VERSO CORP**

	2017	2016
<b>Earnings, 9 mos. to Sep 30(Consol. - \$000):</b>		
Cost & expenses	1,772,000	586,000
Operating income	(37,000)	(32,000)
<b>Net income</b>	<b>(66,000)</b>	<b>(40,000)</b>
Earnings common share		
Primary	\$(1.92)	\$(1.16)
Fully Diluted	\$(1.92)	\$(1.16)
Common Shares:		

Income tax provision	4,995,000	2,021,000	2,667,000
<b>Net income</b>	<b>6,699,000</b>	<b>5,991,000</b>	<b>6,328,000</b>
Weighted average class A shares outstanding - basic	1,845,000	1,906,000	1,954,000
Weighted average class B shares outstanding - basic	245,000	245,000	245,000
Weighted average class C shares outstanding - basic	14,000	19,000	22,000
Year end shares outstanding	2,076,000	2,133,000	2,215,000
Net earnings per class A share - basic	\$2.80	\$2.49	\$2.58
Net earnings per class A share - diluted	\$2.80	\$2.48	\$2.58
Dividends per common share	\$0.66	\$0.56	\$0.48
Total number of employees	15,000		
Number of common stockholders - class A	362	362	352
Number of common stockholders - class B	1,604	1,656	1,668
Number of common stockholders - class C	608	676	738
Foreign currency translation adjustments	1,136,000	(218,000)	1,000

□ Restated to reflect adoption of ASU 2015-17, which simplifies the presentation of deferred income taxes by requiring that deferred tax assets and liabilities be presented as non-current; □ As is; □ Approximately; □ As of November 10, 2017; □ As of November 9, 2016

<b>Consolidated Balance Sheet, Years Ended Sept. 30 (\$000):</b>			
	2017	2016	(revised)
Cash & cash equivalents	9,874,000	5,619,000	
Restricted cash - U.S. litigation escrow	1,031,000	1,027,000	
Investment securities, trading	82,000	71,000	
Investment securities, available-for-sale	3,482,000	3,248,000	
Settlement receivable	1,422,000	1,467,000	
Accounts receivable	1,132,000	1,041,000	
Customer collateral	1,106,000	1,001,000	
Current portion of client incentives	344,000	284,000	
Prepaid expenses & maintenance		151,000	
Income tax receivable		232,000	
Foreign exchange derivative instruments		50,000	
Other prepaid expenses & other current assets		122,000	
<b>Total current assets</b>	<b>19,023,000</b>	<b>14,313,000</b>	
Investment securities, available-for-sale	1,926,000	3,931,000	
Client incentives	591,000	448,000	
Land	72,000	74,000	
Buildings & building improvements	865,000	839,000	
Furniture, equipment & leasehold improvements	1,534,000	1,382,000	
Construction-in-progress	139,000	125,000	
Technology	2,533,000	2,378,000	
Total property, equipment & technology	5,143,000	4,798,000	
Accumulated depreciation & amortization	2,890,000	2,648,000	

Property, equipment & technology, net	2,253,000	2,150,000
Non-current income tax receivable	731,000	
Pension asset	22,000	
Other investments	46,000	
Long-term prepaid operating expenses & other assets	72,000	
Non-current deferred tax assets	22,000	
Intangible assets, net	27,848,000	27,234,000
Goodwill	15,110,000	15,066,000
Total assets	67,977,000	64,035,000
Accounts payable	179,000	203,000
Settlement payable	2,003,000	2,084,000
Customer collateral	1,106,000	1,001,000
Accrued compensation & benefits	757,000	673,000
Client incentives	2,089,000	1,976,000
Accrued operating expenses	434,000	347,000
Accrued interest expenses	149,000	145,000
Accrued income taxes	243,000	153,000
Other accrued expenses	303,000	483,000
Current portion of long-term debt	1,749,000	
Accrued litigation	982,000	981,000
Total current liabilities	9,994,000	8,046,000
Unsecured senior notes	16,618,000	15,882,000
Long-term debt	16,618,000	15,882,000
Deferred tax liabilities	5,980,000	4,808,000
Deferred purchase consideration	1,304,000	1,225,000
Accrued income taxes	1,092,000	911,000
Employee benefits	62,000	137,000
Other long-term liabilities	167,000	114,000
Total liabilities	35,217,000	31,123,000
Series B convertible participating preferred stock	2,326,000	2,516,000
Series C convertible participating preferred stock	3,200,000	3,201,000
Treasury stock		170,000
Right to recover for covered losses	(52,000)	(34,000)
Additional paid-in capital	16,900,000	17,395,000
Accumulated income (deficit)	9,508,000	10,462,000
Investment securities, available-for-sale	73,000	36,000
Defined benefit pension & other postretirement plans	(76,000)	(225,000)
Derivative instruments classified as cash flow hedges	(36,000)	(50,000)
Foreign currency translation adjustments	917,000	(219,000)
Total accumulated other comprehensive income (loss), net	878,000	(458,000)
Total Visa Inc. stockholders' equity	32,760,000	32,912,000

#### VITALITY BIOPHARMA INC Earnings, 6 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	58,019	92,265
Cost & expenses	2,156,533	1,334,512
Operating income	(2,098,514)	(1,242,247)
Interest expense		716
Other income (expense), net	93,641	(342,961)
<b>Net income</b>	<b>(2,004,873)</b>	<b>(1,585,924)</b>
Earnings common share		
Primary	\$(0.09)	\$(0.15)
Fully Diluted	\$(0.09)	\$(0.15)
Common Shares:		
Full Diluted	22,509,356	10,916,841
Year-end	23,034,347	14,380,968

#### VORNADO REALTY L.P.

##### Earnings, 9 mos. to Sep 30(Consol. - \$000):

	2017	2016
Total revenues	1,547,900	1,489,768
Cost & expenses	784,819	745,836
Operating income	447,858	427,549
Interest expense	252,581	250,034
Other income (expense), net	9,162	35,267
Gains or losses	501	160,225
Net before taxes	227,507	390,503
Income taxes	2,429	8,921
Income contin. oper.	225,078	381,582
<b>Net income</b>	<b>210,577</b>	<b>277,378</b>
Balance for common	143,610	183,689
Earnings common share		
Primary	\$0.70	\$0.91
Fully Diluted	\$0.69	\$0.90
Common Shares:		
Full Diluted	203,311	201,932
Year-end	189,878	

#### VYCOR MEDICAL INC

##### Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Cost & expenses	1,852,997	2,033,378
Operating income	(949,211)	(1,121,500)
Other income (expense), net	(120,788)	
Foreign currency	986	(877)
Net before taxes	(1,101,909)	(1,164,822)
<b>Net income</b>	<b>(1,101,909)</b>	<b>(1,164,822)</b>
Balance for common	(1,426,279)	(1,344,549)
Earnings common share		
Primary	\$(0.08)	\$(0.12)
Fully Diluted	\$(0.08)	\$(0.12)
Common Shares:		
Full Diluted	17,895,269	11,012,689
Year-end	19,738,189	11,220,856

#### VYSTAR CORP

##### Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	11,723	37,264
Cost & expenses	876,389	918,259
Operating income	(864,666)	(880,995)
Interest income		1
Other income (expense), net	78,513	(14,456)
<b>Net income</b>	<b>(872,714)</b>	<b>(986,476)</b>
Earnings common share		
Primary	\$(0.01)	\$(0.01)
Fully Diluted	\$(0.01)	\$(0.01)
Common Shares:		
Full Diluted	122,514,759	104,995,141
Year-end	130,495,927	111,954,708

#### WESTERN GAS PARTNERS LP

##### Earnings, 9 mos. to Sep 30(Consol. - \$000):

	2017	2016
Cost & expenses	937,318	623,552
Operating income	525,456	527,053
Interest income	12,675	12,675
Other income (expense), net	969	224
Net before taxes	432,306	464,265
Income taxes	4,905	7,431
<b>Net income</b>	<b>427,401</b>	<b>456,834</b>
Earnings common share		
Primary	\$0.91	\$1.39
Fully Diluted	\$0.91	\$1.39
Common Shares:		
Full Diluted	145,371	130,112
Year-end	168,163	145,415

#### WESTROCK CO

##### Annual Report

##### Consolidated Income Statement, Years Ended Sept. 30 (\$000):

	2017	2016	2015
		(revised)	(revised)
Net sales	14,859,700	14,171,800	11,124,800
Cost of goods sold	12,119,500	11,413,200	8,986,500
Gross profit	2,740,200	2,758,600	2,138,300
Selling, general & administrative, excluding intangible			

amortization	1,399,600	1,379,400	1,014,600	discontinued operations - basic	...	\$(2.16)	\$0.05	Supplier financing & commercial card programs	130,300	106,000
Selling, general & administrative intangible amortization	229,600	211,800	118,900	Net earnings (loss) per share - basic	\$2.81	\$(1.56)	\$2.97	International & other debt	70,800	73,600
Pension risk transfer expense	...	370,700	...	Earnings per share from continuing operations - diluted	\$2.77	\$0.59	\$2.87	Total debt	6,554,800	5,789,200
Pension lump sum settlement & retiree medical curtailment, net	32,600	...	11,500	Earnings (loss) per share from discontinued operations - diluted	...	\$(2.13)	\$0.06	Less current portion of debt	608,700	292,900
Land & development impairment	46,700	...	...	Net earnings (loss) per share - diluted	\$2.77	\$(1.54)	\$2.93	Long-term debt due after one year	5,946,100	5,496,300
Restructuring & other costs, net	196,700	366,400	140,800	Dividends per share	\$1.60	\$1.50	\$1.20	Pension liabilities, net of current portion	279,400	328,100
Operating profit	835,000	430,300	852,500	Number of full time employees	44,800	39,000	...	Postretirement benefit liabilities, net of current portion	153,400	140,000
Interest expense	277,700	256,700	132,500	Number of common stockholders	6,705	6,965	...	Non-recourse liabilities held by special purpose entities	1,161,900	1,170,200
Gain (loss) on extinguishment of debt	1,800	2,700	(2,600)	Foreign currency translation adjustments	80,700	130,000	...	Deferred income taxes	3,410,200	3,130,700
Interest income & other income (expense), net	66,700	58,600	9,700	<p>☐ Restated to reflect the discontinued operations of Ingevity Corporation; ☒ Net of income tax expense (benefit) - Income (loss) from discontinued operations: (\$32,300,000); ☓ Net of income tax expense (benefit) - Income (loss) from discontinued operations: \$17,500,000; ☔ As is; ☕ Approximately; ☖ As of October 27, 2017; ☗ As of October 28, 2016</p>				Other long-term liabilities	737,400	746,200
Equity in income of unconsolidated entities	39,000	9,700	7,100	<b>Consolidated Balance Sheet, Years Ended Sept. 30 (\$'000):</b>				Redeemable noncontrolling interests	4,700	13,700
Gain on sale of HH&B	192,800	...	...		2017	2016		Common stock, net	2,500	2,500
Income before income taxes - United States	481,900	(25,100)	571,300	Cash & cash equivalents	298,100	340,900		Capital in excess of par value	10,624,900	10,458,600
Income before income taxes - Foreign	375,700	269,700	162,900	Restricted cash	5,900	25,500		Retained earnings (deficit)	172,400	(105,900)
Income from continuing operations before income taxes	857,600	244,600	734,200	Accounts receivables, gross	1,932,600	1,628,700		Deferred gain (loss) on cash flow hedges	(700)	(200)
Current federal income taxes	80,800	98,300	31,600	Net of allowances	45,800	36,500		Defined benefit pension & postretirement plans	(462,500)	(523,800)
Current state income taxes	3,300	12,800	7,300	Accounts receivables, net	1,886,800	1,592,200		Foreign currency items available for sale security	5,200	(102,400)
Current foreign income taxes	95,300	87,000	38,600	Finished goods & work in process	905,000	800,600		Accumulated other comprehensive income (loss)	(457,300)	(626,400)
Current income taxes	179,400	198,100	77,500	Raw materials	614,200	535,700		Total stockholders' equity	10,342,500	9,728,800
Deferred federal income taxes	15,200	(131,500)	157,800	Supplies & spare parts	360,700	335,700		Non-controlling interests	43,600	101,200
Deferred state income taxes	(22,800)	6,900	(10,800)	Inventories at FIFO cost	1,879,900	1,672,000		Total equity	10,386,100	9,830,000
Deferred foreign income taxes	(12,800)	16,300	8,500	Less: LIFO reserve	82,600	33,800				
Deferred income taxes	(20,400)	(108,300)	155,500	Inventories	1,797,300	1,638,200				
Income tax (expense) benefit	159,000	89,800	233,000	Other current assets	329,200	263,500				
Income from continuing operations	698,600	154,800	501,200	Assets held for sale	173,600	52,300				
Income (loss) from discontinued operations, net	...	☒(544,700)	☒10,600	Total current assets	4,490,900	3,912,600				
<b>Consolidated net income (loss)</b>	698,600	(389,900)	511,800	Land & buildings	2,034,300	2,307,900				
Less: Net income attributable to noncontrolling interests	9,600	(6,400)	(4,700)	Machinery & equipment	11,349,700	10,672,900				
Net income attributable to common stockholders	708,200	(396,300)	507,100	Forestlands & mineral rights	208,300	201,100				
Weighted average shares outstanding-basic	252,200	254,000	170,600	Transportation equipment	30,700	27,600				
Weighted average shares outstanding-diluted	255,700	257,900	173,300	Leasehold improvements	59,500	62,400				
Year end shares outstanding	254,500	251,000	257,000	Property, plant & equipment, gross	13,682,500	13,271,900				
Earnings per share from continuing operations - basic	\$2.81	\$0.60	\$2.92	Less accumulated depreciation & amortization	4,564,200	3,977,600				
Earnings (loss) per share from				Net property, plant & equipment, net	9,118,300	9,294,300				
				Goodwill	5,528,300	4,778,100				
				Intangibles, net	3,329,300	2,599,300				
				Restricted assets held by special purpose entities	1,287,400	1,293,800				
				Prepaid pension asset	368,000	257,800				
				Other assets	966,800	902,300				
				Total assets	25,089,000	23,038,200				
				Current portion of debt	608,700	292,900				
				Accounts payable	1,492,100	1,054,400				
				Accrued compensation & benefits	416,700	405,900				
				Other current liabilities	492,300	429,800				
				Total current liabilities	3,009,800	2,183,000				
				Public bonds	4,007,600	...				
				Notes	...	3,229,500				
				Term loan facilities	1,622,700	2,195,700				
				Revolving credit facilities	436,400	...				
				Receivables-backed financing facility	110,000	...				
				Capital lease obligations	177,000	184,400				

## WIDEPOINT CORP

Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	55,956,617	60,163,145
Cost & expenses	58,456,933	61,538,314
Operating income	(2,713,190)	(1,644,125)
Interest income	11,564	10,618
Interest expense	36,402	61,068
Other income (expense), net	1,758	11,124
Net before taxes	(2,736,270)	(1,683,451)
Income taxes	32,723	21,029
<b>Net income</b>	<b>(2,768,993)</b>	<b>(1,704,480)</b>
Earnings common share		
Primary	\$(0.03)	\$(0.02)
Fully Diluted	\$(0.03)	\$(0.02)
Common Shares:		
Full Diluted	82,878,287	82,673,570
Year-end	82,946,847	82,730,134

## WIRELESS TELECOM GROUP, INC.

Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Net Sales	34,042,230	22,322,820
Cost & expenses	37,248,492	23,328,775
Operating income	(3,439,968)	(1,085,093)
Interest expense	229,453	463
Other income (expense), net	(4,253)	(78,675)
Net before taxes	(3,439,968)	(1,085,093)
Income taxes	(1,493,789)	(412,409)
<b>Net income</b>	<b>(1,946,179)</b>	<b>(672,684)</b>
Earnings common share		
Primary	\$(0.10)	\$(0.04)
Fully Diluted	\$(0.10)	\$(0.04)
Common Shares:		
Full Diluted	19,799,219	18,650,274
Year-end	22,790,667	18,721,346

## WOODSTOCK HOLDINGS INC

Earnings, 9 mos. to Sep 30(Consol. - \$):

	2017	2016
Total revenues	4,850,090	4,947,432
Cost & expenses	5,170,903	5,207,554
<b>Net income</b>	<b>(320,813)</b>	<b>(260,122)</b>
Earnings common share		
Primary	\$(0.19)	\$(0.17)
Fully Diluted	\$(0.19)	\$(0.17)
Common Shares:		
Year-end	2,121,858	1,953,853

**XPO LOGISTICS, INC.**

**Special Meeting of Stockholders** On Nov. 20, 2017, Co. scheduled its Special Meeting of Stockholders on Dec. 20, 2017 at 9:00 a.m., local time, at Doral Arrowwood, 975 Anderson Hill Road, Rye Brook, NY 10573.

**XTANT MEDICAL HOLDINGS INC**

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	63,279,912	65,531,879
Cost & expenses	69,950,971	68,229,992
Operating income	(10,775,624)	(6,388,632)
Other income (expense), net	(2,677,625)	406,814
<b>Net income</b>	<b>(23,991,671)</b>	<b>(14,956,713)</b>
Earnings common share		
Primary	\$(1.33)	\$(1.24)
Fully Diluted	\$(1.33)	\$(1.24)
Common Shares:		
Full Diluted	18,065,911	12,064,782
Year-end	18,173,007	12,193,970

**YTL CORP BERHAD (MALAYSIA)****Annual Report****Consolidated Income Statement, Years Ended Jun. 30 (RM):**

	2017	2016	2015
		(revised)	(revised)
Revenue	14,728,681,000	15,377,505,000	16,754,726,000
Cost of sales	(10,579,754,000)	(10,925,811,000)	(12,186,243,000)
Gross profit	4,148,927,000	4,451,694,000	4,568,483,000
Other operating income	422,886,000	740,334,000	452,119,000
Selling & distribution costs	(341,916,000)	(348,407,000)	(353,163,000)
Administration expenses	(1,316,697,000)	(1,390,183,000)	(1,231,379,000)
Other operating expenses	(340,285,000)	(518,092,000)	(244,708,000)
Interest expense - bonds	(731,691,000)	(723,302,000)	(677,573,000)
Interest expense - borrowings	(619,328,000)	(635,490,000)	(536,235,000)
Interest expense - post-employment benefits obligation	(25,621,000)	(28,153,000)	(23,392,000)
Total interest expense	(1,376,640,000)	(1,386,945,000)	(1,237,200,000)
Less: amount capitalized in development expenditure	...	...	1,269,000
Less: amount capitalized in property developments costs	46,377,000	49,641,000	34,046,000
Less: amount capitalized in construction contracts	...	...	131,000
Less: amount capitalized in property, plant & equipment	12,754,000	19,407,000	36,489,000
Finance costs	(1,317,509,000)	(1,317,897,000)	(1,165,265,000)
Share of results of associated companies & joint ventures, net of tax	470,106,000	645,082,000	297,250,000
Profit before tax	1,725,512,000	2,262,531,000	2,323,337,000
Income tax expenses	(283,462,000)	(375,573,000)	(602,305,000)
Profit for the year	1,442,050,000	1,886,958,000	1,721,032,000
Profit attributable to owners of the parent	813,308,000	916,431,000	1,017,645,000

	2017	2016	2015
Profit attributable to non-controlling interests	628,742,000	970,527,000	703,387,000
Weighted average shares outstanding			
- basic	10,513,117,000	10,418,644,000	10,385,547,000
Weighted average shares outstanding - diluted	10,513,117,000	10,418,644,000	10,385,547,000
Year end ordinary shares outstanding	10,535,211,290	10,418,644,123	10,418,645,223
Net earnings per share - basic	RM0.08	RM0.09	RM0.10
Net earnings per share - diluted	RM0.08	RM0.09	RM0.10
Dividend per ordinary share	0.10	0.10	0.10
Total number of employees	11,000	11,000	12,000
Number of ordinary stockholders	29,110	23,524	23,657

Reclassified to conform with 2016 presentation; Approximately: As of September 19, 2017; As of September 20, 2016; As of September 22, 2015

**Consolidated Balance Sheet, Years Ended Jun. 30 (RM):**

	2017	2016
		(revised)
Property, plant & equipment, cost or valuation	44,651,714,000	41,072,459,000
Less: total accumulated depreciation & impairment losses	(16,134,926,000)	(14,435,193,000)
Property, plant & equipment	28,516,788,000	26,637,266,000
Investment properties	10,517,010,000	9,637,514,000
Development expenditures	894,886,000	771,733,000
Investment in associated companies	2,421,890,000	2,172,723,000
Joint ventures	58,493,000	48,192,000
Investments	845,165,000	302,389,000
Customer acquisition costs	32,994,000	68,570,000
Goodwill on consolidation	6,170,693,000	5,826,135,000
Other intangible assets	182,347,000	170,270,000
Intangible assets	6,386,034,000	6,064,975,000
Biological assets	1,798,000	1,798,000
Trade receivables	436,000	1,039,000
Other receivables	7,160,000	12,434,000
Less: allowance for impairment	(300,000)	(200,000)
Other receivables, net	6,860,000	12,234,000
Deposits	1,387,000	1,332,000
Receivables from associate company	258,066,000	236,769,000
Shareholder loan	686,795,000	...
Prepayments	201,736,000	143,287,000
Derivative financial instruments	13,629,000	30,855,000
Total non-current assets	50,810,973,000	46,062,106,000
Inventories	799,825,000	759,889,000
Property development costs	2,475,214,000	2,650,186,000
Trade receivables, gross	2,153,127,000	1,922,012,000
Shareholder amounts held by solicitors	2,015,000	20,057,000
Less: allowance for impairment - trade receivables	(319,986,000)	(357,314,000)
Trade receivables - net	1,835,156,000	1,584,755,000
Other receivables	517,125,000	455,247,000
Less: allowance for impairment	(5,906,000)	(3,563,000)
Accrued income	979,885,000	665,959,000
Deposits	64,644,000	72,210,000
Prepayments	277,178,000	283,086,000
Accrued billings in respect of property development costs	132,724,000	101,010,000
Amount due from contract customers	13,955,000	9,937,000
Derivative financial		

instruments	52,124,000	64,965,000
Income tax assets	80,116,000	44,813,000
Amounts due from related parties	87,497,000	62,255,000
Investments	2,503,011,000	...
Short term investments	738,801,000	708,127,000
Fixed deposits	12,145,557,000	12,664,529,000
Cash & bank balances	1,174,691,000	1,081,308,000
Total current assets	23,871,597,000	21,204,713,000
Total assets	74,682,570,000	67,266,819,000
Ordinary shares	3,340,111,000	...
Share capital	3,340,111,000	1,079,399,000
Share premium	...	2,069,188,000
Other reserves	1,523,559,000	827,630,000
Retained earnings	10,606,817,000	11,223,837,000
Treasury shares, at cost	(596,577,000)	(596,575,000)
Total equity attributable to owners of the parent	14,873,910,000	14,603,479,000
Non-controlling interests	8,051,734,000	7,408,598,000
Total equity	22,925,644,000	22,012,077,000
Deferred income	713,334,000	710,798,000
Deposits	97,230,000	90,167,000
Payable to non-controlling interest	120,635,000	113,007,000
Other payables	1,195,000	23,888,000
Long term payables	932,394,000	937,860,000
Other non-current liabilities	...	67,696,000
Bonds	19,966,528,000	15,745,189,000
Borrowings	14,166,295,000	18,226,421,000
Grants & contributions	547,775,000	427,843,000
Deferred tax liabilities	2,068,379,000	2,118,308,000
Post-employment benefit obligations	1,115,512,000	874,272,000
Provision for liabilities & charges	7,077,000	40,331,000
Derivative financial instruments	44,008,000	155,141,000
Total non-current liabilities	38,847,968,000	38,593,061,000
Trade payables	1,165,891,000	961,972,000
Other payables	679,669,000	579,939,000
Receipts in advance	308,029,000	276,243,000
Accruals	955,987,000	944,691,000
Deferred income	80,573,000	62,039,000
Security deposits	114,236,000	134,706,000
Derivative financial instruments	128,772,000	248,330,000
Amounts due to related parties	8,486,000	9,203,000
Bonds	...	31,002,000
Borrowings	8,996,806,000	3,059,580,000
Provision for liabilities & charges	181,272,000	127,789,000
Post-employment benefit obligations	6,685,000	5,887,000
Income tax liabilities	210,474,000	190,092,000
Total current liabilities	12,908,958,000	6,661,681,000
Total liabilities	51,756,926,000	45,254,742,000
Total equity & liabilities	74,682,570,000	67,266,819,000

**YUMA ENERGY INC (NEW)**

**Earnings, 9 mos. to Sep 30(Consol. - \$):**

	2017	2016
Total revenues	8,799,808	8,799,808
Cost & expenses	15,240,088	23,800,350
Deprec., depl. & amort.	8,666,052	6,178,248
Operating income	(4,390,129)	(21,178,790)
Interest expense	1,407,689	974,403
Other income (expense), net	56,110	17,311
Gains or losses	4,919,351	...
Net before taxes	(822,357)	(22,135,882)
Income taxes	8,489	(1,272,664)
<b>Net income</b>	<b>(830,846)</b>	<b>(20,863,218)</b>
Balance for common	(1,879,067)	(21,825,095)
Earnings common share		
Primary	\$(0.15)	\$(6.04)
Fully Diluted	\$(0.15)	\$(6.04)
Common Shares:		
Full Diluted	12,311,087	3,611,241
Year-end	12,559,608	3,628,991

**YUMA ENERGY INC (NEW)**

**New Accountant** On Nov. 16, 2017, Hein & Associates LLP resigned as Co.'s independent public accounting firm, subsequently, Co. engaged Moss Adams LLP as its new independent public accounting firm.

#### ZENYATTA VENTURES LTD

**Private Placement** On Nov. 24, 2017, Co. announced that it intends to complete a non-brokered private placement financing for aggregate gross proceeds of up to C\$1,000,000 (the Offering) subject to regulatory approval. The Offering will consist of the sale of Units at C\$0.65 per Unit. Each Unit will consist of one Common Share and one-half of one Common Share purchase warrant (a Warrant). Each Warrant will entitle the holder to acquire one Common for C\$1.00 for a period of 24 months from the date of issuance. The securities issued pursuant to the Offering will be subject to a four month and one day statutory hold period. Co. intends to use the net proceeds from the Offering for business development and general working capital purposes.

#### ZONED PROPERTIES INC

Earnings, 9 mos. to Sep 30(Consol. – \$):

	2017	2016
Total revenues .....	1,580,602	1,310,870
Cost & expenses .....	919,612	1,348,429
Operating income .....	493,225	(163,469)
Interest income .....	5,622	.....
Gains or losses .....	831,753	(1,843)
Net before taxes .....	1,188,629	(335,931)
<b>Net income</b> .....	1,188,629	(335,931)
Earnings common share		
Primary .....	\$0.06	\$(0.02)
Fully Diluted .....	\$0.06	\$(0.02)
Common Shares:		
Full Diluted .....	18,142,071	17,136,148
Year-end .....	17,311,701	17,181,375

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